




USER MANUAL

**SUPPLY, DESIGN, DEVELOPMENT,
INSTALLATION, TESTING,
COMMISSIONING AND MAINTENANCE OF
REGISTRATION SOFTWARE
FOR
FEDERATION OF INVESTMENT
MANAGERS MALAYSIA (FIMM)**

**MODULE: DISTRIBUTOR
(EXTENSION OF TIME)
GROUP: DISTRIBUTOR**


AGENCY NAME	:	FEDERATION OF INVESTMENT MANAGERS MALAYSIA (FIMM)
DOCUMENT DATE	:	21/03/2025
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 <small>Federation of Investment Managers Malaysia</small>	Project Federation of Investment Managers Malaysia (FIMM)	Reference ZANKO/FIMM/UM/V1.0	File User Manual Document	Version 1.0	Date 21/03/2025	Page iv
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DOCUMENT DESCRIPTION


This document is prepared to explain how to use the FCS system. This user manual explains the functions and step by step how to use the system completely to the users involved.

Document Information	
Project Name	SUPPLY, DESIGN, DEVELOPMENT, INSTALLATION, TESTING, COMMISSIONING AND MAINTENANCE OF REGISTRATION SOFTWARE FOR FEDERATION OF INVESTMENT MANAGERS MALAYSIA (FIMM)
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DOCUMENT CONTROL


Version History			
Version No	Version Date	Summary	Writer
0.1	24/02/2025	First draft	Zulhasnain Zul Ramli
0.2	20/03/2025	Add user management and distribution point process	Zulhasnain Zul Ramli
1.0	21/03/2025	Final document	Zulhasnain Zul Ramli

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ACRONYM

ACRONYM	DESCRIPTION
AAR	Alternate Authorized Representative
AMLCFT	Anti-Money Laundering/Combating the Financing of Terrorism
AMSF	Annual Membership Subscription Fee
AR	Authorized Representative
AUM	Asset Under Management
BOD	Board of Directors
CAS	Consultant Alert
CEILLI	Certificate Examination in Investment-Linked Life Insurance
CEO	Chief Executive Officer
CFP	Certified Financial Planner
ChFC	Chartered Financial Consultant
CMSRL	Capital Market Services Representative License
CPD	Continuing Professional Development
CPRA	Corporate Private Retirement Scheme Adviser
CPRE	Computerized Private Retirement Scheme Examination
CSD	Corporate Services Division
CUTA	Corporate UTS Adviser
CUTE	Computerized Unit Trust Examination
DMS	Document Management Storage
FIMM	Federation of Investment Managers Malaysia
FMS	Funds Malaysia System

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GM	General Manager
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ACRONYM	DESCRIPTION
HOD	Head of Department
ID	Industry Development
IFP	Islamic Financial Planner
IPRA	Institutional Private Retirement Scheme Adviser
ISD	Industry Services Division
IUTA	Institutional Unit Trust Scheme Adviser
KYC	Know Your Customer
LRA	Legal and Regulatory Affairs
MOF	Ministry of Finance
NAV	Net Asset Value
NRIC	National Registration Identity Card
OTP	One Time Password
PDS	Professional Development and Services
PRC	Private Retirement Scheme Consultant
PRP	Private Retirement Scheme Provider
PRS	Private Retirement Scheme
R&A	Research and Analytics
RD	Registration Department
RFP	Registered Financial Planner
RSD	Regulatory Services Division

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SC	Security Commission Malaysia
SRFP	Shariah Registered Financial Planner
SRS	System Requirement Specification
ACRONYM	DESCRIPTION
SD	Supervision Department
UML	Unified Modelling Language
UTC	Unit Trust Consultant
UTMC	Unit Trust Management Company
UTS	Unit Trust Scheme
OR	Official Receipt
CN	Credit Note
IDD	Integration Design Document


Table 1: Acronym Table

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	Managers Malaysia (FiMM)					

ABBREVIATIONS ROLE

Abbreviation	DESCRIPTION
D-MKR	Distributor - Maker
D-CHK	Distributor - Approver
D-ADM	Distributor Administrator

Table 2: Abbreviation Role Table

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CHAPTER 1: INTRODUCTION

The **FCS System User Manual** is designed to provide users with a comprehensive guide to effectively utilizing the **FCS system**. This manual serves as a reference document to help users understand the system's functionalities, features, and processes to ensure smooth operation and efficient task completion.

