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TO : ALL MEMBERS AND REGISTERED PERSONS

ATTN : CHIEF EXECUTIVE OFFICER / AUTHORISED REPRESENTATIVE

Dear Sir / Madam,

2017 FIMM SEMINARS: FIRST SERIES

General

We are pleased to announce the first series of 2017 FIMM Seminars (2017 FIMMSEM). The objective of the 2017 FIMMSEM is to provide Continuing Professional Development (CPD) opportunity in the form of seminars to the Unit Trust Consultants and Private Retirement Scheme Consultants (collectively referred to as "Consultants") that aims to enhance skills and knowledge of Consultants in marketing and distribution of unit trusts and/or private retirement schemes.

The first series of 2017 FIMMSEM includes 8 seminars that will be held in Kuala Lumpur, Kuantan, Melaka, Kuching, Kota Kinabalu, Johor Bahru and Penang. (please refer to schedule). The topics as well as speakers for the seminars are selected based on assessments and selection conducted as well as feedback received from previous events.

Details of the 2017 FIMMSEM that include seminar schedule and speaker profile are enclosed as Appendix 1. We would like to take this opportunity to invite all Members and Registered Persons to enrol your Consultants for these seminars.

Awarding of CPD/ CPE Points

All participants who have attended any of the seminars and fulfilled the attendance requirements will be awarded eight (8) CPD points by FIMM.

You may note that 6 of the seminars are also accredited by Securities Industry Development Corporation (SIDC) for CPE purposes. Hence, holders of Capital Markets Services Representative's Licence (CMSRL) and Employees of Registered Persons (ERP) who attended the seminar will be accorded 10 CPE points, in addition to FIMM 8 CPD points.

Details on the attendance requirements as well as other seminar policies and procedures can be found in the attached Appendix 2.

Seminar Fee

Kindly be informed that the seminar fee is RM84.80 per participant for Consultants or RM265.00 per participant for public. Fees quoted are inclusive of 6% GST. The seminar package includes two tea breaks, one lunch and certificate of attendance.

For every 5 participants registered for the seminar, the sixth participant from the same Member/Registered Person to the same seminar will be **free of charge**.

Registration for Seminars

Consultants who are interested to attend any of the 2017 FIMMSEM must submit their registration via Members and Registered Persons. No direct registration from Consultants will be accepted.

Members and Registered Persons may register your Consultants for the seminar(s) by completing the Registration Form (Appendix 3) and return it to FIMM. FIMM will deal with interested individuals from public for registration.

Kindly submit the registration form before the closing date of each seminar. Registration is based on **first-come, first-served basis**. Given that the seats are limited for each seminar, you are strongly encouraged to submit registration soonest to avoid disappointment.

Distribution of Seminars and Registration Details to Consultants

Kindly be reminded that all Members and Registered Persons must ensure that all Consultants attached to your company are aware of the 2017 FIMMSEM as well as other information that are pertinent to timely and successful registration for the seminars.

The schedule for the first series of 2017 FIMMSEM as well as other relevant information are available on FIMM Website. Subsequent series of 2017 FIMMSEM will be announced to the industry in due course.

We look forward to your continued support and participation in our seminars.

Thank you.

Yours faithfully

FEDERATION OF INVESTMENT MANAGERS MALAYSIA



FARIQ HASSAN

Interim Chief Executive Officer

Schedule for the First Series of 2017 FIMM Seminars

No	Venue	Date/Time/Day	Closing Date	Speaker	Topic
1	Sime Darby Convention Centre Kuala Lumpur	23 February 2017 (Thu)	16 February 2017 (Thu)	Perthpal Singh Khosa	<p>Interaction – Challenging the Process in Changing Times</p> <p>Interaction is designed as a success enhancer for any personnel who is preparing to face these challenges and overcome these issues to get motivated, stay motivated, challenge the process, think outside the box and surpass targets</p>
2	Sime Darby Convention Centre Kuala Lumpur	9 March 2017 (Thu)	2 March 2017 (Thu)	Azhar Iskandar Hew (SIDC CPE-approved: 10 CPE Points)	<p>Practical Aspects of Estate Planning in Malaysia for Muslims and Non-Muslims</p> <p>Identify the different estate planning tools available for Muslims and non-Muslims as well as why estate planning is important in the distribution of wealth</p>
3	Vistana Kuantan	16 March 2017 (Thu)	9 March 2017 (Thu)	Ooi Kok Hwa (SIDC CPE-approved: 10 CPE Points)	<p>Managing Individual Investment Portfolio and Strategy Asset Allocation</p> <p>Upon completion, the participants are able to identify how to invest in diversify portfolio and look into the evaluation of portfolio theory, distinguish various forms of rates of returns, qualifying risks and portfolio construction process</p>

