

CIRCULAR

Date:	5 July 2017	Ref No.:	PDS/2017SEM/ KOH-SNZ/023-17 (TOTAL NO. OF PAGES: 5)
To:	UTMC, IUTA, CUTA, PRS PROVIDER, IPRA AND CPRA		
Attn:	Authorised Representative / Chief Executive Officer		

2017 FIMM ANNUAL CONVENTION

1.0 General

1.1 We are pleased to inform you that 2017 FIMM Annual convention will be held as follows:

a) Details of the event:

- Theme : **Embracing the Future: Fresh Perspectives**
- Date : **Thursday, 28 September 2017**
- Time : 9.00 am – 5.30 pm
(Registration starts at 7.30 am and closes at 9.30 am)
- Venue : **Berjaya Times Square Hotel
Manhattan Ballroom, Level 14
1, Jalan Imbi
55100 Kuala Lumpur**
- Parking : RM9.00 nett
- Dress Code : Business Attire

b) Programme

This is a full-day convention specially organised for FIMM members, Distributors and Consultants currently registered with FIMM. The convention features discussions/presentations on various significant issues and industry-related topics by prominent subject matter experts.

For further details, please refer to the attached brochure.

c) FIMM Continuing Professional Development (CPD) Points

Participants with full attendance to the 2017 FIMMAC will be granted 8 FIMM CPD points.

d) Registration Fee and Other Terms and Conditions

Each consultant will be charged **RM137.80** (inclusive of GST) for registration. Registration must be accompanied with payment to secure your seats.

Participation is based on a first-come-first served basis, as limited seats are available. Registration is only through respective companies. **No walk-in registration is allowed.**

Companies that are interested to register their Consultants for the 2017 FIMMAC, kindly complete the attached Registration Form return to us by **8 September 2017 (Friday)**. If you intend to register more than 10 participants, kindly contact us to facilitate the registration process.

Please take note that there is no refund for cancellation or non-attendance but replacement from the same company is allowed. Companies must inform FIMM in writing if there is any replacement for participants. **Deadline for replacement is no later than 18 September 2017 (Monday).**

e) Special Invitation to Chief Executive Officers

All CEOs are invited to attend the convention and the networking luncheon, compliment of FIMM.

In this regard, kindly confirm your attendance by completing the enclosed Reply Slip-CEOs and returning the same to FIMM by **18 September 2017 (Monday)**.

For further information, please contact En. Ahmad Yusoff/En. Mohd Hazwan at 03-2093 2600 or email 2017fimmac@fimm.com.my.

Thank you and see you at the convention.

Yours faithfully,

FEDERATION OF INVESTMENT MANAGERS MALAYSIA



KOH HWEE NGIM

General Manager, Head of Industry Services

2017 FIMM ANNUAL CONVENTION EMBRACING THE FUTURE: FRESH PERSPECTIVE

- 28th September 2017
- Berjaya Times Square Kuala Lumpur



REGISTRATION FORM

Company Details

Company Name*		Contact Person	Designation	
Email Address*				
Contact No.	ext	Fax No.	Mobile No.*	

*Details are compulsory for registration confirmation purpose.

Participant Details

No.	Full Name (as per NRIC)	NRIC No.	FIMM No.

If you would like to register more than 10 participants, please contact us directly for assistance.

For session 3 and 4 (breakout session) please select one topic only (✓)

Session 3	Session 4
<input type="radio"/> Private retirement schemes for smart retirees OR <input type="radio"/> Positioning Malaysia as a global hub for islamic funds and develop the country as an international provider of islamic wealth management services	<input type="radio"/> Finding opportunities amid uncertainties OR <input type="radio"/> Digital investment management: friends or foes?

Fee: RM137.80

Fees quoted is inclusive of 6% GST.

Payment Mode (Please tick the box accordingly) :

Cheque **Bank Draft**

Registered Members shall make payment by company cheque or bank draft via Principals. All payments must be received by FIMM within fourteen (14) days from date of tax invoice, unless otherwise agreed to the contrary. Enclosed is cheque / bank draft no. for the sum of RM..... made payable to **Federation of Investment Managers Malaysia**.