By following the instructions in this manual, users will be able to navigate the system with ease, perform necessary actions, and troubleshoot common issues. The manual is structured to accommodate both new and existing users, offering clear step-by-step guidance for system access and usage.

Purpose

The purpose of this manual is to equip users with the essential knowledge required to maximize the benefits of the **FCS system**. It provides:

- **A detailed overview of the system's functions and capabilities** to help users understand its key features.
- **Step-by-step instructions** on accessing and operating the system efficiently.
- **Guidelines on alternative modes of operation and contingencies** in case of system issues or errors.
- **Best practices and recommendations** for optimal system use.

This manual ensures that users can confidently navigate the system while maintaining security, accuracy, and efficiency in their tasks.


Contact Information

If users require further assistance or encounter any issues while using the **FCS system**, they can contact the **Federation of Investment Managers Malaysia (FIMM)** for support.

Support Contact Details:

Email: itsupport@fimm.com.my

Website: <https://www.fimm.com.my/>

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CHAPTER 2: GENERAL SYSTEM INSTRUCTION

2.1 User Log in

The FCS system application is accessible only to registered users. Each user will be provided with a unique User ID and password to log in securely.

To access the system, users must navigate to the **main login page** (<https://www.fimm.com.my/>) and enter their credentials. For first-time users, an initial login setup may be required to activate their accounts.

For security reasons:


- Users should keep their login credentials confidential.
- Passwords should be changed periodically to enhance security.
- In case of forgotten credentials, users can use the **"Forgot Password?"** option to reset their password.

Steps to Open the FCS System Website:

1. **Launch your web browser** (Google Chrome, Mozilla Firefox, Microsoft Edge, etc.)
2. **Enter the FCS System URL** in the address bar and press **Enter**.
3. The **login page** will appear, allowing users to enter their credentials.

2.1.1 Log in

Access the Login Page

1. On the homepage, locate the 'Log in'  button and click it.
2. In the **User ID** field, enter your registered **User ID**.
3. In the **Password** field, enter your **password**.

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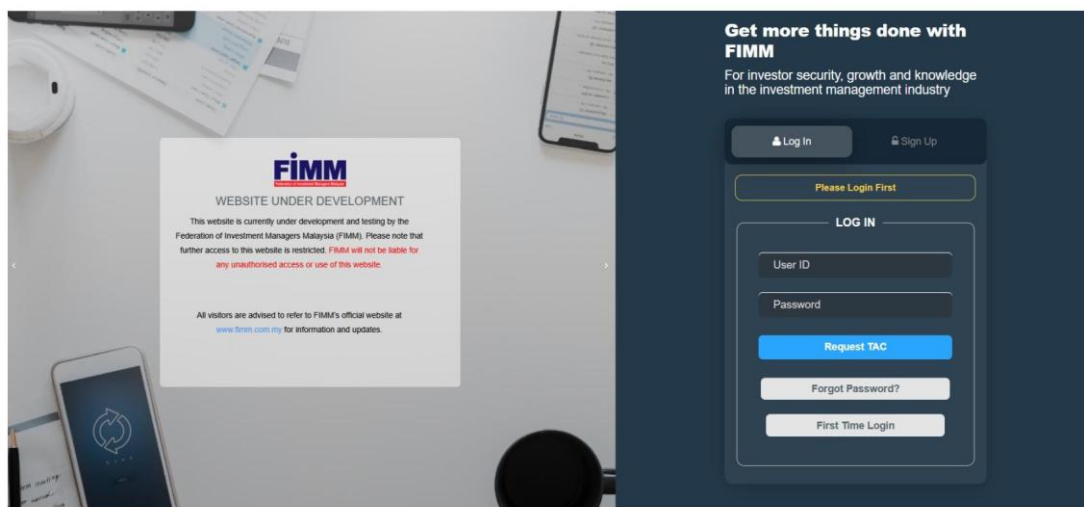