No	Venue	Date/Time/Day	Closing Date	Speaker	Topic
4	TBC Melaka	30 March 2017 (Thu)	23 March 2017 (Thu)	Zairulnizad Shahrim (SIDC CPE- approved: 10 CPE Points)	Islamic Equity Capital Market and Sukuk Upon completion, participants are able to identify and describe the components in Islamic capital market, difference between conventional and Islamic Capital market components in equity
5	TBC Kuching	6 April 2017 (Thu)	30 March 2017 (Thu)	Farhan Lee Abdullah (SIDC CPE- approved: 10 CPE Points)	Market Misconduct in the Capital Market Identify Market offences under Bursa Rules, the CMSA 2007 and unit trusts industry. Discuss the need for compliance to prevent any conflicts of interests. Relate to the need for a healthy and vibrant Capital Market and unit trust/ PRS industry.
6	Grandis Hotel Kota Kinabalu	19 April 2017 (Wed)	12 April 2017 (Wed)	Zairulnizad Shahrim (SIDC CPE- approved: 10 CPE Points)	Islamic Equity Capital Market and Sukuk Upon completion, participants are able to identify and describe the components in Islamic capital market, difference between conventional and Islamic Capital market components in equity
7	Grand Paragon Hotel Johor Bahru	27 April 2017 (Thu)	20 April 2017 (Thu)	Jagdeep Singh (SIDC CPE- approved: 10 CPE Points)	Global Economic Rebalancing Relate how the current world economic order evolved & Assess whether we are in an era of permanently slower economic growth. Assess the Economic Scenario

SPEAKERS' PROFILE

Mr. Perthpal Singh Khosa

Perthpal Singh Khosa, the co-founder of Learning Edge is a dynamic and versatile personality. Perthpal, who has qualifications in NLP, Business Studies, HR and T&D left the corporate world 20 years ago to embark as an entrepreneur in human resources training and development. Today with 20 years experience in training he has trained numerous organizations such as Accenture, Petronas, Shell, Maybank, BHP Billiton, DHL, Bank of America, Bank of Tokyo, RHB Bank, Securities Commission, MAS, Bursa Malaysia to name a few. The experience coupled with his knowledge makes him not only an experienced trainer but one who offers insights and best practices of various industries.

Perthpal who is also a certified Neuro-Linguistics Programming (NLP) practitioner has the uncanny ability to creatively deliver the most up-to-date management thoughts in a succinct, simple and at times provocative manner. His extensive research often challenges his learners' current knowledge and attitudes thus expanding their minds to a wider range of management thinking.

Perthpal who focuses on building leaders and high performance work teams is also a specialist in Change Management, People Skills. Further, in the past 10 years, he has dedicated his passion and energy in developing and pioneering the concept of the Wellness Organization in the country

Mr. Azhar Iskandar Hew

Mr Azhar Iskandar Hew is currently the Head of Rockwills Trustee Berhad and Director of Rockwills Advisory Services Sdn Bhd (a licensed financial planning company by Securities Commission). He has more than 18 years' experience in the estate planning industry as a will & trust specialist, trainer and conference speaker. Over the years he has trained more than 9,000 people primarily from leading financial institutions and life insurance companies as well as financial planners in Hong Kong and Singapore.

His current scope of work includes advising on legal aspects and compliance and advisory matters regarding Estate Planning, Private Trust and Corporate Trust services. He contributes articles regularly to various financial planning magazines on the area of Estate Planning and Private Trust. He is a lawyer by training from the University of London and is a member of the Society of Trust and Estate Practitioners (STEP).

He is an approved Securities Industry Development Centre (SIDC) facilitator for the area on estate planning and he is an Estate Planning Trainer for Certified Financial Planning (CFP) as well as a Certified Registered Financial Planner (RFP) Trainer by the Malaysian Financial Planning Council (MFPC) for the Estate Planning module of the Registered Financial Planner course.

Mr. Ooi Kok Hwa

Mr. Ooi is the Managing Partner of a consulting company, dealing mainly with Business Appraisal, Investment and Financial Training.