Registration & Enquiries: En Ahmad Yusoff and En. Mohd Hazwan
Professional Development & Services Department
Email: 2017fimmac@fimm.com.my
T: 03-2093 2600 | **F:** 03-2093 2700

Cancellation: There is no refund for cancellation or non-attendance but replacement from the same company is allowed.
Registration is based on first-come, first-served basis.

Disclaimer
Federation of Investment Managers Malaysia (FIMM) reserves the right to cancel or reschedule any programs to another / other date(s) without prior notice and will not liable for any claims, action costs, charges, expenses, damages, losses and liabilities due to such cancellation / rescheduling of the programs.

Notice
FIMM respects the privacy of individuals. Pursuant to the Personal Data Protection Act 2010, you are advised to read our personal data notice available at www.fimm.com.my before submitting this form. By providing us your personal data, you hereby consent to the processing of your personal data in accordance with and for the purposes as set out our personal data notice.

Confirmation of Registration (For FIMM's use)	
To:	Attn:
<input type="checkbox"/> We hereby confirm the registration of the above participants for the convention.	
From: Professional Development & Services Department, Federation of Investment Managers Malaysia	Date:

FEDERATION OF INVESTMENT MANAGERS MALAYSIA
19-06-1, 6th Floor, Wisma Tune,
No. 19 Lorong Dungun, Damansara Heights,
50490 Kuala Lumpur, Malaysia.
T: 03-2093 2600 **F:** 03-2093 2700
www.fimm.com.my