Diagram 1: FCS Homepage Display

Enter Your Credentials

4. System will show sign in page, User need to insert this item
 - User ID
 - Password
 - TAC

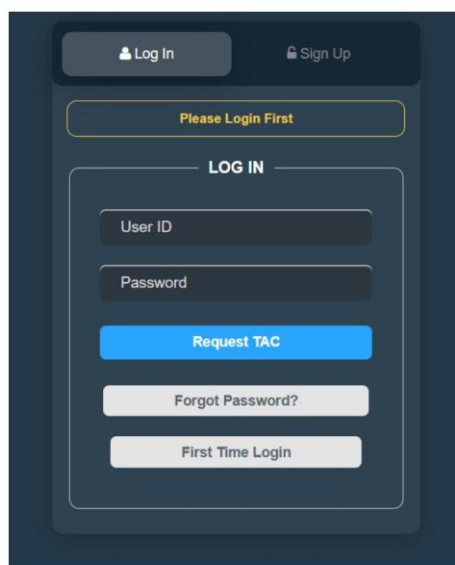


Diagram 2: Log in Display

Successful Login

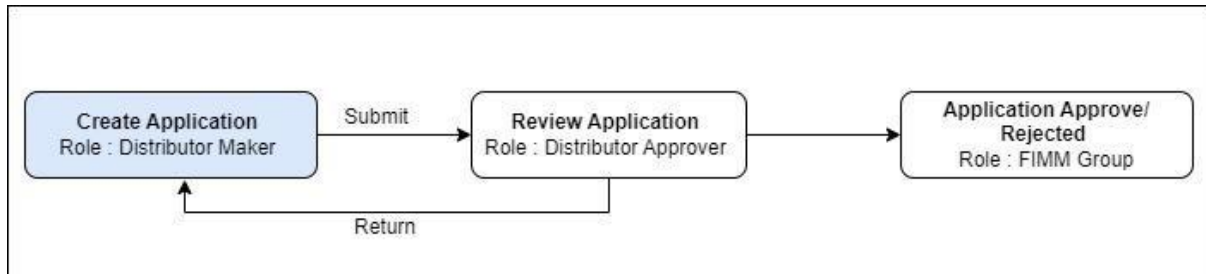
5. Click **Login** button and the system will be redirected to the FCS System dashboard.

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3.5 Extension of Time

3.5.1 Request Extension by Distributor Maker

3.5.1.1 Process Flow



3.5.1.2 Manual Steps

1. Click the 'Distributor' menu, the system will display list of submenus under 'Distributor'.

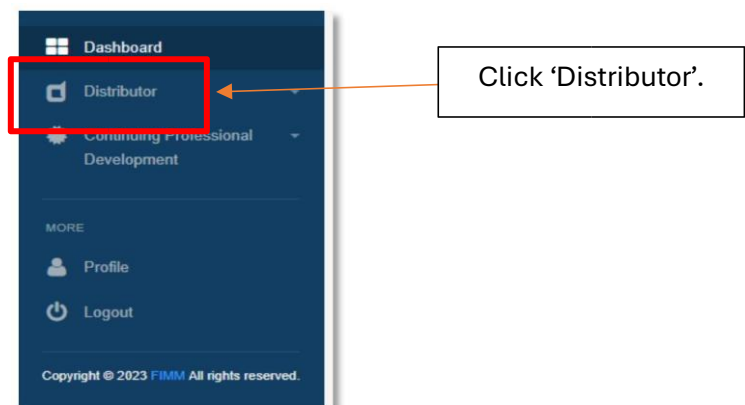
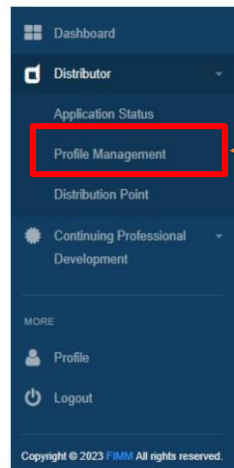


Diagram 280: Navigation Bar Display


2. Click the Profile Management submenu, the system will display the Distributor Profile page.

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Click 'Profile Management'.

