




**USER MANUAL**

**SUPPLY, DESIGN, DEVELOPMENT,  
INSTALLATION, TESTING,  
COMMISSIONING AND MAINTENANCE OF  
REGISTRATION SOFTWARE  
FOR  
FEDERATION OF INVESTMENT  
MANAGERS MALAYSIA (FIMM)**

**MODULE: CONSULTANT MANAGEMENT  
(REGISTRATION)  
GROUP: DISTRIBUTOR**


<b>AGENCY NAME</b>	<b>:</b>	<b>FEDERATION OF INVESTMENT MANAGERS MALAYSIA (FIMM)</b>
<b>DOCUMENT DATE</b>	<b>:</b>	<b>24/03/2025</b>
<b>DOCUMENT VERSION</b>	<b>:</b>	<b>1.0</b>

	Project	Reference	File	Version	Date	Page
	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	iv

## DOCUMENT DESCRIPTION

This document is prepared to explain how to use the FCS system. This user manual explains the functions and step by step how to use the system completely to the users involved.

Document Information	
<b>Project Name</b>	SUPPLY, DESIGN, DEVELOPMENT, INSTALLATION, TESTING, COMMISSIONING AND MAINTENANCE OF REGISTRATION SOFTWARE FOR FEDERATION OF INVESTMENT MANAGERS MALAYSIA (FIMM)
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## DOCUMENT CONTROL

Version History			
Version No	Version Date	Summary	Writer
0.1	17/03/2025	First draft document	Wan Arissa Insyirah binti Wan Aniff
1.0	24/03/2025	Final Document	Wan Arissa Insyirah binti Wan Aniff


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## ACRONYM

ACRONYM	DESCRIPTION
AAR	Alternate Authorized Representative
AMLCFT	Anti-Money Laundering/Combating the Financing of Terrorism
AMSF	Annual Membership Subscription Fee
AR	Authorized Representative
AUM	Asset Under Management
BOD	Board of Directors
CAS	Consultant Alert
CEILLI	Certificate Examination in Investment-Linked Life Insurance
CEO	Chief Executive Officer
CFP	Certified Financial Planner
ChFC	Chartered Financial Consultant
CMSRL	Capital Market Services Representative License
CPD	Continuing Professional Development
CPRA	Corporate Private Retirement Scheme Adviser
CPRE	Computerized Private Retirement Scheme Examination
CSD	Corporate Services Division
CUTA	Corporate UTS Adviser
CUTE	Computerized Unit Trust Examination
DMS	Document Management Storage
FIMM	Federation of Investment Managers Malaysia

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FMS	Funds Malaysia System
GM	General Manager

ACRONYM	DESCRIPTION
HOD	Head of Department
ID	Industry Development
IFP	Islamic Financial Planner
IPRA	Institutional Private Retirement Scheme Adviser
ISD	Industry Services Division
IUTA	Institutional Unit Trust Scheme Adviser
KYC	Know Your Customer
LRA	Legal and Regulatory Affairs
MOF	Ministry of Finance
NAV	Net Asset Value
NRIC	National Registration Identity Card
OTP	One Time Password
PDS	Professional Development and Services
PRC	Private Retirement Scheme Consultant
PRP	Private Retirement Scheme Provider
PRS	Private Retirement Scheme
R&A	Research and Analytics
RD	Registration Department
RFP	Registered Financial Planner

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RSD	Regulatory Services Division
SC	Security Commission Malaysia
SRFP	Shariah Registered Financial Planner
SRS	System Requirement Specification
ACRONYM	DESCRIPTION
SD	Supervision Department
UML	Unified Modelling Language
UTC	Unit Trust Consultant
UTMC	Unit Trust Management Company
UTS	Unit Trust Scheme
OR	Official Receipt
CN	Credit Note
IDD	Integration Design Document


Table 1: Acronym Table

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## ABBREVIATIONS ROLE

Abbreviation	DESCRIPTION
C-CLRK	Consultant Applicant
C-CON	Consultant
C-APP	Consultant Applicant
D-C-MKR	Consultant - Maker
D-C-CHK	Consultant - Approver
F-RD	FIMM RD

*Table 2: Abbreviation Role Table*

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## CHAPTER 1: INTRODUCTION

The **FCS System User Manual** is designed to provide users with a comprehensive guide to effectively utilizing the **FCS system**. This manual serves as a reference document to help users understand the system's functionalities, features, and processes to ensure smooth operation and efficient task completion.

By following the instructions in this manual, users will be able to navigate the system with ease, perform necessary actions, and troubleshoot common issues. The manual is structured to accommodate both new and existing users, offering clear step-by-step guidance for system access and usage.

### 1.1.2 Purpose

The purpose of this manual is to equip users with the essential knowledge required to maximize the benefits of the **FCS system**. It provides:

- **A detailed overview of the system's functions and capabilities** to help users understand its key features.
- **Step-by-step instructions** on accessing and operating the system efficiently.
- **Guidelines on alternative modes of operation and contingencies** in case of system issues or errors.
- **Best practices and recommendations** for optimal system use.

This manual ensures that users can confidently navigate the system while maintaining security, accuracy, and efficiency in their tasks.

### 1.1.3 Contact Information


If users require further assistance or encounter any issues while using the **FCS system**, they can contact the **Federation of Investment Managers Malaysia (FIMM)** for support.

#### Support Contact Details:

**Email:** [itsupport@fimm.com.my](mailto:itsupport@fimm.com.my)

**Website:** <https://www.fimm.com.my>



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## CHAPTER 2: GENERAL SYSTEM INSTRUCTION

### 2.1 User Log in

The FCS system application is accessible only to registered users. Each user will be provided with a unique User ID and password to log in securely.

To access the system, users must navigate to the **main login page** (<https://www.fimm.com.my>) and enter their credentials. For first-time users, an initial login setup may be required to activate their accounts.

For security reasons:


1. Users should keep their login credentials confidential.
2. Passwords should be changed periodically to enhance security.
3. In case of forgotten credentials, users can use the **"Forgot Password?"** option to reset their password.


#### Steps to Open the FCS System Website:

2. **Launch your web browser** (Google Chrome, Mozilla Firefox, Microsoft Edge, etc.)
3. **Enter the FCS System URL** in the address bar and press **Enter**.
4. The **login page** will appear, allowing users to enter their credentials.

#### 2.1.1 Log in

##### Access the Login Page

1. On the homepage, locate the 'Log in'  button and click it.
2. In the **User ID** field, enter your registered **User ID**.
3. In the **Password** field, enter your **password**.

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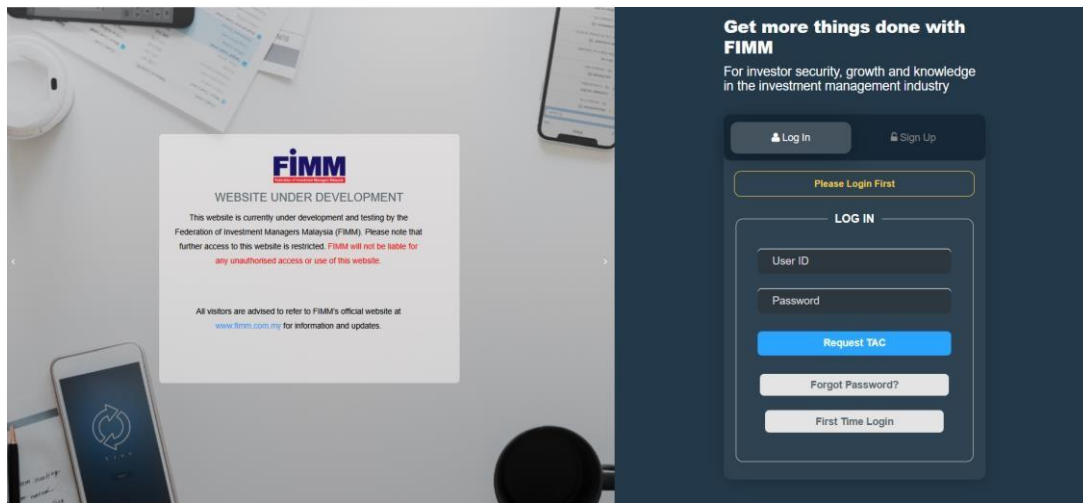


Diagram 1: FCS Homepage

### Display **Enter Your Credentials**

- System will show sign in page, User need to insert this item
  - User ID
  - Password
  - TAC

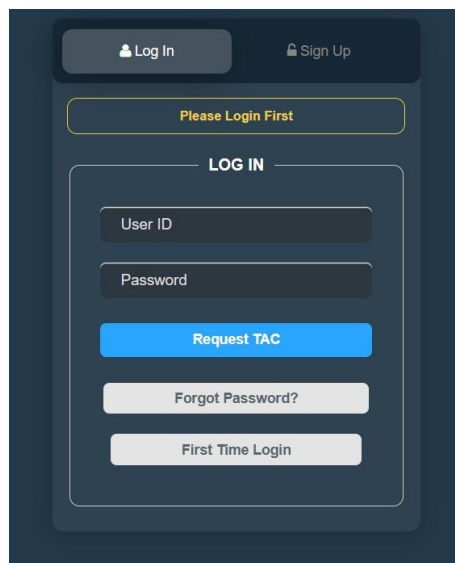




Diagram 2: Log in Display

### Successful Login

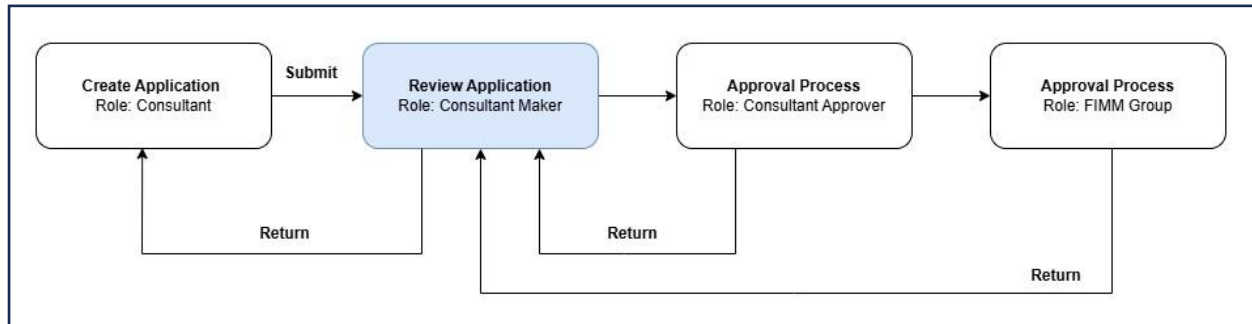
Click  button and the system will be redirected to the FCS System dashboard.