He is a Chartered Financial Analyst (CFA) charter holder, Certified Merger and Acquisition Advisor (CM&AA) and is also a licensed Investment Advisor by the Securities Commission of Malaysia. As a licensed investment advisor, Mr. Ooi provides investment advisory services to clients, covering investments in Malaysia, Singapore, Hong Kong and US markets. Mr. Ooi is also actively involved in providing investment training, CFA Examination preparation courses and Continuing Professional Education (CPE) courses for fund managers, research analysts, remisiers, brokers and general public.

He currently lectures CFA Programs in Penang, Kuala Lumpur, Singapore, Brunei and Vietnam. He is also one of the main trainers in providing technical training sessions and examination material preparation for the training arm of Securities Commission of Malaysia, the Security Industry Development Corporation's (SIDC), covering various programs, such as Graduate Development program, Directors' training program and APEC Work Placement Program. In addition, he is also a regular trainer for the Singapore Stock Exchange (SGX).

Mr. Ooi is regularly featured in local media. He is an investment columnist for Sin Chew Yit Poh. He has also been invited as a guest speaker for NTV7, a local TV channel as well as BFM, a business radio channel, in Malaysia.

Mr. Zairulnizad Shahrin

Zairulnizad Shahrin is the Vice President/Executive Director, Islamic Investment Solutions of Amlslamic Funds Management Sdn Bhd. As an Islamic product specialist for the organization, he has over 16 years of experience in the Islamic capital market, Islamic financial services and Islamic funds management industry. He specializes in Islamic capital market activities and his expertise includes all areas of Islamic finance and investment from origination to structuring, covering both Islamic debt, equity instruments and funds management.

He gained most of his experiences in Islamic finance & investment and Islamic capital market during his time at the Securities Commission (SC), Islamic Investment bank of AmlInvestment Bank and Hong Leong Islamic Bank Berhad (HLIB). He has been involved in extensive number of Islamic syndications and capital markets transactions which includes Sukuk (Islamic securities), private equity funds, unit trust funds, derivatives and structured products issued by corporates, Government as well as GLCs. He also responsible for the non-ringgit Islamic cross-border and international Islamic finance and Investment initiatives under the International Currency Business Unit (ICBU) for the Bank.

He is also a regular presenter and participates from time to time in various industry initiatives in relation to Islamic capital market and Islamic finance and investment industry organized by Securities Commission, Bank Negara Malaysia and also various related industry associations. Zairulnizad graduated from University of Nottingham, United Kingdom with a Masters in Finance and Investment. He is also a British Council Chevening Scholar and also a recipient of the Securities Commission (SC), Malaysia Scholarship Award.

Mr. Farhan Lee Abdullah

Farhan graduated in Political Economics from Canada before doing Law in UK. After a 12 year stint in Corporate Litigation, he joined the Financial Industry. Farhan has taught part time at University Malaya and UTAR.

He has since 2001, been conducting in-house training for the Financial Industry at PNBI, ITI program at SIDC, EPF and various Financial institutions. In addition, Farhan shares his experiences with the Securities Commission's Prep Course exams and other Securities Commission projects eg. GRP, ICMGTS, FP ERP, FP RRS etc.

Mr. Jagdeep Singh

Jagdeep Singh is a Chartered Financial Analyst (CFA) charter holder, Financial Risk Manager (FRM) charter holder, and a member of the Association of Certified Anti Money Laundering Specialist (ACAMS) and the Association of Certified Fraud Examiners (ACFE). He has also been a member of the Chartered Institute of Management Accountants (CIMA).

He started his career in the Accounting firm of Coopers & Lybrand and was responsible for successfully managing numerous Receivership, Liquidation and Financial Consultancy projects. He is presently the Principal Consultant & General Manager of Nature of Life Trading Sdn Bhd in Kuala Lumpur.

Some of the organisations for whom he has conducted in-house trainings include PNB Investment Institute, MTDC, Hwang DBS Investment Bank, Public Bank, Kenanga Investment Bank, AM Bank, RHB investment Bank, Affin Investment Bank, ECM Libra Investment Bank, Innosabah Securities and Melacca Securities. In addition, he has also conducted numerous public programs with participants from various other Investment Banks, Stock and futures broking firms in Malaysia.