Diagram 281: Navigation Bar Display

3. Click the  (navigation menu) button

Click 'Navigation Menu'.

Profile Management

FIMM > Distributor > Profile Management

Distributor Name: GAD PRSP Distributor Code: 100045

Registration Status: Active Registration Type: PRSP

1 Type of Registration

2 Company Details

3 Key Personnel

4 Consultants Management

5 Unitholder Management

6 Payment & Others

7 Declaration & Submission

Type of Registration

☐ UTS ☒ PRS

☒ PRSP

License

Capital Market Services License (CML)

☒ Fund Management in relation to portfolio management

☒ Dealing in securities / Dealing in securities restricted to unit trusts

☒ Dealing in private retirement schemes

☒ Financial Planning

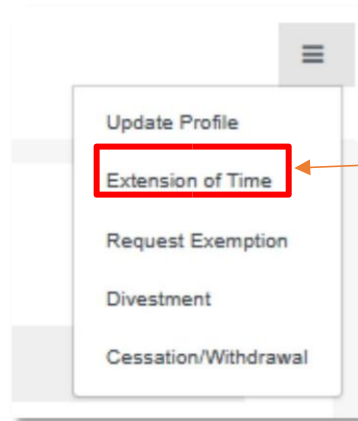
Banking License

Back Next

Diagram 282: Profile Management Page Display

4. The system will display list of user actions. Then, Click 'Extension of Time'.

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Click 'Extension of Time'.

Diagram 283: Navigation Bar Display

5. The user need to choose type of extension.

- i. Commencement of Operation
- ii. Document Submission
- iii. AMSF/Annual Fee


Diagram 284: Request for Extension of Time Form

User choose AMSF/Annual Fee

6. Distributor Maker select “AMSF/Annual Fee”. The system will display application form need user to fill in.

- i. Payment Deadline (Auto Populate)
- ii. Extension Date
- iii. Reason for Request
- iv. Document Upload(s)

Diagram 285: AMSF/Annual Fee Form

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User choose Commencement of Operations

7. Distributor Maker select “Commencement of Operations”. The system will display application form need user to fill in.

- i. Targeted Commencement Date (Auto populated)
- ii. Last Day to Request for Extension (Auto populated)
- iii. Extension Period
- iv. Reason for Request
- v. Document Upload(s)

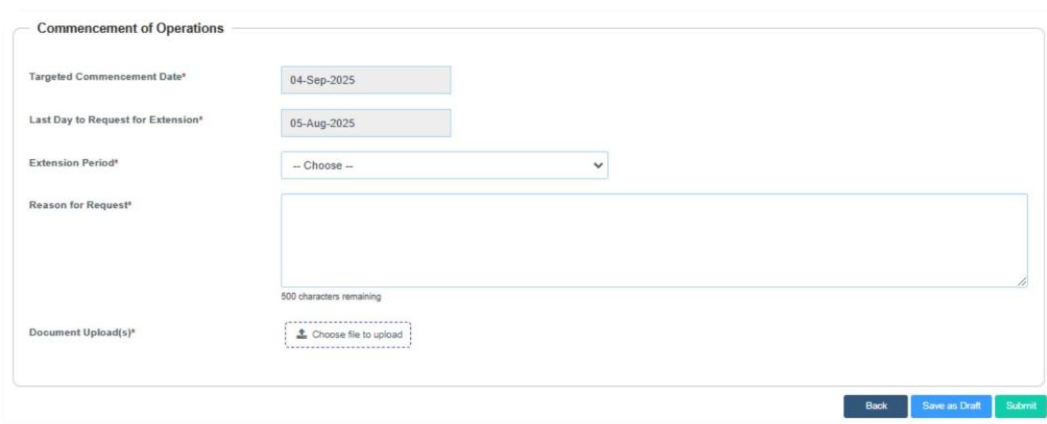


Diagram 286: Commencement of Operation Form

User choose Document Submission

8. Distributor Maker select “Document Submission”. System will display application form need user to fill in.

- i. Extension Date
- ii. Reason for Request
- iii. Document Upload(s)