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## 3.1 Registration

### 3.1.1 Review Process by Consultant Maker

#### 3.1.1.1 Process Flow



#### 3.1.1.2 Manual Steps

1. Click menu 'Consultant'. System will display list of submenus for Consultant.

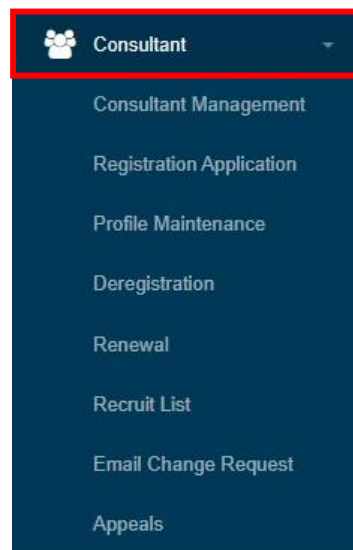


Diagram 20: Consultant Menu

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- Click submenu 'Registration Application'

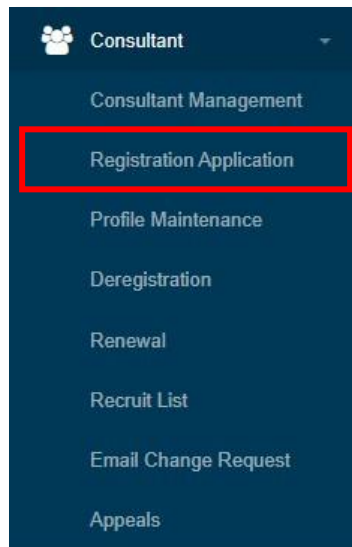


Diagram 21: Submenu 'Registration Application'

- System will display List of Application

NO	SUBMISSION DATE	CONSULTANT NAME	NRIC/PASSPORTNO	FIMM NO	SCHEME	APPLICATION TYPE	TYPE OF REGISTRATION	TYPE OF EXEMPTION	STATUS	ACTION
1	10-Mar-2025	Farah binti Zaini	960606065006	-	PRS	Registration	Exemption	Private Retirement Scheme Familiarisation Program (PRSFP)	Submitted	
2	10-Mar-2025	Kelvin Tan	L5678901	-	UTS	Registration	Exemption	Director Of Distributor (DoD)	Submitted	
3	05-Mar-2025	Callie Rose (B)	H89011211	F02029115	PRS	Registration	Exemption	CMSRL (Fund Manager)	Approved	

Diagram 22: List of Applications

- Consultant Maker can filter the list by either one or more of:

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Diagram 23: List Filter

5. System will display List of Application based on the filtering.
6. User can choose total records to display per page from dropdown. System will display the list based on total records choose.

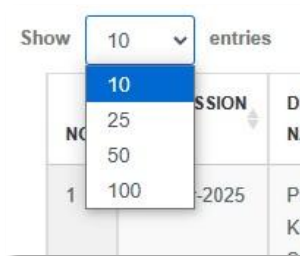


Diagram 24: Entries

7. Consultant Maker can click button 'Previous' or 'Next' to navigate to next listing page.



Diagram 25: Pagination

8. User can filter the list by keyword by inputting the keyword in search box. System will display record which contain the keyword.

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Show  entries

Search:

NO	SUBMISSION DATE	CONSULTANT NAME	NRIC/PASSPORT NO	FIMM NO	SCHEME	APPLICATION TYPE	TYPE OF REGISTRATION	TYPE OF EXEMPTION	STATUS	ACTION
1	10-Mar-2025	Farah binti Zaini	960606065006	-	PRS	Registration	Exemption	Private Retirement Scheme Familiarisation Program (PRSFP)	Submitted	
2	10-Mar-2025	Kelvin Tan	L5678901	-	UTS	Registration	Exemption	Director Of Distributor (DoD)	Submitted	

Diagram 26: Keyword Search

9. In List of Application under column Action, Consultant Maker click button



at


Registration application with status “Pending Review – Consultant Maker”.

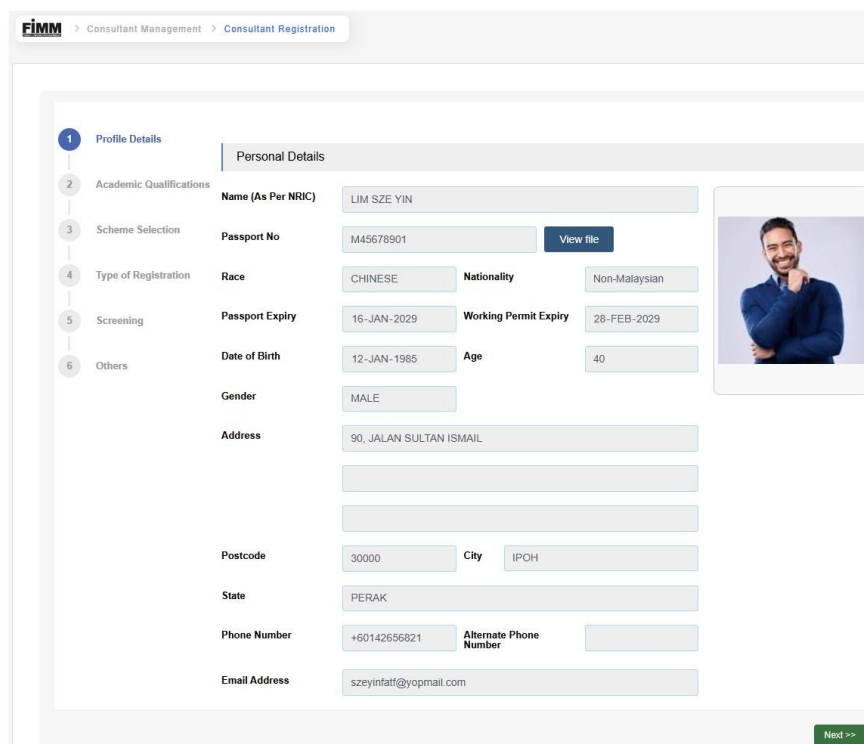
73	20-Feb-2025	Farhan bin Aziz	860707065432	F01001229	UTS	Registration	Exemption	Re-Registration	Pending Review - Consultant Maker	
74	20-Feb-2025	Lim Sze Yin	M45678901	F01001197	PRS	Registration	Examination	-	Pending Review - Consultant Maker	
75	20-Feb-2025	Ahmad bin Iskandar	950203145678	F01001194	UTS	Registration	Exemption	Re-Registration	Pending Review - Consultant Maker	

Diagram 27: List of Applications

10. System will display previously submitted application. Consultant Maker only able to edit for verification of academic qualification and distribution points.

i. Profile Details

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The screenshot shows the 'Profile Details' section of the FIMM Consultant Registration form. The form is titled 'Personal Details' and includes a sidebar with steps 1 through 6. The main form area contains the following fields:

- Name (As Per NRIC):** LIM SZE YIN
- Passport No:** M45678901 (with a 'View file' button)
- Race:** CHINESE
- Nationality:** Non-Malaysian
- Passport Expiry:** 16-JAN-2029
- Working Permit Expiry:** 28-FEB-2029
- Date of Birth:** 12-JAN-1985
- Age:** 40
- Gender:** MALE
- Address:** 90, JALAN SULTAN ISMAIL
- Postcode:** 30000
- City:** IPOH
- State:** PERAK
- Phone Number:** +60142656821
- Alternate Phone Number:** (empty field)
- Email Address:** szeyinfatt@yopmail.com

A 'Next >>' button is located at the bottom right of the form.

Diagram 28: Profile Details section

- ii. Academic Qualification.
  - a. Qualification Level
    - SPM/SVM
    - STPM/STAM
    - Diploma
    - Degree
    - Master
    - PhD
  - b. Consultant Maker can verify one or more qualification for CTC verification by clicking the  button.

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Diagram 29: Academic Qualification section


- System will prompt message “Are you sure to continue?”

Diagram 30: Confirmation Message

- If user click **YES**, system will prompt successful message and academic qualification(s) is verified.

