

9 March 2017

CIRCULAR : PDS/2017SEM/FH-KOH-SNZ/009-17

**TO : ALL MEMBERS AND REGISTERED PERSONS
(UTMC/IUTA/CUTA)**

ATTN : CHIEF EXECUTIVE OFFICER / AUTHORISED REPRESENTATIVE

Dear Sir / Madam,

2017 FIMM SEMINAR (2017 FIMMSEM): FIRST SERIES (ADDITIONAL SESSION)

We are pleased to inform that an additional seminar will be conducted due to overwhelming response from the consultants. The summary of session is as follows:

No.	Venue	Date/Time/Day	Speaker	Topic
1	Sime Darby Convention Centre Kuala Lumpur	28 March 2017 Tuesday	Azhar Iskandar Hew	Practical Aspects of Estate Planning in Malaysia for Muslims and Non-Muslims (8CPD/10CPE points)

More details on the above seminar are enclosed as Appendix 1.

Awarding of CPD/ CPE Points

All participants who have attended any of the seminars and fulfilled the attendance requirements will be awarded eight (8) CPD points by FIMM.

You may note that this seminar is also accredited by Securities Industry Development Corporation (SIDC) for CPE purposes. Hence, holders of Capital Markets Services Representative's Licence (CMSRL) and Employees of Registered Persons (ERP) who attended the seminar will be accorded 10 CPE points, in addition to FIMM 8 CPD points.

Details on the attendance requirements as well as other seminar policies and procedures can be found in the attached Appendix 2.

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Seminar Fee

Kindly be informed that the seminar fee is RM84.80 per participant for Consultants or RM265.00 per participant for public. Fees quoted are inclusive of 6% GST. The seminar package includes two tea breaks, one lunch and certificate of attendance.

For every 5 participants registered for the seminar, the sixth participant from the same Member/Registered Person to the same seminar will be **free of charge**.

Registration for Seminars

Consultants who are interested to attend the seminar must submit their registration via Members and Registered Persons. No direct registration from Consultants will be accepted.

Members and Registered Persons may register your Consultants for the seminar by completing the Registration Form (Appendix 3) and return it to FIMM. FIMM will deal with interested individuals from public for registration.

Kindly submit the registration form before the closing date of the seminar. Registration is based on **first-come, first-served basis**. Given that the seats are limited for the seminar, you are strongly encouraged to submit registration soonest to avoid disappointment.

Distribution of Seminars and Registration Details to Consultants

Kindly be reminded that all Members and Registered Persons must ensure that all Consultants attached to your company are aware of the seminar as well as other information that are pertinent to timely and successful registration for the seminars.

We look forward to your continued support and participation in our seminars.

Thank you.

Yours faithfully

FEDERATION OF INVESTMENT MANAGERS MALAYSIA



FARIQ HASSAN

Interim Chief Executive Officer

Schedule for additional FIMM Seminar

No	Venue	Date/Time /Day	Closing Date	Speaker	Topic
1	Sime Darby Convention Centre Kuala Lumpur <i>(Additional and Special Request from Participants)</i>	28 th March 2017 (Tue)	21 st March 2017 (Tue)	Azhar Iskandar Hew (SIDC CPE-approved: 10 CPE Points)	Practical Aspects of Estate Planning in Malaysia for Muslims and Non-Muslims Identify the different estate planning tools available for Muslims and non-Muslims as well as why estate planning is important in the distribution of wealth.

SPEAKERS' PROFILE

Mr. Azhar Iskandar Hew

Mr Azhar Iskandar Hew is currently the Head of Rockwills Trustee Berhad and Director of Rockwills Advisory Services Sdn Bhd (a licensed financial planning company by Securities Commission).

He has more than 18 years' experience in the estate planning industry as a will & trust specialist, trainer and conference speaker. Over the years he has trained more than 9,000 people primarily from leading financial institutions and life insurance companies as well as financial planners in Hong Kong and Singapore.

His current scope of work includes advising on legal aspects and compliance and advisory matters regarding Estate Planning, Private Trust and Corporate Trust services. He contributes articles regularly to various financial planning magazines on the area of Estate Planning and Private Trust. He is a lawyer by training from the University of London and is a member of the Society of Trust and Estate Practitioners (STEP).

He is an approved Securities Industry Development Centre (SIDC) facilitator for the area on estate planning and he is an Estate Planning Trainer for Certified Financial Planning (CFP) as well as a Certified Registered Financial Planner (RFP) Trainer by the Malaysian Financial Planning Council (MFPC) for the Estate Planning module of the Registered Financial Planner course.