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Request for Extension of Time

Type of Extension*
DOCUMENT SUBMISSION

Document Submission

Extension Date*
dd/mm/yyyy

Reason for Request*
500 characters remaining

Document Upload(s)*
Choose file to upload

Back Save as Draft Submit

Diagram 287: Document Submission Form

9. Click the **Back** button to return to the Application Status page.

Application Type
Extension of Time

Status
-- Choose --

Submission Date
From Date: dd/mm/yyyy To Date: dd/mm/yyyy

Reset Find

Show 10 entries

NO	SUBMISSION DATE	DISTRIBUTOR NAME	APPLICATION TYPE	STATUS	ACTION
1	05-Mar-2025	SUSPEND REVOKE PAT 16 (CPRA)	Extension of Time	Draf	

Showing 1 to 1 of 1 entries

Diagram 288: List Application Form Display



10. Click the 'Save as Draft' button to store the entered data. The system will display a success message confirming that the data has been saved.

Save as draft!

OK

Diagram 289: Confirmation Message Display

11. Click the **Submit** button to submit the application.

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- i. If the detail is incomplete system will display validation message if mandatory field is blank.

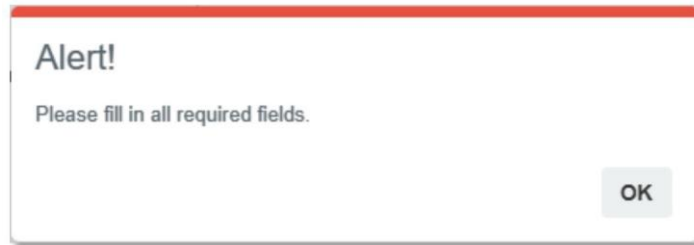


Diagram 290: Alert Message Display

- ii. If all the details are complete, the system will display popup message "Confirm to proceed?".

☐ Click **NO** to cancel the action.




Diagram 291: Confirmation Message Display

- iii. If **YES** is clicked, the system will display success message "Extension request returned!".



Diagram 292: Successful Message Display

12. Status change to 'Pending Review' in Application Status. The system will notify Distributor Approver via email and dashboard notification.

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List of Application Status

FIMM

> Distributor

> New Registration

> List of Application Status

Application Type

Extension of Time

Status

-- Choose --

Submission Date

From Date

dd/mm/yyyy

To Date

dd/mm/yyyy

Reset

Find

Show 10 entries

Search:

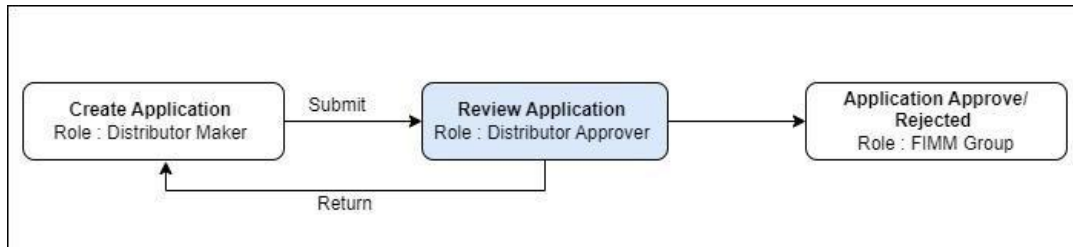
NO	SUBMISSION DATE	DISTRIBUTOR NAME	APPLICATION TYPE	STATUS	ACTION
1	05-Mar-2025	SUSPEND REVOKE PAT 16 (CPRA)	Extension of Time	Pending Review	