Diagram 31: Successful Message



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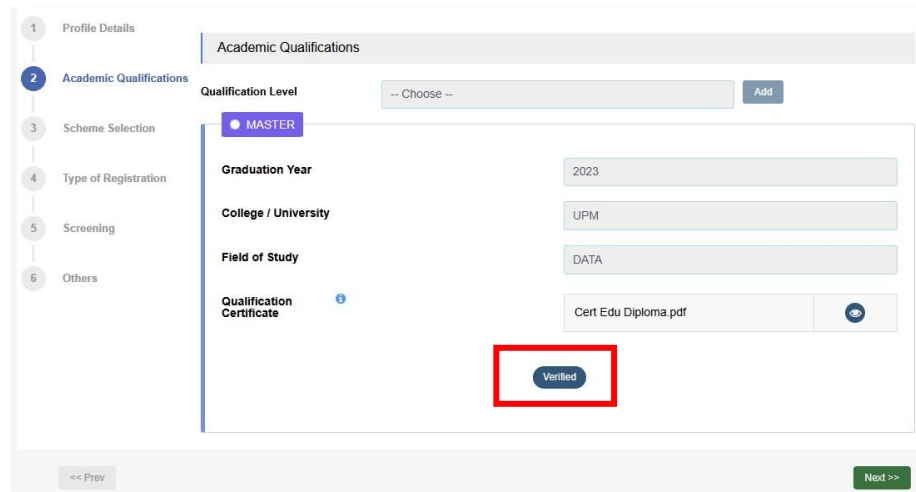


Diagram 32: Academic Qualification section after Verification

- If user click **NO**, system will stay at current page.
- c. If user does not verify any academic qualification, system will prompt error message.

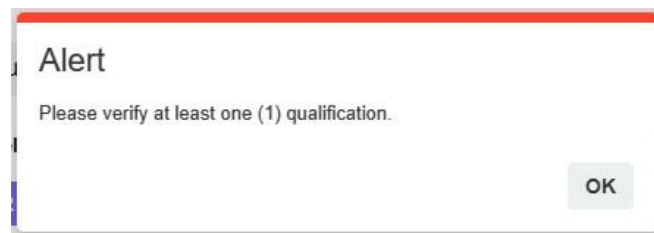


Diagram 33: Error Message

### iii. Scheme Selection

- a. Registration Status. Distributor can choose either,
- Staff
  - Tied Agent b. Distribution Point.
  - Distributor can select which distribution point for the consultant.

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The screenshot shows a multi-step registration form. Step 3, 'Scheme Selection', is active. The 'Distribution Point' field is highlighted with a red box, and its dropdown menu is open, showing the following options: '-- Choose --', 'Johor Branch', 'Sabah Branch', and 'Sarawak Branch'. Other fields include 'Registration Status' (Tied Agent selected), 'Scheme\*' (Private Retirement Scheme (PRS) selected), and 'List of Distributor\*'. Navigation buttons '<< Prev' and 'Next >>' are at the bottom.

Diagram 34: Distribution Point selection

- System will prompt error message if user does not select the distribution point.

The screenshot shows an 'Alert' dialog box with a red header bar. The text inside reads 'Alert' followed by 'Please select distribution point'. An 'OK' button is located in the bottom right corner.

Diagram 35: Error Message

- Distributor Selection
- CMSRL Requirement (Only applicable for CUTA/CPRA type of Distributor)

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**1** Profile Details

**2** Academic Qualifications

**3** Scheme Selection

**4** Type of Registration

**5** Screening

**6** Others

**Distribution Point**

Registration Status: ☒ Tied Agent ☐ Staff

Distribution Point:

**Scheme Selection**

Scheme\*: ☒ Unit Trust Scheme (UTS) ☐ Private Retirement Scheme (PRS)

List of Distributor\*:

**CMSRL Requirement**

Do you have CMSRL\*: ☐ Yes ☒ No

Recruiter Name\*:

Recruiter CMSRL No.\*:  Recruiter FIMM No.\*:

<< Prev Next >>

Diagram 36: Scheme Selection section for CUTA/CPRA type of Distributor

iv. Type Of Registration

a. Examination

**1** Profile Details

**2** Academic Qualifications

**3** Scheme Selection

**4** Type of Registration

**5** Screening

**6** Others

**Exam Booking Session**

Please select an option\*:


Center Location:

DATE	TIME	CENTER	STATE	EXAM	LANGUAGE
10-Mar-2025	15.00	ARCNET 1	Wilayah Persekutuan Kuala Lumpur	CUTE	English
13-Mar-2025	12.00	ARCNET 1	Wilayah Persekutuan Kuala Lumpur	CUTE	English
16-Mar-2025	9.00	ARCNET 1	Wilayah Persekutuan Kuala Lumpur	CUTE	English

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Diagram 37: Type of Registration – Examination

b. Exemption

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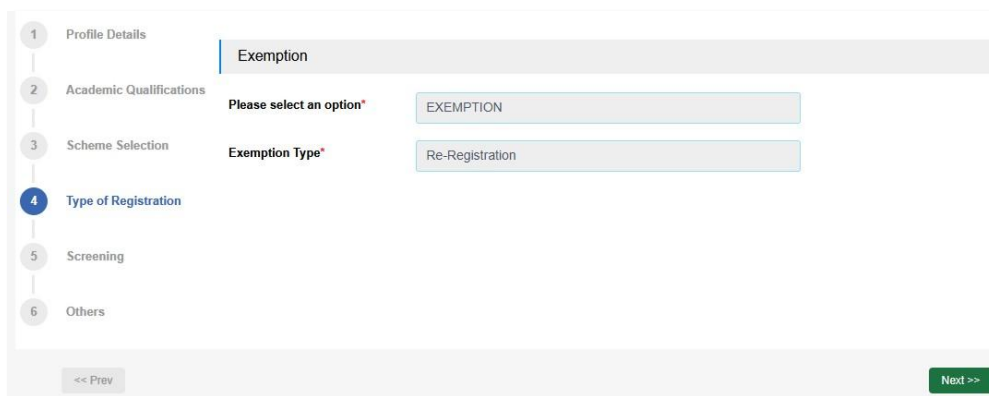


Diagram 38: Type of Registration - Exemption

- v. Screening
  - a. CAS Screening (Clear/whitelist/Under Investigation/Watchlist/Barred/Suspension – Under Investigation/Suspension – Sanctioned/Blacklist)
  - b. Bankruptcy Screening (Clear/Bankrupted)

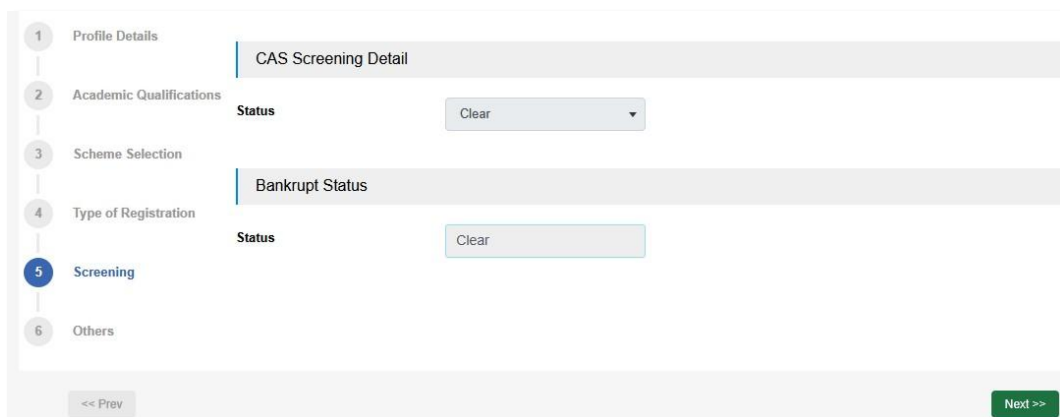



Diagram 39: Screening Section

- vi. Others
  - a. Supporting Document
  - b. Signed Consent Form
  - c. Declaration

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1

Profile Details

2

Academic Qualifications

3

Scheme Selection

4

Type of Registration

5

Screening

6

Others

Upload Supporting Document

File Name

Choose File

NO FILE CHOSEN

Add

NO	FILE NAME	ACTION
1	12	View

Upload Signed Consent Form

Application Consent Form\*

Choose File

NO FILE CHOSEN

[FIMM-Consent-Form.pdf](#)

NO	DATE	FILE NAME	ACTION
1	20-Feb-2025	passport.pdf	View
2	19-Feb-2025	FIMM-Consent-Form.pdf	View

Declaration

Name(As Per NRIC)

FARHAN BIN AZIZ

NRIC

860707065432

4. I undertake and agree to be bound by and comply with the FIMM Rules and securities laws issued by the Securities Commission Malaysia and any other applicable laws at all times;

5. I undertake to immediately notify the principal and/or FIMM in the event that I fail to satisfy any of the fit and proper criteria prescribed by FIMM;

6. I declare and confirm that I have read and understood FIMM's Privacy Notice available on its website, and I hereby consent to the processing of my personal data provided herein by FIMM for the purposes stated in the Privacy Notice;

7. I will timely and fully pay all fees and charges imposed by FIMM and undertake to do all things necessary for my continuing registration with FIMM; and

8. I expressly consent and authorise the principal and FIMM to obtain and/or disclose any information, including but not limited to, credit information, academic and professional qualifications, from and/or to a Credit Reporting Agency or any source deemed appropriate and reasonable for the purposes of this application for registration with FIMM. This consent shall remain in effect during the period of any registration with FIMM as a consultant.

☒ I understand the gravity of the above statements and the implications of non-compliance with FIMM's Rules and in providing false information. I affirm that the statements made herein are true to the best of my knowledge and belief.

20-Feb-2025

<< Prev


Diagram 40: Others Section

vii. Comment

Comment

500 characters remaining

Diagram 41: Comment section

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11. To return the application,



- i. User key-in comment box and click button . System will prompt message “Confirm to proceed?”



Diagram 42: Confirmation Message

- ii. User click button . System will return the application back to Consultant Applicant with status “Returned”. System will navigate back to list of applications.

- iii. System will show error message if user does not key-in the comment box.