Handwritten initials

7.30 am – 9:00 am	REGISTRATION AND WELCOME COFFEE	
9.00 am – 9:15 am	OPENING ADDRESS BY CHAIRMAN, FIMM	
9.15 am - 9.45 am	KEYNOTE ADDRESS: "EMBRACING THE FUTURE: FRESH PERSPECTIVE" Proposed: CHAIRMAN, SECURITIES COMMISSION MALAYSIA (SC)	
9.45 am -10.45 am (1 hour)	SESSION 1: ASIAN DISTRIBUTION DYNAMICS: RESPONDING TO AN EVOLVING LANDSCAPE This session discusses opportunities in Asia ex-Japan's mutual funds/unit trust markets in key jurisdictions that include: a) Sizing up mutual fund/unit trust markets, including past and future growth trends; b) Providing recent regulatory changes across Asia, and what they mean for fund houses; c) Examining mutual fund penetration in Asia and assess opportunities for fund houses; and d) Assessing product and marketing strategies for the year to come. Proposed Speaker: Mr. Ken Yap, Senior Director, Research Analytics Cerulli Associates.	
10.45 am -11.15 am	COFFEE BREAK	
11.15 am – 12:15 noon (1 hour)	SESSION 2: ETHICAL DECISION-MAKING FOR CONSULTANTS It is important for Consultants to comply with the FIMM's Code of Ethic and Rules of Professional Conduct for the purpose of marketing and distribution of unit trusts and private retirement schemes that aim to provide an environment that protects the interest of the investing public. In this session, the speaker will provide a quick refresher on rules governing the sales practices in the industry as well as key elements in FIMM's Code of Ethics and Rules of Professional Conduct that Consultants should be aware of. Case studies that drawn upon complaints received and sanctions imposed by FIMM will be used to show Consultants how to apply the FIMM's Code of Ethics and Rules of Professional Conduct in the real world in making ethical decisions. Where applicable, key steps that could facilitate ethical decision making in marketing and distribution of unit trusts as well as PRS will be highlighted too. Proposed Speaker: FIMM CEO/ Representative, FIMM Regulatory Division	
12.15 noon – 1.45 pm	LUNCH	
1.45 pm – 3.00 pm (1 hr 15 mins)	<i>(Breakout room no.1)</i> SESSION 3 (BREAKOUT 1): PRIVATE RETIREMENT SCHEMES FOR SMART RETIREES Most Malaysians are not well prepared to retire as 1 in 3 Malaysians does not have a savings account and 90% of rural households have no savings, while 86% of their urban counterparts are in the same situation. The problem is compounded with insufficient mandatory savings in the form of EPF contributions as well as improved life expectancy. In view of the above and with the rising inflation rate, most Malaysians may not afford to retire, and will be forced to work through their retirement days. This session will hence discuss why Malaysians should consider Private Retirement Scheme (PRS) as an effective tool in building their retirement nest eggs and help them to better prepare to age gracefully and independent financially. Proposed Moderator: Pn Munirah Khairuddin, Chief Executive Officer, CIMB-Principal Asset Management Bhd Proposed Panelists: 3 panelists will be invited, in consultation with the Moderator: a) En. Husaini Hussin - Chief Executive Officer, Private Pension Administrator (PPA) Malaysia b) Representative from AIA Pension and Asset Management Sdn Bhd c) Representative from Manulife Asset Management Sdn Bhd	<i>(Breakout room no.2)</i> SESSION 3 (BREAKOUT 2): POSITIONING MALAYSIA AS A GLOBAL HUB FOR ISLAMIC FUNDS AND DEVELOP THE COUNTRY AS AN INTERNATIONAL PROVIDER OF ISLAMIC WEALTH MANAGEMENT SERVICES The growth of Muslim communities and affluence around the world has fuelled greater demand for Shariah-compliant investment products. From 2004 to 2015, total asset under management of global Islamic funds has grown at a rapid pace, registering a CAGR of 8.5%. The number of Islamic funds has also grown rapidly at 14% Compounded Annual Growth Rate (CAGR) during the same period. A recent findings from Edbiz Consulting (2016) estimated that about US\$9.5 trillion of global Muslim wealth is currently intermediated by conventional financial institutions, with estimated potential annual revenue of about US\$180 billion if these funds are channelled to Islamic funds and wealth managers (leakages). The following will be discussed in this session: • Readiness and future prospect/services for global Shariah fund management and wealth management; • What is our strategy to meet the demand on Shariah-compliant investment products and services; and • Benefits and challenges of positioning Shariah-compliant funds as Sustainable and Responsible Investment (SRI) funds. Proposed Moderator: En. Nik Mohd Hasyudeen Yusoff, Chairman of Industry Development Committee, FIMM Proposed Panelists: 4 panelists will be invited, in consultation with the Moderator: a) En. Zainal Izlan Zainal Abidin, Managing Director, Development and Islamic Market, Securities Commission b) Mr. Gerald Michael Ambrose, CEO, Aberdeen Islamic Asset Management Sdn Bhd c) Mr. Omar Selim, CEO, Arabesque Asset Management Ltd d) A representative from wealth management unit of regional/global bank
3.00 pm – 3.30 pm	COFFEE BREAK	
3.30 pm – 4.45 pm	<i>(Breakout room no.1)</i> SESSION 4 (BREAKOUT 1): FINDING OPPORTUNITIES AMID UNCERTAINTIES In an environment where we face persistent threats of global recession, where even world leaders seem to run out of ideas to relieve economic pressures and stimulate growth, how do we navigate the current macroeconomic and geopolitical uncertainties? What are the key trends and triggers that will shape the global economy of the future? Join our expert speakers who will share their provocative and enlightening insights in this segment. Proposed Moderator: Mr. Ismitz Matthew De Alwis, FIMM Board of Director Proposed Panelists: 3 panelists will be invited, in consultation with the Moderator: a) Dr. Tan Chong Koay, Founder and Executive Officer, Pheim Asset Management Sdn Bhd; b) Mr. Wellian Wiranto, Economist, OCBC; and c) Mr. David Fernandez, Managing Director and Head of Fixed Income, Currencies and Commodities Research, Asia Pacific at Barclays.	<i>(Breakout room no.2)</i> SESSION 4 (BREAKOUT 2): DIGITAL INVESTMENT MANAGEMENT: FRIENDS OR FOES? Digital business models such as robo-advisors, micro-investment avenues and social investing/trading-mimicking are making significant impact on the fund and wealth management industry globally. These digital investment services facilities and connectivity allow issuers, investors and intermediaries to access existing and new markets in a more efficient and cost-effective manner, thus spurring further growth of the industry. According to Investor Junkie, Bloomberg, Digital Investment Management (Robo-Advisors) managed US\$0.3 trillion of funds in 2016. It is projected that the size of such funds could be increased exponentially to as much as US\$2.2 trillion by 2020 globally. In this session, the panelists will share the followings: a) Mega trends and major developments in the digital investment management space in Malaysia and other countries; b) Impacts of digital investment management to advisors/consultants; c) How mutual funds, unit trusts and wealth management industry in more advanced markets are adapting to and take advantage of these trends; d) What are the key success factors needed to be considered in developing successful business proposition applications (e.g. self-investments, fully automated, hybrid robo-advisors that pairs computer automation with human in financial advisors etc.) that are well received by the markets and investors. Proposed Moderator: Mr. Andy Lim Say Kiat, CEO/ Managing Director, Phillip Mutual Bhd Proposed Panelists: 3 panelists will be invited, in consultation with the Moderator: a) Mr. Chin Wei Min, Executive Director Innovation, Digital & Strategy, Securities Commission Malaysia; b) Mr. Rennie McArthur Miller, Executive Chairman, Parmenion Capital Partners; and c) Representative from Vanguard/Vanguard Personal Advisor Services.
4.45 pm – 5.30 pm (45 mins)	SESSION 5: MOTIVATIONAL TALK: FIND PEOPLE WHO HAVE SUCCEEDED, AND MODEL YOURSELF AFTER THEM - A REAL LIFE EXPERIENCE One important difference is to learn from our failures into successes. Many people failed at something and decided that this is an end point. People who get what they want and achieve greatness simply look at a failure as a lesson and try to see what can be learned from it. Some individuals who have had the greatest successes of our time have also had some of the most epic failures. People like Michael Jordan, Albert Einstein, Steve Jobs, and Walt Disney, are the best role model of the greatest success. They will inspire you, and show you that we can get what you want, and achieve true success no matter what anyone else thinks or says, and no matter how many times you stumbled. It is not the stumbling that matters, it is the bouncing back. In this session, the theme is "Find people who have succeeded, and model yourself after them". Proposed Speaker: Datin Seri Tiara Jacqueline Eu Effendi is an accomplished motivational speaker. Datin Seri is a Malaysian actress and film producer. She is famous for her part in Puteri Gunung Ledang, in which she played the lead role, and sang the theme song, "Asmaradana". Besides appearing on stage and on camera, Datin Seri is the managing director of Enfiniti Productions, a Malaysian company in the arts & entertainment and television production fields.	
5.30 pm	Closing remarks and end of Convention	