Diagram 293: List Application Status Form Display

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3.5.2 Review Extension by Distributor Approver

3.5.2.1 Process Flow



3.5.2.2 Manual Steps

1. Click the Distributor menu, the system will display list of submenu Distributor.

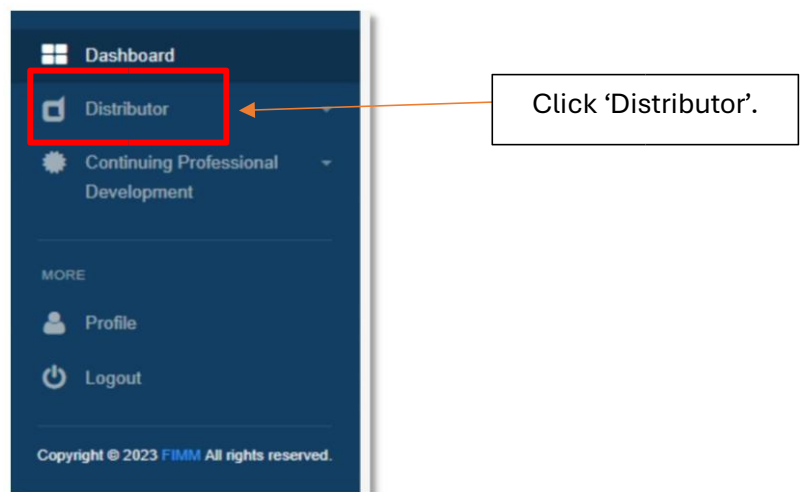

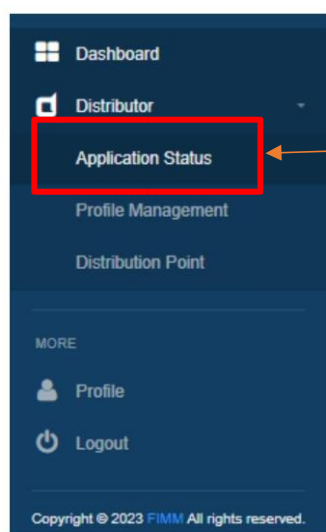


Diagram 294: Navigation Bar Display

2. Click the Application Status submenu, the system will display list of application status.

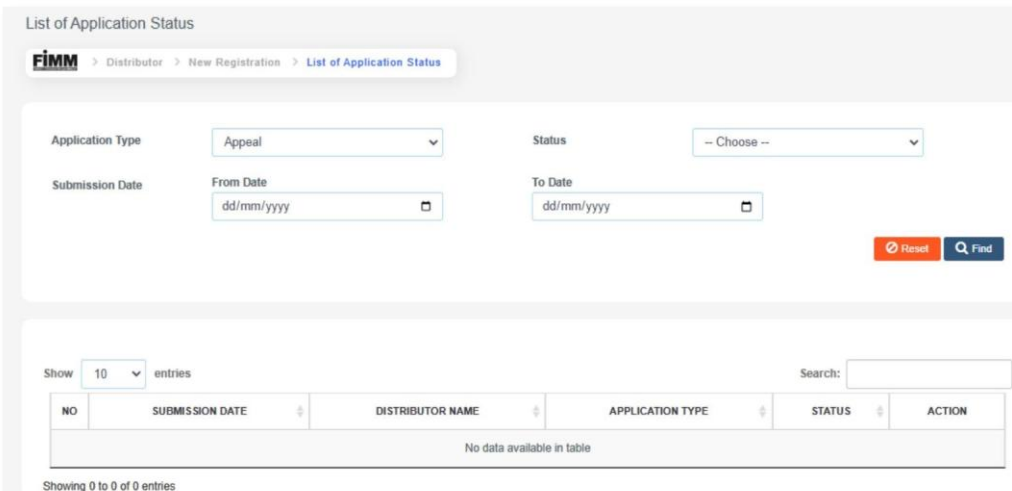
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Click 'Application Status'.