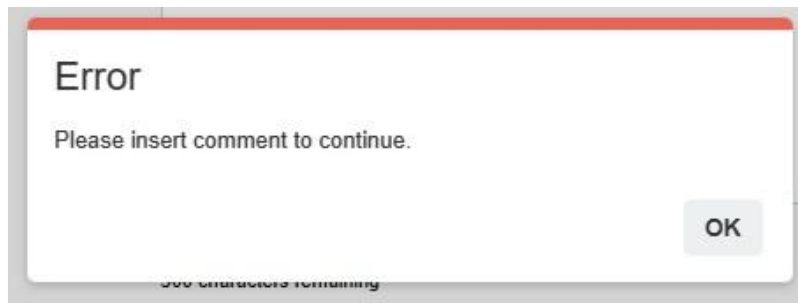

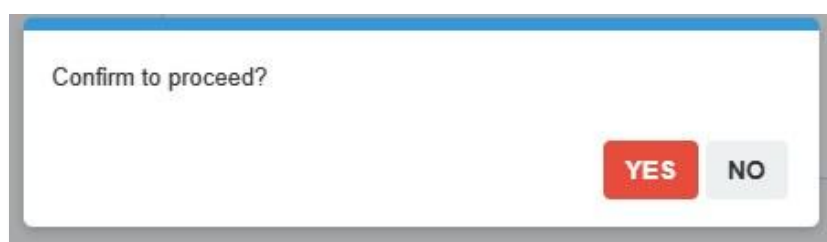


Diagram 43: Error Message

12. To reject the application, Consultant Maker click button .

- i. System will prompt message “Confirm to proceed?”. User click button .



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Diagram 44: Confirmation Message

- ii. System will display popup for user to key-in ground of rejection for rejecting consultant application.

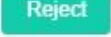
Grounds of Rejection

Grounds of Rejection \*

500 characters remaining

Reject Cancel

Diagram 45: Grounds of Rejection

- iii. User key – in Grounds of Rejection and click .
  - a. Application status change to “Rejected”. User can view the grounds of rejection at the bottom.

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2 Academic Qualifications  
3 Scheme Selection  
4 Type of Registration  
5 Screening  
6 Others

### Personal Details

**Name (As Per NRIC)** KHALILAH JAMAL

**Passport No** N56789012 [View file](#)

**Race** MALAY **Nationality** Non-Malaysian

**Passport Expiry** 05-JUN-2026 **Working Permit Expiry** 05-JUL-2026

**Date of Birth** 02-FEB-1992 **Age** 33

**Gender** FEMALE

**Address** KAMPUNG BARU

**Postcode** 50000 **City** KUALA LUMPUR

**State** WILAYAH PERSEKUTUAN KUALA LUMPUR

**Phone Number** +60142656821 **Alternate Phone Number**

**Email Address** khalilahfatt@yopmail.com

[Next >>](#)

**Grounds of Rejection**

Please submit a clear copy of IC

Diagram 46: Consultant Registration Application - Rejected


- b. System will show error message if user does not key-in the ground of rejection.

Please fill ground of rejection


OK

Diagram 47: Error Message



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13. To submit the application, user click button .

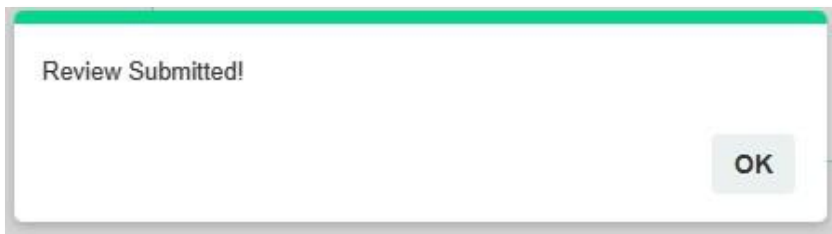
System will prompt message “Confirm to proceed ?” and user click 



i.

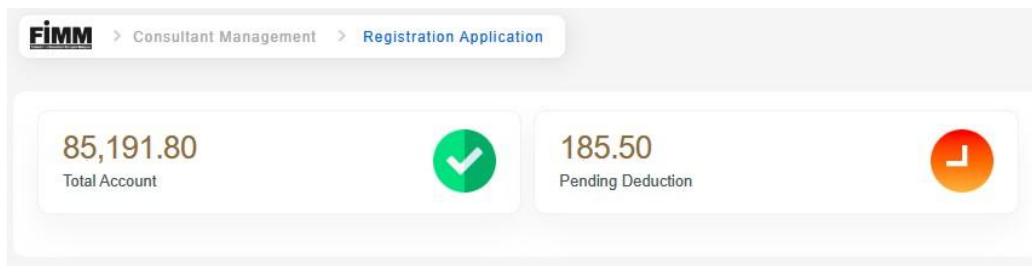
*Diagram 48: Confirmation Message*

- ii. System will display successful application message.



*Diagram 49: Successful Message*


- iii. System will de-duct distributor pre-payment account balance temporarily and navigate back to list of applications.



*Diagram 50: Pre-Payment Account balance deduction*

- iv. Status change to “Pending Approval – Consultant- Approver”

**Returned – Consultant Approver**

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Federation of Investment Managers Malaysia (FIMM)		ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	28

1. In List of Application under column Action, Consultant Maker click button



at

Registration application with status “Returned – Consultant Approver”.




58	20-Feb-2025	Syafiq bin Razali [PRS]	001201000110	-	PRS	Registration	Exemption	Private Retirement Scheme Familiarisation Program (PRSFP)	Pending Bankruptcy Confirmation	
59	20-Feb-2025	Farhan bin Aziz	860707065432	F01001229	UTS	Registration	Exemption	Re-Registration	Pending Approve - Consultant Approver	
60	20-Feb-2025	Lim Sze Yin	M45678901	F01001197	PRS	Registration	Examination	-	Returned - Consultant Approver	


Diagram 51: List of Applications

2. System will display previously submitted application. Consultant Maker only able to edit for unverified verification of academic qualification distribution points, CAS and bankruptcy upload file. Anything else related to consultant must be returned to consultant to be edited.

i. Profile Details

- a. Name
- b. NRIC/Passport No
- c. Nationality
- d. Date Of Birth
- e. Age
- f. Race
- g. Gender
- h. Address
- i. Postcode
- j. City
- k. State
- l. Phone Number
- m. Alt Phone Number
- n. Email Address
- o. Photo

ii. Academic Qualification.

	Project	Reference	File	Version	Date	Page
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a. Qualification Level

- SPM/SVM
- STPM/STAM
- Diploma
- Degree
- Master
- PhD

b. Consultant Maker can verify / edit for unverified verification of academic qualification for CTC verification by clicking the



button.

iii. Scheme Selection

a. Registration Status

- Staff
- Tied Agent

b. Distribution Point.

c. Distributor Selection

d. CMSRL Requirement (Only applicable for CUTA/CPRA type of Distributor)

iv. Type Of Registration

a. Examination


b. Exemption

v. Screening

a. CAS Screening (Clear/whitelist/Under Investigation/Watchlist/Barred/Suspension – Under Investigation/Suspension – Sanctioned/Blacklist)

- If the consultant applicant has already failed CAS screening, user able to tick training that the consultant applicant completed to fulfil the requirement for the CAS status to be uplifted.


b. Bankruptcy Screening (Clear/Bankrupted)

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	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	30

- If the consultant already failed bankruptcy screening, user able to upload release letter of bankruptcy for consultant to uplift the bankruptcy status

vi. Others

- Supporting Document
- Signed Consent Form
- Declaration

	Project	Reference	File	Version	Date	Page
	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	31

vii. Return Comment (This field should have comment provided from Consultant Approver)

1

Profile Details

2

Academic Qualifications

3

Scheme Selection

4

Type of Registration

5

Screening

6

Others

Upload Supporting Document

File Name

Choose File

NO FILE CHOSEN

Add

Upload Signed Consent Form

Application Consent Form\*

Choose File

NO FILE CHOSEN

[FIMM-Consent-Form.pdf](#)

NO	DATE	FILE NAME	ACTION
1	20-Feb-2025	FIMM-Consent-Form.pdf	<a href="#">View</a>
2	19-Feb-2025	FIMM-Consent-Form.pdf	<a href="#">View</a>

Declaration

Name(As Per NRIC)

LIM SZE YIN

NRIC

M45678901

4. I undertake and agree to be bound by and comply with the FIMM Rules and securities laws issued by the Securities Commission Malaysia and any other applicable laws at all times;

5. I undertake to immediately notify the principal and/or FIMM in the event that I fail to satisfy any of the fit and proper criteria prescribed by FIMM;

6. I declare and confirm that I have read and understood FIMM's Privacy Notice available on its website, and I hereby consent to the processing of my personal data provided herein by FIMM for the purposes stated in the Privacy Notice;

7. I will timely and fully pay all fees and charges imposed by FIMM and undertake to do all things necessary for my continuing registration with FIMM; and

8. I expressly consent and authorise the principal and FIMM to obtain and/or disclose any information, including but not limited to, credit information, academic and professional qualifications, from and/or to a Credit Reporting Agency or any source deemed appropriate and reasonable for the purposes of this application for registration with FIMM. This consent shall remain in effect during the period of any registration with FIMM as a consultant.

☒ I understand the gravity of the above statements and the implications of non-compliance with FIMM's Rules and in providing false information. I affirm that the statements made herein are true to the best of my knowledge and belief.

20-Feb-2025

<< Prev

Return Comment

Registration Application returned due to incomplete documents.


Comment

500 characters remaining

Diagram 52: Return Comment from Consultant Approver

FIMM Federation of Investment Managers Malaysia	Project	Reference	File	Version	Date	Page
	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	32

## Failed CAS Screening

1. In List of Application under column Action, user click button  at registration application with status "Pending CAS Confirmation".