Mr. Yong Chu Eu

Mr. Yong Chu Eu is currently a Licensed Financial Advisor of Fin Freedom Sdn Bhd, who is holding HRDF Trainer certification. In year 2009, he was an FPAM/KMDC Excellent Award Winner of CFP Module 3: Tax Planning then he becomes CFP Lecturer of Penang KDU College started from year 2010. He provides numerous public talk on financial planning topics, Continuing Professional Education (CPE) course for financial practitioner and HRDF Claimable courses for corporation.

Prior joining the financial industry, he worked with the MNCs in IT field for nearly 9 years, few awards earned for his contribution to company such as "Division Recognition Award", "Solid As Rock", "Team Player" and etc. Before becoming a full Licensed Financial Advisor (FAR/CMSRL) in Fin Freedom, he had 3 years of experience in advising the clients on unit trust investment and estate planning. He is regularly featured in the local media. He is a blogger and a regular columnist for Chinese financial magazine "Money Compass" and Chinese Biz Weekly Magazine – "The Busy Weekly". He also contributed his article to MFPC's 1st Finance Magazine and Sin Chew Newspaper before.

He has also been invited as a guest speaker on financial planning topics for MelodyFM, a local radio channel in Malaysia. He graduate as M.Sc in Computing Engineering (UPM) after finishing his B.Sc in Computer Science (UPM). He was a regular "Dean List" receiver in his degree study. As for his master study, he was a National Science Fellowship's Scholar (Minister of Science, Technology and Innovation, Government of Malaysia) and managed to publish 5 journals on his master research.

Mr. Muhammad Fua'ad Abdul Rahman

Muhammad Fua'ad Abdul Rahman has more than 15 years of management experience with OCBC Bank (Malaysia) Berhad. During his career, he has held responsibilities in operations, treasury management, internal audit and human resource development. As a Training Consultant since March 1994, he has been designing and delivering leadership, creativity, customer service, marketing, supervisory, motivational and teambuilding programmes to more than 150,000 people including senior management personnel. He is a certified "Personality Plus" trainer, awarded by CLASS International, USA, "AMA" trainer, awarded by American Management Association, Singapore, and Asian Experiential Educators Alliance trainer awarded by A.E.E.A, Malaysia.

2017 FIMMSEM Policies and Procedures

Registration for Seminars

1. All UTMC/IUTA/CUTA (collectively referred to as "Principals") will be informed by FIMM via circular on the upcoming seminar schedule.
2. All Principals must ensure that all Consultants attached to your company are aware of the 2017 FIMMSEM as well as other information that are pertinent to timely and successful registration for the seminars.
3. The seminar schedule will be posted on the FIMM Website. Consultants are advised to check the website periodically for latest updates.
4. Consultants who are interested to attend any of the 2017 FIMMSEM must submit their registration via Principals. No direct registration for Consultants will be accepted.
5. Principals may register your Consultants for the seminar(s) by completing the Registration Form and return it to FIMM via email/fax. Interested individual from public may submit Registration Form to FIMM.
6. Registration is on first-come, first-served basis.
7. Participants who are holders of CMSRL and/or ERP shall provide complete details of their licence number and NRIC number during registration for selected seminars accredited by SIDC.
8. By providing FIMM the applicants' personal data, Principals are hereby consented to the processing of individual personal data in accordance with and for the purposes as set out in FIMM personal data notice. Applicants are advised to read FIMM personal data notice available at www.fimm.com.my before submitting the registration form.
9. Principals must inform FIMM not less than two (2) working days from the event date should there be any replacement(s) of their registered Consultants for 2017 FIMMSEM.
10. Walk-ins without prior registration with FIMM will not be entertained.

Payment Terms

1. The 2017 FIMMSEM Fee is RM84.80 per participant for Consultants or RM265.00 per participant for public. Fees quoted are inclusive of 6% GST.
2. For every 5 participants registered for the seminar, the sixth participant from the same Member/Registered Person to the same seminar will be free of charge.
3. FIMM will only liaise with the Principals for payment matters. Hence, Consultants are to make payment via their Principals. Only participants from public may make payment directly to FIMM.
4. Payment must be in cheque or bank draft and made payable to "Federation of Investment Managers Malaysia". Payment by **cash is not allowed**.

5. Payment shall be made in full within fourteen (14) days from date of tax invoice, unless otherwise agreed to the contrary.
6. There is no refund for non-attendance but replacement from the same company is allowed for the same seminar. Replacement is not allowed to be carried forward to another seminar.
7. In the event of cancellation by FIMM, payment will be refunded to all registered applicants.