Diagram 295: Navigation Bar Display

3. The user can filter the list by:
 - i. Application Type
 - ii. Status
 - iii. Submission Date



The image shows a web form titled 'List of Application Status'. It includes a breadcrumb trail: FIMM > Distributor > New Registration > List of Application Status. The form has several filters: 'Application Type' (a dropdown menu with 'Appeal' selected), 'Status' (a dropdown menu with '-- Choose --' selected), 'Submission Date' (with 'From Date' and 'To Date' date pickers), and a 'Search' field. There are 'Reset' and 'Find' buttons. Below the filters, there is a table with columns: NO, SUBMISSION DATE, DISTRIBUTOR NAME, APPLICATION TYPE, STATUS, and ACTION. The table is currently empty, showing 'No data available in table'. At the bottom, it says 'Showing 0 to 0 of 0 entries'.

Diagram 296: List Application Form Display

4. Choose 'Extension of Time' from the drop-down *Application Type* filter. Then, click the  button.

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List of Application Status

FIMM

Distributor
New Registration
List of Application Status

Application Type

Extension of Time

Status

-- Choose --

Submission Date

From Date

dd/mm/yyyy

To Date


dd/mm/yyyy

Reset

Find

Diagram 297: List Application Form Display

5.

Under column Action, click the  button.

List of Application Status

FIMM

Distributor
New Registration
List of Application Status

Application Type

Extension of Time

Status

-- Choose --

Submission Date

From Date

dd/mm/yyyy

To Date

dd/mm/yyyy

Reset


Find

Show

10

entries

Search:


NO	SUBMISSION DATE	DISTRIBUTOR NAME	APPLICATION TYPE	STATUS	ACTION
1	05-Mar-2025	SUSPEND REVOKE PAT 16 (CPRA)	Extension of Time	Pending Review	

Showing 1 to 1 of 1 entries

Diagram 298: List Application Form Display

6.

System will display previously submitted application and user unable to edit the details.

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Profile
Distribution Point
Extension of Time

Request for Extension of Time

Type of Extension*

DOCUMENT SUBMISSION

Document Submission

Extension Date*

31-MAR-2025

Reason for Request*

test

498 characters remaining

Document(s)*

1741135912_1_Distributor_Module_-_Distributor_Group_(1)

Add Comment

☐ I hereby confirm that this submission has been thoroughly reviewed and all information provided is true, current and accurate.
This confirmation is made in alignment with regulatory requirements and company protocols for data integrity and compliance in reporting to FIMM

Diagram 299: Application Extension of Time Form Display

7. The user can click the

Add Comment

 button.
 - i. Ensure the comment is clear and concise, within the 500-character limit.
 - ii. The entered comment can be viewed by the Distributor Maker when the user returns the application.

For Distributor Use

Comment *

500 characters remaining



Add Comment

Diagram 300: Comment Form

8. Click the

Back

 button to return to the previous page.
 - i. The system will display successful messages: “Unsaved data will be lost. Do you want to continue?”.

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- Click **NO** to cancel the action.
- Click **YES** to return to the previous page.

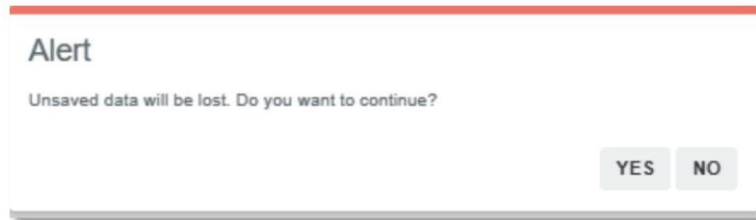


Diagram 301: Alert Message Display

9. Click the **Return** button to query the request to Distributor – Maker.
 - i. If the 'Add Comment' box is blank, the system will display the message: “Please fill in all required fields.”