37	20-Jan-2025	Sofia Ahmad	Y1234568	-	PRS	Registration	Exemption	Private Retirement Scheme Familiarisation Program (PRSFP)	Returned	
38	20-Jan-2025	Dayana Elia	880102030404	F02001904	PRS	Registration	Exemption	Re-Registration	Pending CAS Confirmation	
39	20-Jan-2025	Tengku Adlin	010102030406	F01001772	UTS	Registration	Examination	-	Approved	

Diagram 53: List of Applications

2. System will display previously submitted application and consultant applicant details:
  - i. Profile Details
  - ii. Academic Qualification
  - iii. Scheme Selection
  - iv. Type Of Registration
  - v. Screening
  - vi. Others
3. Under Screening section, user can view failed CAS status.
  - i. For conditional CAS status, Consultant Maker can see remarks from LRA of the training need to attend to uplift the conditional status. ii. Consultant Maker must select 1 training that has been completed by the Consultant applicant to proceed with the application.

- 1 Profile Details
- 2 Academic Qualifications
- 3 Scheme Selection
- 4 Type of Registration
- 5 Screening
- 6 Others

### CAS Screening Detail

**Status** Suspension – Sanctioned

**Conditional** ☒ Yes ☐ No

**Conditional Remark** Please attend one (1) training program.


NO	DESCRIPTION OF ACTIVITY	START DATE	END DATE	FULFILMENT
1	FIMM TRAINING PROGRAM	02/MAR/2025	02/MAR/2025	<input checked="" type="checkbox"/>
2	FINANCE TRAINING	03/MAR/2025	03/MAR/2025	<input type="checkbox"/>

Diagram 54: CAS Screening detail

FIMM Federation of Investment Managers Malaysia	Project	Reference	File	Version	Date	Page
	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	33

- iii. Otherwise, system will prompt error message and block the application to be submit for next approval.

## Failed Bankruptcy Screening

- In List of Application under column Action, Consultant Maker click button  at registration application with status “Pending Bankruptcy Confirmation”.


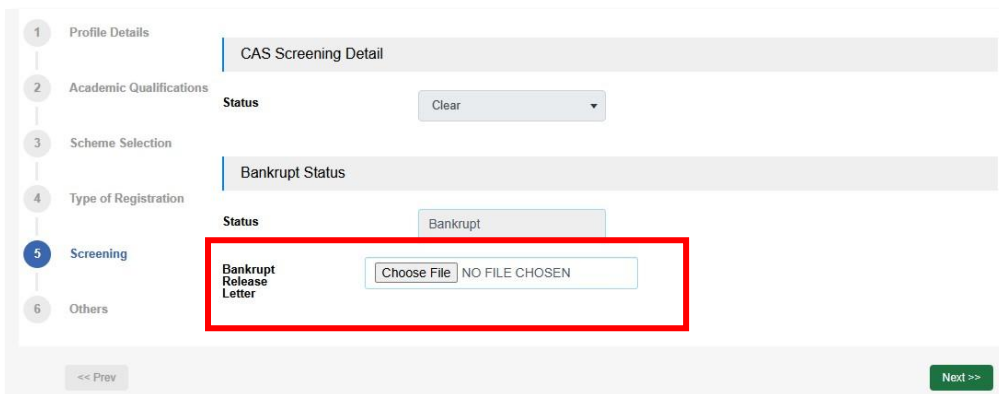
NO	SUBMISSION DATE	CONSULTANT NAME	NRIC/PASSPORTNO	FIMM NO	SCHEME	APPLICATION TYPE	TYPE OF REGISTRATION	TYPE OF EXEMPTION	STATUS	ACTION
1	20-Feb-2025	Syafiq bin Razali [PRS]	001201000110	-	PRS	Registration	Exemption	Private Retirement Scheme Familiarisation Program (PRSFP)	Pending Bankruptcy Confirmation	
2	20-Feb-2025	Hana binti Idris (W)	001201000105	-	PRS	Registration	Exemption	Director Of Distributor (DoD)	Pending Bankruptcy Confirmation	

Diagram 55: List of Applications

- System will display previously submitted application and consultant applicant:
  - Profile Details
  - Academic Qualification
  - Scheme Selection
  - Type Of Registration
  - Screening
  - Others
- Under Screening section, user can view consultant applicant failed Bankruptcy Status.
  - Consultant Maker need to upload letter of release for the consultant to proceed with the application.





	Project	Reference	File	Version	Date	Page
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Diagram 56: Failed Bankruptcy Screening

## Returned – RD

1. In List of Application under column Action, user click button  at registration application with status “Returned – RD”.




12	04-Mar-2025	Tiara binti Adnan (W)	001201000120	-	PRS	Registration	Exemption	Chief Executive Officer (CEO)	Submitted	
13	04-Mar-2025	Riezaman bin Khuzaimi (W)	880202019101	F01029110	UTS	Registration	Exemption	Director Of Distributor (DoD)	Returned - RD	

Diagram 57: List of Applications

2. System will display previously returned application.
  - i. Profile Details
    - a. Name
    - b. NRIC/Passport No
    - c. Nationality
    - d. Date Of Birth
    - e. Age
    - f. Race
    - g. Gender
    - h. Address
    - i. Postcode
    - j. City
    - k. State
    - l. Phone Number
    - m. Alt Phone Number
    - n. Email Address
    - o. Photo
  - ii. Academic Qualification:
    - a. Qualification Level
      - SPM/SVM
      - STPM/STAM
      - Diploma
      - Degree



	<b>Project</b> Federation of Investment Managers Malaysia (FIMM)	<b>Reference</b> ZANKO/FIMM/UM/V0.1	<b>File</b> User Manual Document	<b>Version</b> 1.0	<b>Date</b> 24/03/25	<b>Page</b> 35
---	--	--	-------------------------------------	-----------------------	-------------------------	-------------------

- Master
- PhD

b. Consultant Maker can verify / edit for unverified verification of academic qualification for CTC verification by clicking the



button.

iii. Scheme Selection

- a. Registration Status
- b. Distribution Point
- c. Distributor Selection
- d. CMSRL Requirement (Only applicable for CUTA/CPRA type of Distributor)

iv. Type Of Registration

- a. Examination
- b. Exemption


v. Screening

- a. CAS Screening (Clear/whitelist/Under Investigation/Watchlist/Barred/Suspension – Under Investigation/Suspension – Sanctioned/Blacklist)
- b. Bankruptcy Screening (Clear/Bankrupted)

vi. Others

- a. Supporting Document
- b. Signed Consent Form
- c. Declaration

v. FIMM Comment (This field should have comment provided by FIMM RD)

	Project	Reference	File	Version	Date	Page
	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	36

1

Profile Details

2

Academic Qualifications

3

Scheme Selection

4

Type of Registration

5

Screening

6

Others

Distribution Point

Registration Status

☐ Tied Agent
☒ Staff

Distribution Point

Sabah Branch

Scheme Selection

Scheme\*

☒ Unit Trust Scheme (UTS)
☐ Private Retirement Scheme (PRS)

List of Distributor\*

Prestasi Kewangan Sdn Bhd

<< Prev

Next >>


FIMM Comment

Application Returned

Comment

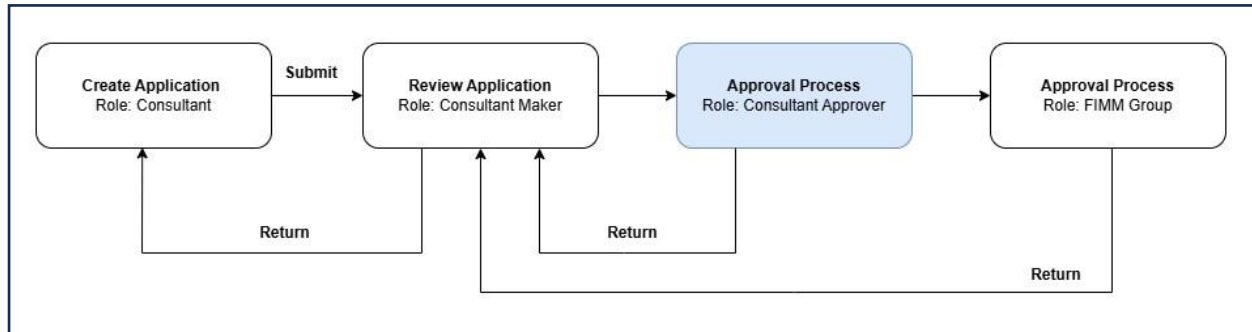
500 characters remaining

Diagram 58: Returned – RD

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## 3.1.2 Approval Process by Consultant Approver

### 3.1.2.1 Process Flow



### 3.1.2.2 Manual Steps

1. Click menu 'Consultant'. System will display list of submenu for Consultant.

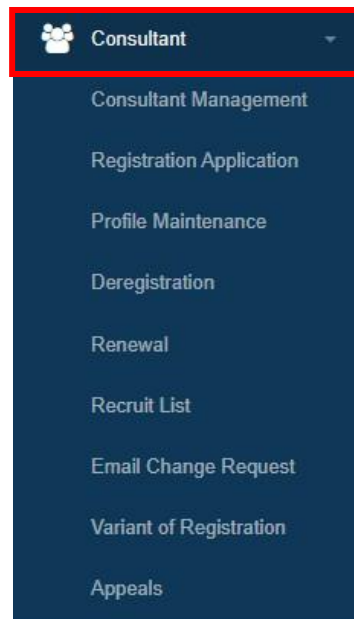


Diagram 59: Consultant Menu

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	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	38