Note:

1. Should the proposed speaker/moderator/panelist is not available, a replacement with similar caliber and experience will be invited.
2. The above program is subject to change without prior notice.

Reply Slip - CEOs

2017 FIMM Annual Convention

“Embracing the Future: Fresh Perspective”

28 September 2017

**Manhattan Ballroom, Level 14, Berjaya Times Square Hotel
Kuala Lumpur**

Attn: Ahmad Yusoff Bin Hamzah
Professional Development & Services Department
Federation of Investment Managers Malaysia
Tel: 03-2093 2600 Fax: 03-2093 2700

**PLEASE COMPLETE AND FAX THIS REPLY SLIP TO FIMM BY
Monday, 18 September 2017**

(Please let us have your reply even if you are not able to attend the convention)

- Yes! I am able to attend the Convention
- I am able to attend the VIP Luncheon
- I am not able to attend the VIP Luncheon

	Name	Designation
1.		

- No, I am unable to participate in the Convention. Thank you for your invitation.

From :

Name of company :	
Contact Person :	Designation :
Tel :	Fax :
Email :	
Date :	Signature :

FEDERATION OF INVESTMENT MANAGERS MALAYSIA

19-06-1, 6th Floor, Wisma Tune, No. 19 Lorong Dungun, Damansara Heights, 50490 Kuala Lumpur, Malaysia.

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