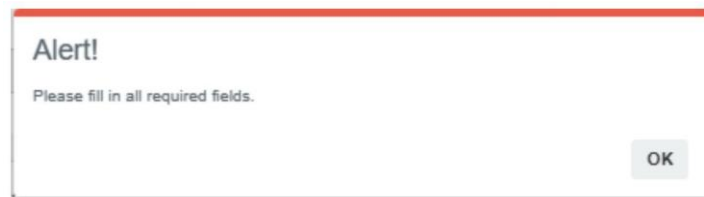


Diagram 302: Alert Message Display

- ii. If all required fields have been fill in, the system will display a popup message “Confirm to proceed?”.

- Click **NO** to cancel the action.



Diagram 303: Confirmation Message Display

- If **YES**, the system will display success message: “Extension request returned!”.

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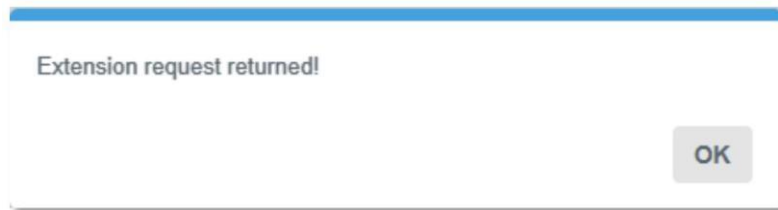


Diagram 304: Successful Message Display

10. Status changed to 'Returned' in Application Status. The system will notify Distributor Maker via email and dashboard notification.

List of Application Status

FIMM > Distributor > New Registration > List of Application Status

Application Type:

Status:

Submission Date: From Date To Date

Show entries

Search:

NO	SUBMISSION DATE	DISTRIBUTOR NAME	APPLICATION TYPE	STATUS	ACTION
1	04-Mar-2025	GAD PRSP	Cessation/Withdrawal	Returned	<input type="button" value="ⓘ"/>

Showing 1 to 2 of 2 entries

Diagram 305: List Application Status Form Display

11. Click the button to submit the application to the FIMM.
 - i. Display popup message "Confirm to proceed?".



Diagram 306: Confirmation Message Display

- If 'Yes' is clicked, system will display success message "Extension request submitted to FIMM!".


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Diagram 307: Successful Message Display

- ii. Status will change to 'Submitted' in the Application Status, and this will also be reflected for the Distributor Maker.

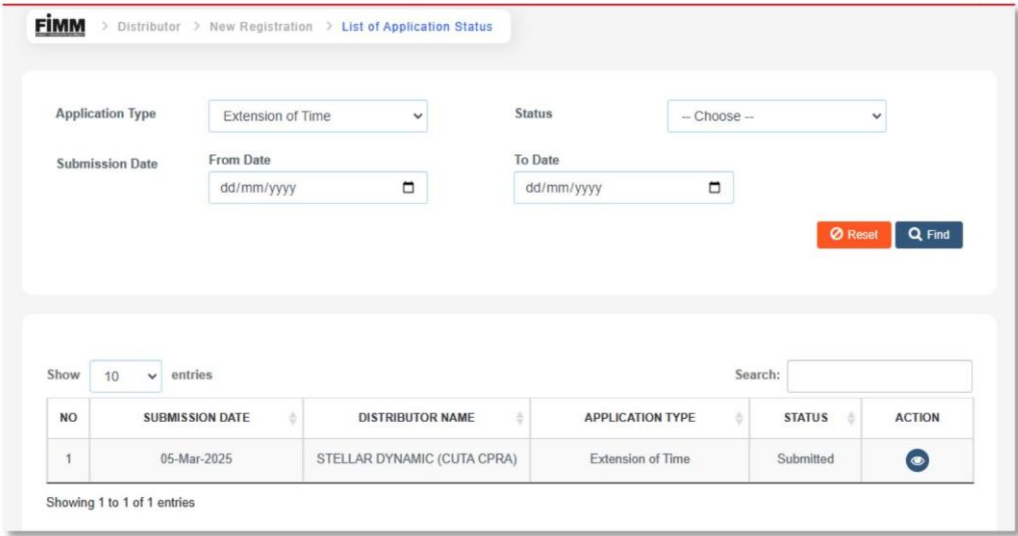


Diagram 308: List of Application Status