2. Click submenu 'Registration Application'

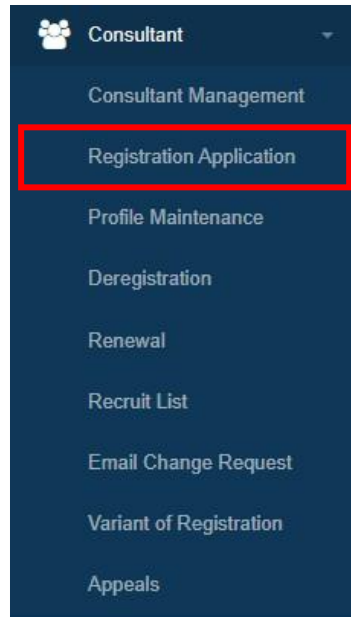


Diagram 60: Submenu 'Registration Application'

3. System will display List of Application

NO	SUBMISSION DATE	CONSULTANT NAME	NRIC/PASSPORTNO	FIMM NO	SCHEME	APPLICATION TYPE	TYPE OF REGISTRATION	TYPE OF EXEMPTION	STATUS	ACTION
1	10-Mar-2025	ZIYAD AFNAN (FR)	801020010096	F01029217	UTS	Registration	Exemption	CMSRL (Fund Manager)	Approved	
2	10-Mar-2025	RAYQAL ILYAS (FR)	801020010095	F01029216	UTS	Registration	Exemption	CMSRL (Fund Manager)	Approved	
3	10-Mar-2025	NAUFAL SYAHREZAN (FR)	801020010094	F01029215	UTS	Registration	Exemption	CMSRL (Fund Manager)	Approved	

Diagram 61: List of Applications

4. Consultant Approver can filter the list


Consultant Name:  NRIC/Passport:

FIMM No:  Scheme:  Type of Application:

Type Of Registration:  Type Of Exemption:  Status:

Submission Date: From  To

Diagram 62: List Filter

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	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	39

5. System will display List of Application based on the filtering.
6. User can choose total records to display per page from dropdown. System will display the list based on total records choose.

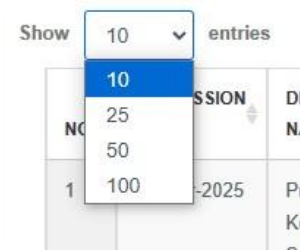


Diagram 63: Entries

7. Consultant Approver can click button 'Previous' or 'Next' to navigate to next listing page.



Diagram 64: Pagination

8. User can filter the list by keyword by inputting the keyword in search box. System will display record which contain the keyword.




Show	10	entries	Search: <input type="text"/>							
NO	SUBMISSION DATE	CONSULTANT NAME	NRIC/PASSPORTNO	FIMM NO	SCHEME	APPLICATION TYPE	TYPE OF REGISTRATION	TYPE OF EXEMPTION	STATUS	ACTION
1	10-Mar-2025	ZIYAD AFNAN (FR)	801020010096	F01029217	UTS	Registration	Exemption	CMSRL (Fund Manager)	Approved	
2	10-Mar-2025	RAYQAL ILYAS (FR)	801020010095	F01029216	UTS	Registration	Exemption	CMSRL (Fund Manager)	Approved	

Diagram 65: Keyword Search

9. In List of Application under column Action, Consultant Approver click button  at Registration application with status "Pending Approve – Consultant Approver".



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- c. Diploma
- d. Degree
- e. Master
- f. PhD

The screenshot displays the 'Academic Qualifications' section of the FIMM Consultant Registration system. The sidebar on the left shows a progress bar with steps 1 through 6, where step 2, 'Academic Qualifications', is currently active. The main form area contains the following elements:

- Qualification Level:** A dropdown menu set to '-- Choose --' with an 'Add' button.
- SPM/SVM:** A radio button option that is selected.
- Year:** A text input field containing '2014'.
- School Name:** A text input field containing 'SMK TASEK'.
- \*Requires at least 3 credits:** A note above a table of subjects.
- Subjects Table:**

SUBJECTS	SCORE	ACTIONS
BAHASA MELAYU	C	[Edit]
MATEMATIK	A+	[Edit]
KESUSASTERAAN INGGERIS	A	[Edit]
- Qualification Certificate:** A section with a file upload area showing 'Academic Certificate Test.pdf' and a 'Verified' status indicator.

Navigation buttons at the bottom include '<< Prev' and 'Next >>'.

Diagram 68: Academic Qualification section

### iii. Scheme Selection

- a. Registration Status
- b. Distribution Point
- c. Distributor Selection
- d. CMSRL Requirement (Only applicable for CUTA/CPRA type of Distributor)

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	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	42

1 Profile Details

2 Academic Qualifications

3 **Scheme Selection**

4 Type of Registration

5 Screening

6 Others

Distribution Point

Registration Status ☒ Tied Agent ☐ Staff

Distribution Point Johor Branch

Scheme Selection

Scheme\* ☐ Unit Trust Scheme (UTS) ☒ Private Retirement Scheme (PRS)

List of Distributor\* Prestasi Kewangan Sdn Bhd

<< Prev Next >>

Diagram 69: Scheme Selection section for Non-CUTA/CPRA Type of Distributor

1 Profile Details

2 Academic Qualifications

3 **Scheme Selection**

4 Type of Registration

5 Screening

6 Others

Distribution Point

Registration Status ☒ Tied Agent ☐ Staff

Distribution Point KL Branch

Scheme Selection

Scheme\* ☒ Unit Trust Scheme (UTS) ☐ Private Retirement Scheme (PRS)

List of Distributor\* GAB PROD ADMIN MIX

**CMSRL Requirement**

Do you have CMSRL\* ☐ Yes ☒ No

Recruiter Name\* Chandran Subra (W)

Recruiter CMSRL No.\* 153425 Recruiter FIMM No.\* F01001117

<< Prev Next >>

Diagram 70: Scheme Selection section for CUTA/CPRA Type of Distributor

- iv. Type Of Registration
  - a. Examination



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	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	43

1 Profile Details

2 Academic Qualifications

3 Scheme Selection

4 Type of Registration

5 Screening

6 Others

Exam Booking Session

Please select an option\* EXAMINATION

Center Location ARCNET 1

DATE	TIME	CENTER	STATE	EXAM	LANGUAGE
10-Mar-2025	15.00	ARCNET 1	Wilayah Persekutuan Kuala Lumpur	CUTE	English
13-Mar-2025	12.00	ARCNET 1	Wilayah Persekutuan Kuala Lumpur	CUTE	English
16-Mar-2025	9.00	ARCNET 1	Wilayah Persekutuan Kuala Lumpur	CUTE	English

<< Prev Next >>

Diagram 71: Type of Registration – Examination

b. Exemption

1 Profile Details

2 Academic Qualifications

3 Scheme Selection

4 Type of Registration

5 Screening

6 Others

Exemption

Please select an option\* EXEMPTION

Exemption Type\* CMSRL (Fund Manager)

CMSRL\* Module\_1.pdf


CMSRL No.\* ESWARIA SANGARI (FR)

<< Prev Next >>

Diagram 72: Type of Registration – Exemption

v. Screening

- a. CAS Screening (Clear/whitelist/Under Investigation/Watchlist/Barred/Suspension – Under Investigation/Suspension – Sanctioned/Blacklist)
- b. Bankruptcy Screening (Clear/Bankrupted)

	Project	Reference	File	Version	Date	Page
	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	44

1

Profile Details

2

Academic Qualifications

3

Scheme Selection

4

Type of Registration

5

Screening

6

Others

CAS Screening Detail

Status

Clear

Bankrupt Status


Status

Clear

<< Prev

Next >>

Diagram 73: Screening Section

	Project	Reference	File	Version	Date	Page
	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	45

vi. Others

- a. Supporting Document
- b. Signed Consent Form
- c. Declaration

1

Profile Details

2

Academic Qualifications

3

Scheme Selection

4

Type of Registration

5

Screening

6

Others

Upload Supporting Document

File Name

Choose File

NO FILE CHOSEN

Add

Upload Signed Consent Form

Application Consent Form\*

Choose File

NO FILE CHOSEN

[FIMM-Consent-Form.pdf](#)

NO	DATE	FILE NAME	ACTION
1	25-Feb-2025	Module 1.pdf	<a href="#">View</a>
2	25-Feb-2025	Module 1.pdf	<a href="#">View</a>

Declaration

Name(As Per NRIC)

ESWARIA SANGARI (FR)

NRIC

801020010066

I, the undersigned, hereby declare my intention to register with the Federation of Investment Managers Malaysia ("FIMM") as a Unit Trust and/or Private Retirement Scheme Consultant. For this purpose, I affirm the following:

1. I declare and confirm that all information and documents provided to FIMM in connection with my application and registration are true, current and accurate;
2. I declare and confirm that I fulfil and will continue to ensure that I am Fit and Proper, as specified under Chapter 4 of FIMM's Consolidated Rules ("FCR");
3. I declare and confirm that I meet the eligible requirements as specified under the FCR;
4. I undertake and agree to be bound by and comply with the FIMM Rules and securities laws issued by the Securities Commission Malaysia and any other applicable laws at all times;
5. I undertake to immediately notify the principal and/or FIMM in the event that I fail to satisfy any of the fit and proper criteria prescribed by FIMM;

☒ I understand the gravity of the above statements and the implications of non-compliance with FIMM's Rules and in providing false information. I affirm that the statements made herein are true to the best of my knowledge and belief.