On-site Attendance Registration and Recording for Seminars

1. Registration and attendance records
 - Registration will start at 8.00 am and close at 9.30 am. Late participants will be barred from entering the seminar and will be recorded as absent.
 - Participants must sign in and sign out to complete their attendance records.
 - Participants without complete attendance records will be deemed absent.
2. CPD Points by FIMM
 - 8 CPD points are allocated to Consultants with **complete attendance record**. For avoidance of doubt, partial attendance record with either signing in or signing out will not be entitled for CPD points.
3. CPE Points by SIDC
 - 10 CPE points are allocated to CMSRL holders and ERP with **complete attendance record**. For avoidance of doubt, partial attendance record with either signing in or signing out will not be entitled for CPE points.
4. Other administrative (housekeeping) arrangements
 - All seminars will start at 9.00 am.
 - Parking rates – subject to the prevailing parking rates at the venue, unless advised by FIMM's officer.
 - Feedback forms must be filled up and returned to FIMM's officer at the end of the seminar.
 - Signing-out counter will be opened from 4.30pm to 5.15pm. Consultants must sign out at the end of the seminar, failing which Consultants will be deemed absent.

Post Event

1. FIMM will consolidate and inform Principals on the attendance of Consultants registered under your company.
2. Principals must then update the CPD points accumulated by their Consultants based on the attendance records provided by FIMM.
3. Principals are responsible for keeping record of their Consultants CPD points.



FIMM SEMINAR REGISTRATION FORM

Please fill in the details accurately. Incomplete form will not be processed. Thank you.

Company Details (If Applicable)

Company Name			
Contact Person			
Designation			
Email Address			
Contact No.	ext	Fax No.	

Participants Details

Seminar Topic :					
Date of Seminar :			Venue :		
No.	Full Name (as per NRIC)	NRIC No.	<i>Please indicate active number only</i>		
			FIMM No. <i>(If applicable)</i>	CMSRL No. <i>(If applicable)</i>	ERP No. <i>(If applicable)</i>
1					
2					
3					
4					
5					
6					

Fee : RM84.80 (Registered UTC or PRC) / RM265.00 (Public)
Fees quoted are inclusive of 6% GST.
For every 5 participants registered, the sixth participants will be free of charge

Payment Mode : Cheque Bank Draft
(Please tick the box accordingly)

Registered Consultants shall make payment in company cheque or bank draft via Principals. Participants from public shall make payment in cheque or bank draft to FIMM.

All payments must be received by FIMM within fourteen (14) days from date of tax invoice, unless otherwise agreed to the contrary.

Enclosed is cheque / bank draft no. for the sum of RM.....
 made payable to **Federation of Investment Managers Malaysia.**

Registration & Enquiries : Professional Development & Services (PDS) Department
 Mohd Hazwan Rashid (hazwan@fimm.com.my)
 Ahmad Yusoff Hamzah (ahmadyusoff@fimm.com.my)
 Tel: 03-2093 2600 | Fax: 03-2093 2700

Cancellation : There is no refund for cancellation or non-attendance but replacement from the same company is allowed.

Registration is on first-come, first-served basis.

Disclaimer

FIMM reserves the right to cancel or reschedule any programs to another / other date(s) without prior notice and will not liable for any claims, action costs, charges, expenses, damages, losses and liabilities due to such cancellation / rescheduling of the programs.

Participants who are holders of Capital Markets Services Representative's License (CMSRL) or Employee of Registered Persons (ERPs) that required SIDC's Continuing Professional Education (CPE) points from the selected seminar courses offered by FIMM are advised to include their licence number in this Registration Form. Failing to do so FIMM will not be responsible for any unsuccessful collection of the SIDC's CPE points from FIMM Seminars.

Notice

Federation of Investment Managers Malaysia (FIMM) respects the privacy of individuals. Pursuant to the Personal Data Protection Act 2010, you are advised to read our personal data notice available at www.fimm.com.my before submitting this form. By providing us your personal data, you hereby consent to the processing of your personal data in accordance with and for the purposes as set out our personal data notice.

Confirmation of Registration (For FIMM's use)	
To:	Attn:
<input type="checkbox"/> We hereby confirm the registration of the above participant(s) for the seminar.	
From: Professional Development & Services Department Federation of Investment Managers Malaysia	Date: