

9 September 2016

CIRCULAR : PDS/2016Seminars/FH-KOH-SNZ/275-16
(Total no. of pages: 13)

TO : ALL MEMBERS AND DISTRIBUTORS

ATTN : CHIEF EXECUTIVE OFFICER / AUTHORISED REPRESENTATIVE

Dear Sir / Madam,

2016 FIMM SEMINARS: SECOND SERIES

General

Further to FIMM's circular dated 28 June 2016, we are pleased to announce the second series of 2016 FIMM Seminars. These seminars are part of the FIMM's effort towards enhancing Unit Trust Consultants' (UTC) capacity and competency in marketing and distributing unit trusts.

Under this second series, there are 6 seminars will be held in Kota Bharu, Ipoh, Kuala Terengganu, Penang and Kuala Lumpur. The topics as well as speakers for the seminars are selected based on assessments conducted and feedback received from previous events.

Details of the seminar schedule and speaker profile are enclosed as **Appendix 1**. We would like to take this opportunity to invite all Members and Registered Persons to enrol your UTCs for these seminars.

Awarding of CPD/ CPE Points

All participants who have attended any of the seminars and fulfilled the attendance requirements will be awarded 8 CPD points by FIMM.

Please be informed that 5 of the seminars are also accredited by Securities Industry Development Corporation (SIDC) for CPE purposes. Hence, holders of Capital Markets Services Representative's Licence (CMSRL) and Employees of Registered Persons (ERP) who attended the seminar will be accorded 10 CPE points, in addition to FIMM CPD points.

Details on the attendance requirements as well as other seminar policies and procedures are attached in **Appendix 2**.

Seminar Fee

Please be informed that the seminar fee is RM84.80 per participant for UTCs or RM265.00 per participant for public. Fees quoted are inclusive of 6% GST. PRS Consultants (PRCs) who are interested to attend the seminars are also eligible for the preferential fee of RM84.80. The seminar package includes two tea breaks, one lunch and certificate of attendance.

Registration for Seminars

UTCs and PRCs who are interested to attend any of the FIMM Seminars must submit their registration via Members and Distributors. Direct registration from UTCs and PRCs will not be accepted.

Members and Distributors may register your UTCs and PRCs for the seminar(s) by completing the Registration Form (**Appendix 3**) and return it to FIMM. FIMM will deal with interested individuals from public for registration.

Please submit the registration form before the closing date of each seminar. Given that the seats are limited for each seminar, you are strongly encouraged to submit registration soonest possible as registration is on **first-come, first-served only**.

Distribution of Seminars and Registration Details to Consultants

Please be reminded that all Members and Distributors must ensure that all UTCs and PRCs attached to your company are aware of the FIMM Seminars as well as other information that are pertinent to timely and successful registration for the seminars.

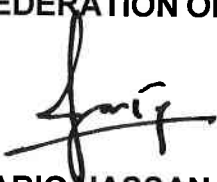
The schedule for the second series of 2016 FIMM Seminars as well as other relevant information are available in FIMM Website. Subsequent series of FIMM Seminars, where applicable, will be announced to the industry in due course.

We look forward to your continued support and participation in our seminars.

Thank you.

Yours faithfully,

FEDERATION OF INVESTMENT MANAGERS MALAYSIA



for **FARIQ HASSAN**
Chief Executive Officer