25-Feb-2025

<< Prev


Diagram 74: Others Section

vii. Comment

FIMM Federation of Investment Managers Malaysia	Project	Reference	File	Version	Date	Page
	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	46

The screenshot shows a form titled 'Comment'. At the top, there is a section for 'Approver Name' with the value 'PROD GAB ADMIN MIX' and a date '11-Mar-2025'. Below this is a checkbox with the text: 'I hereby confirm that this submission has been thoroughly reviewed and all information provided is true, current and accurate. This confirmation is made in alignment with regulatory requirements and company protocols for data integrity and compliance in reporting to FIMM.' The main part of the form is a large text area for the 'Comment', which is currently empty. At the bottom of the text area, it says '500 characters remaining'.

Diagram 75: Comment section

11. To return the application,
  - i. Consultant Approver key-in comment box and click button . System will prompt message “Confirm to proceed?”


The screenshot shows a dialog box with the title 'Confirm to proceed?'. It has two buttons: a red 'YES' button and a grey 'NO' button.

Diagram 76: Confirmation Message

- ii. User click button . System will prompt successful message and return the application back to Consultant Maker with status “Returned – Consultant- Approver”. System will navigate back to list of applications.

The screenshot shows a message box with the title 'Registration Application Returned'. It has a single button labeled 'OK'.

Diagram 77: Successful Message

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	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	47

- iii. System will show error message if user does not key-in the comment box.

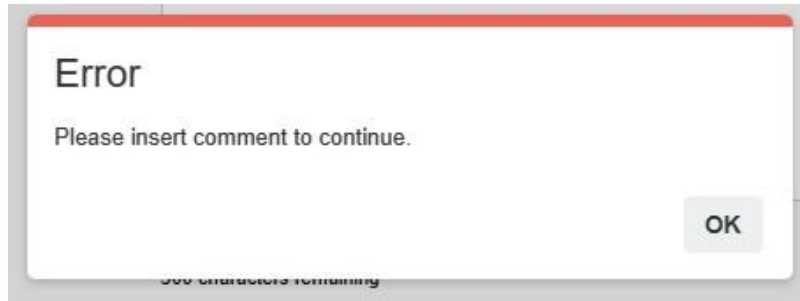




Diagram 78: Error Message

12. To reject the application, Consultant Approver click button .
  - i. System will prompt message “Confirm to proceed?”. User click button .

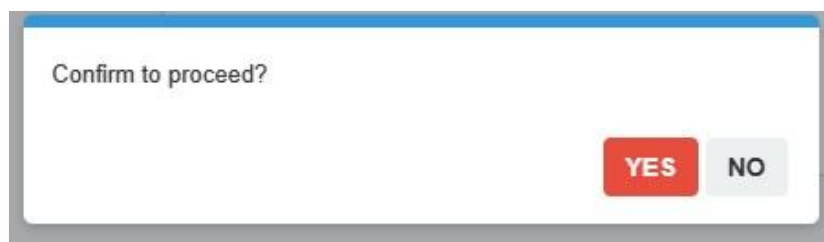

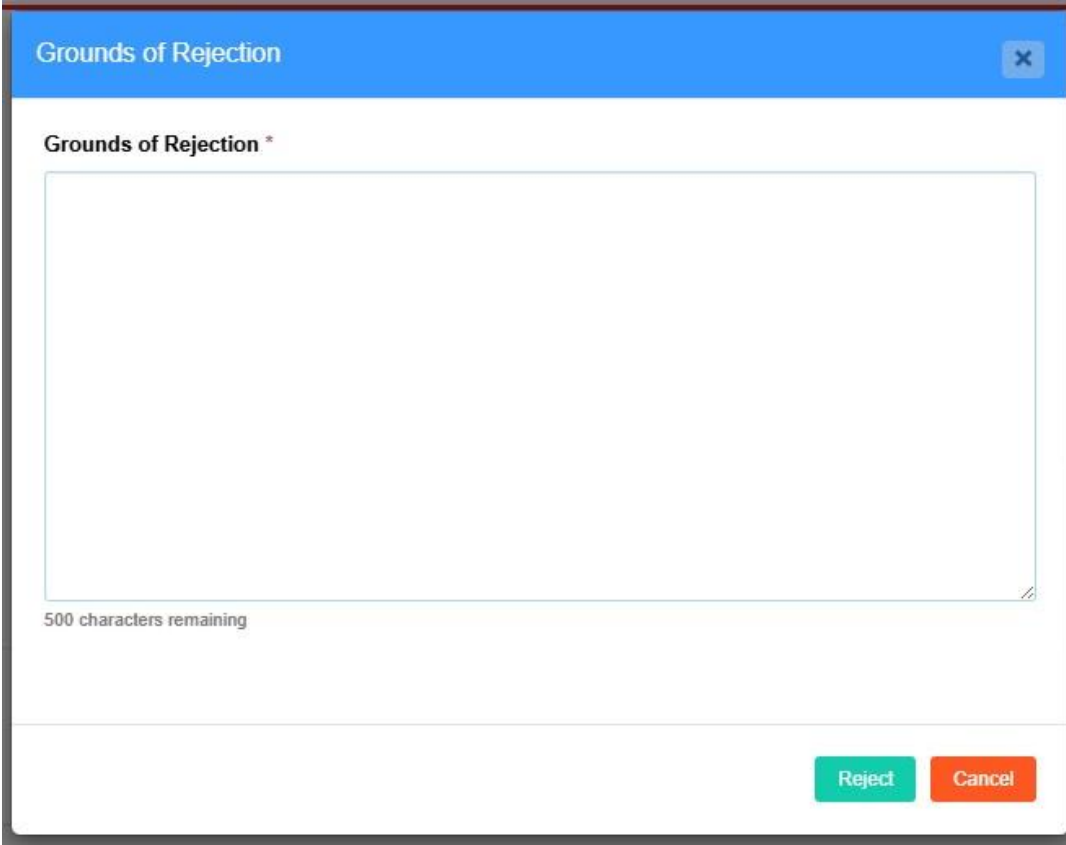


Diagram 79: Confirmation Message

- ii. System will display popup for user to key-in ground of rejection for rejecting consultant application.

	Project	Reference	File	Version	Date	Page
	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	48



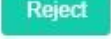
Grounds of Rejection

Grounds of Rejection \*

500 characters remaining

Reject Cancel

Diagram 80: Grounds of Rejection

- iii. User key – in Grounds of Rejection and click  .
  - a. Application status change to “Rejected”. User can view the grounds of rejection at the bottom.

FIMM Federation of Investment Managers Malaysia	Project	Reference	File	Version	Date	Page
	Federation of Investment Managers Malaysia (FIMM)	ZANKO/FIMM/UM/V0.1	User Manual Document	1.0	24/03/25	49

**1 Profile Details**

**Personal Details**

**2 Academic Qualifications**

**3 Scheme Selection**

**4 Type of Registration**

**5 Screening**

**6 Others**

**Name (As Per NRIC)** ZAKI MUZA

**NRIC No** 001201000133 **View file**

**Race** MALAY **Nationality** Malaysian

**Date of Birth** 01-DEC-2000 **Age** 24

**Gender** MALE

**Address** JALAN PERATU

**Postcode** 53100 **City** KUALA LUMPUR

**State** WILAYAH PERSEKUTUAN KUALA LUMPUR

**Phone Number** +601119824605 **Alternate Phone Number**

**Email Address** zakimuza@yopmail.com

**Approver Name** PROD GAB ADMIN MIX

24-Feb-2025

☒ I hereby confirm that this submission has been thoroughly reviewed and all information provided is true, current and accurate. This confirmation is made in alignment with regulatory requirements and company protocols for data integrity and compliance in reporting to FIMM.

**Grounds of Rejection** rejected


Diagram 81: Consultant Registration Application - Rejected

- b. System will show error message if user does not key-in the ground of rejection.

Please fill ground of rejection

OK

Diagram 82: Error Message

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13. To approve the application, user click button

**Approve**

i. System will prompt error message if user does not tick the declaration box.

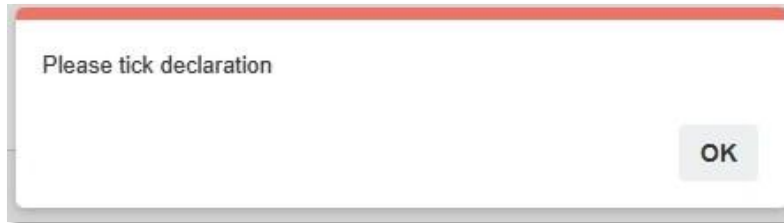


Diagram 83: Error Message

ii. User need to tick the declaration box to proceed with the approval process.

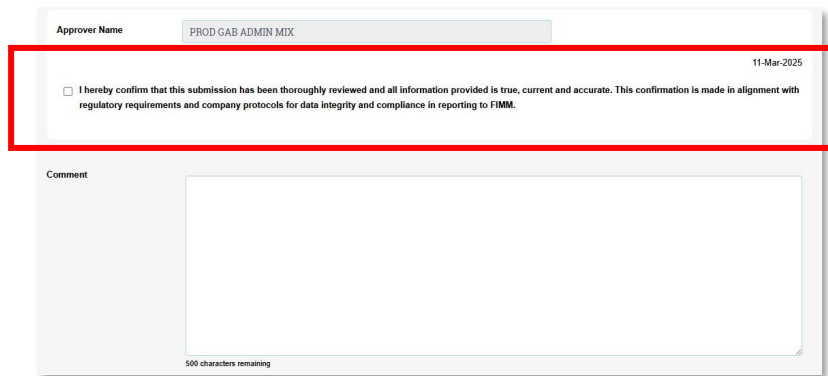


Diagram 84: Consultant Approver declaration

System will prompt message “Confirm to proceed?” and user click

**YES**



iii.

Diagram 85: Confirmation Message

iv. System will display successful application message and navigate back to the list of applications.



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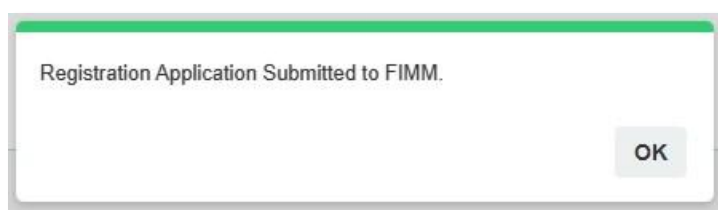


Diagram 86: Successful Message

- v. System will automatically deduct the amount of registration fee based on the type of registration of consultant from distributor pre-payment account.

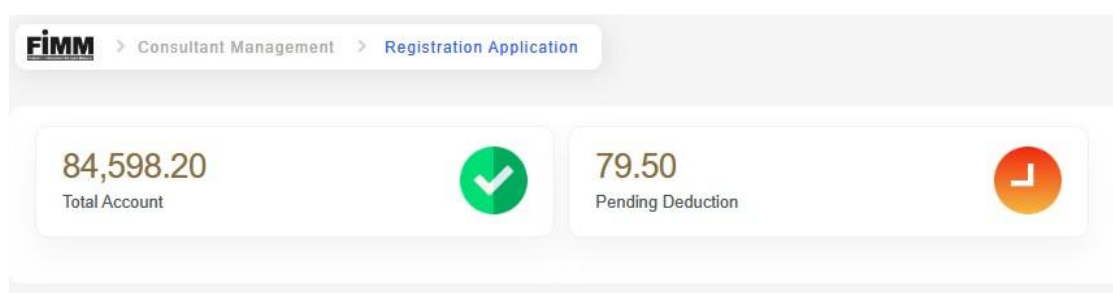



Diagram 87: Pre-Payment Account Balance

- vi. Status change to "Submitted"

## Failed CAS Screening

1. In List of Application under column Action, user click button  at registration application with status "Pending CAS Confirmation".

38	20-Jan-2025	Dayana Elia	880102030404	F02001904	PRS	Registration	Exemption	Re-Registration	Pending CAS Confirmation	
39	20-Jan-2025	Tengku Adlin	010102030406	F01001772	UTS	Registration	Examination	-	Approved	
40	20-Jan-2025	Dayana Elia	880102030404	F02001904	PRS	Registration	Exemption	Financial Planner (Associate)	Approved	

Diagram 88: List of Applications

2. System will display previously submitted application and consultant applicant details:
  - i. Profile Details
  - ii. Academic Qualification
  - iii. Scheme Selection
  - iv. Type Of Registration
  - v. Screening


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## vi. Others

3. Under Screening section, user can view failed CAS status.
  - i. For conditional CAS status, Consultant Approver can see remarks from LRA of the training need to attend to uplift the conditional status.
  - ii. Consultant Approver must approve the application submitted by Consultant Maker to re-direct the submission to FIMM RD.

Diagram 89: CAS Screening detail

## Failed Bankruptcy Screening

1. In List of Application under column Action, Consultant Approver click button  at registration application with status "Pending Bankruptcy Confirmation".




NO	SUBMISSION DATE	CONSULTANT NAME	NRIC/PASSPORTNO	FIMM NO	SCHEME	APPLICATION TYPE	TYPE OF REGISTRATION	TYPE OF EXEMPTION	STATUS	ACTION
11	17-Feb-2025	Kamil bin Jamil	001201000103	-	PRS	Registration	Exemption	Director Of Distributor (DoD)	Pending Bankruptcy Confirmation	
12	13-Feb-2025	ZANARIAH BINTI MOHD YUSOF	020202020022	-	UTS	Registration	Exemption	CMSRL (Fund Manager)	Pending Review - Consultant Maker	

Diagram 90: List of Applications

2. System will display previously submitted application and consultant applicant:

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- i. Profile Details
- ii. Academic Qualification
- iii. Scheme Selection
- iv. Type Of Registration
- v. Screening
- vi. Others

3. Under Screening section, user can view consultant applicant failed Bankruptcy Status.

- i. Consultant Approver must approve the application submitted by Consultant Maker to re-direct the submission to RD.

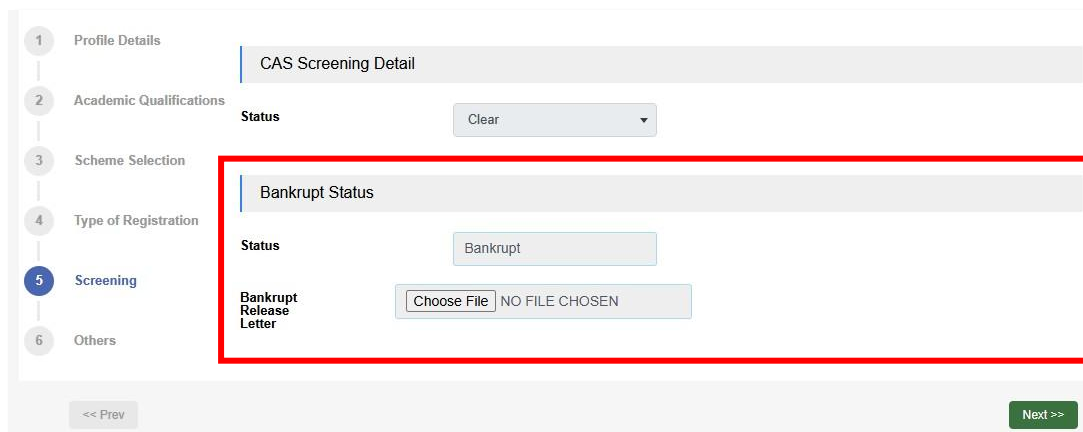



Diagram 91: Failed Bankruptcy Screening


## Returned – RD

1. In List of Application under column Action, user click button  at registration application with status “Returned – RD”.

NO	SUBMISSION DATE	CONSULTANT NAME	NRIC/PASSPORTNO	FIMM NO	SCHEME	APPLICATION TYPE	TYPE OF REGISTRATION	TYPE OF EXEMPTION	STATUS	ACTION
16	04-Mar-2025	Riezaman bin Khuzaimi (W)	880202019101	F01029110	UTS	Registration	Exemption	Director Of Distributor (DoD)	Returned - RD	
68	20-Feb-2025	Lim Sze Yin	M45678901	F01001197	PRS	Registration	Examination	-	Returned - Consultant Approver	

Diagram 92: List of Applications

2. System will display previously returned application.

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i. Profile Details

- a. Name
- b. NRIC/Passport No
- c. Nationality
- d. Date Of Birth
- e. Age
- f. Race
- g. Gender
- h. Address
- i. Postcode
- j. City
- k. State
- l. Phone Number
- m. Alt Phone Number
- n. Email Address
- o. Photo

ii. Academic Qualification:

- a. SPM/SVM
- b. STPM/STAM
- c. Diploma
- d. Degree
- e. Master
- f. PhD


iii. Scheme Selection

- a. Registration Status
- b. Distribution Point
- c. Distributor Selection
- d. CMSRL Requirement (Only applicable for CUTA/CPRA type of Distributor)


iv. Type Of Registration

- a. Examination
- b. Exemption

v. Screening

	Project	Reference	File	Version	Date	Page
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- a. CAS Screening (Clear/whitelist/Under Investigation/Watchlist/Barred/Suspension – Under Investigation/Suspension – Sanctioned/Blacklist
- b. Bankruptcy Screening (Clear/Bankrupted)
- vi. Others
  - a. Supporting Document
  - b. Signed Consent Form
  - c. Declaration
- vii. FIMM Comment (This field should have comment provided by FIMM RD)

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1 Profile Details
2 Academic Qualifications
3 Scheme Selection
4 Type of Registration
5 Screening
6 Others

Upload Supporting Document

File Name

Choose File NO FILE CHOSEN

Add

NO	FILE NAME	ACTION
1	ATTACHMENT 1	<div>View</div>

Upload Signed Consent Form

Application Consent Form\*

Choose File NO FILE CHOSEN

FIMM-Consent-Form.pdf

NO	DATE	FILE NAME	ACTION
1	04-Mar-2025	FIMM-Consent-Form.pdf	<div>View</div>
2	04-Mar-2025	FIMM-Consent-Form.pdf	<div>View</div>

Declaration

Name(As Per NRIC)

RIEZAMAN BIN KHUZAIMI (W)

NRIC

880202019101

I, the undersigned, hereby declare my intention to register with the Federation of Investment Managers Malaysia ("FIMM") as a Unit Trust and/or Private Retirement Scheme Consultant. For this purpose, I affirm the following:

1. I declare and confirm that all information and documents provided to FIMM in connection with my application and registration are true, current and accurate;
2. I declare and confirm that I fulfil and will continue to ensure that I am Fit and Proper, as specified under Chapter 4 of FIMM's Consolidated Rules ("FCR");
3. I declare and confirm that I meet the eligible requirements as specified under the FCR;
4. I undertake and agree to be bound by and comply with the FIMM Rules and securities laws issued by the Securities Commission Malaysia and any other applicable laws at all times;
5. I undertake to immediately notify the principal and/or FIMM in the event that I fail to satisfy any of the fit and proper criteria

☒ I understand the gravity of the above statements and the implications of non-compliance with FIMM's Rules and in providing false information. I affirm that the statements made herein are true to the best of my knowledge and belief.

04-Mar-2025

<< Prev

Approver Name

Prestasi Kewangan Sdn Bhd

04-Mar-2025

☒ I hereby confirm that this submission has been thoroughly reviewed and all information provided is true, current and accurate. This confirmation is made in alignment with regulatory requirements and company protocols for data integrity and compliance in reporting to FIMM.

FIMM Comment

Application Returned

Diagram 93: Returned – RD