2017 FIMMSEM Policies and Procedures

Registration for Seminars

1. All UTMC/IUTA/CUTA (collectively referred to as "Principals") will be informed by FIMM via circular on the upcoming seminar schedule.
2. All Principals must ensure that all Consultants attached to your company are aware of the 2017 FIMMSEM as well as other information that are pertinent to timely and successful registration for the seminars.
3. The seminar schedule will be posted on the FIMM Website. Consultants are advised to check the website periodically for latest updates.
4. Consultants who are interested to attend any of the 2017 FIMMSEM must submit their registration via Principals. No direct registration for Consultants will be accepted.
5. Principals may register your Consultants for the seminar(s) by completing the Registration Form and return it to FIMM via email/fax. Interested individual from public may submit Registration Form to FIMM.
6. Registration is on first-come, first-served basis.
7. Participants who are holders of CMSRL and/or ERP shall provide complete details of their licence number and NRIC number during registration for selected seminars accredited by SIDC.
8. By providing FIMM the applicants' personal data, Principals are hereby consented to the processing of individual personal data in accordance with and for the purposes as set out in FIMM personal data notice. Applicants are advised to read FIMM personal data notice available at www.fimm.com.my before submitting the registration form.
9. Principals must inform FIMM not less than two (2) working days from the event date should there be any replacement(s) of their registered Consultants for 2017 FIMMSEM.
10. Walk-ins without prior registration with FIMM will not be entertained.

Payment Terms

1. The 2017 FIMMSEM fee is RM84.80 per participant for Consultants or RM265.00 per participant for public. Fees quoted are inclusive of 6% GST.
2. For every 5 participants registered for the seminar, the sixth participant from the same Member/Registered Person to the same seminar will be free of charge.
3. FIMM will only liaise with the Principals for payment matters. Hence, Consultants are to make payment via their Principals. Only participants from public may make payment directly to FIMM.
4. Payment must be in cheque or bank draft and made payable to "**Federation of Investment Managers Malaysia**". Payment by **cash is not allowed**.

5. Payment shall be made in full within fourteen (14) days from date of tax invoice, unless otherwise agreed to the contrary.
6. There is no refund for non-attendance but replacement from the same company is allowed for the same seminar. Replacement is not allowed to be carried forward to another seminar.
7. In the event of cancellation by FIMM, payment will be refunded to all registered applicants.

On-site Attendance Registration and Recording for Seminars

1. Registration and attendance records
 - Registration will start at 8.00 am and close at 9.30 am. Late participants will be barred from entering the seminar and will be recorded as absent.
 - Participants must sign in and sign out to complete their attendance records.
 - Participants without complete attendance records will be deemed absent.
2. CPD Points by FIMM
 - 8 CPD points are allocated to Consultants with **complete attendance record**. For avoidance of doubt, partial attendance record with either signing in or signing out will not be entitled for CPD points.
3. CPE Points by SIDC
 - 10 CPE points are allocated to CMSRL holders and ERP with **complete attendance record**. For avoidance of doubt, partial attendance record with either signing in or signing out will not be entitled for CPE points.
4. Other administrative (housekeeping) arrangements
 - All seminars will start at 9.00 am.
 - Parking rates – subject to the prevailing parking rates at the venue, unless advised by FIMM's officer.
 - Feedback forms must be filled up and returned to FIMM's officer at the end of the seminar.
 - Signing-out counter will be opened from 4.30pm to 5.15pm. Consultants must sign out at the end of the seminar, failing which Consultants will be deemed absent.

Post Event

1. FIMM will consolidate and inform Principals on the attendance of Consultants registered under your company.
2. Principals must then update the CPD points accumulated by their Consultants based on the attendance records provided by FIMM.
Principals are responsible for keeping record of their Consultants CPD points.



FIMM SEMINAR REGISTRATION FORM

Please fill in the details accurately. Incomplete form will not be processed. Thank you.

Company Details *(If Applicable)*

Company Name			
Contact Person			
Designation			
Email Address			
Contact No.	ext	Fax No.	

Participants Details

Seminar Topic :					
Date of Seminar :			Venue :		
No.	Full Name (as per NRIC)	NRIC No.	<i>Please indicate active number only</i>		
			FIMM No. <i>(If applicable)</i>	CMSRL No. <i>(If applicable)</i>	ERP No. <i>(If applicable)</i>
1					
2					
3					
4					
5					
6					

Fee : RM84.80 (Registered UTC or PRC) / RM265.00 (Public)
Fees quoted are inclusive of 6% GST.
For every 5 participants registered, the sixth participants will be free of charge

Payment Mode : Cheque Bank Draft
(Please tick the box accordingly)

*Registered Consultants shall make payment in company cheque or bank draft via Principals.
 Participants from public shall make payment in cheque or bank draft to FIMM.*

All payments must be received by FIMM within fourteen (14) days from date of tax invoice, unless otherwise agreed to the contrary.

Enclosed is cheque / bank draft no. for the sum of RM.....
 made payable to **Federation of Investment Managers Malaysia**.

Registration & Enquiries : Professional Development & Services (PDS) Department
Mohd Hazwan Rashid (hazwan@fimm.com.my)
Ahmad Yusoff Hamzah (ahmadyusoff@fimm.com.my)
 Tel: 03-2093 2600 | Fax: 03-2093 2700

Cancellation : There is no refund for cancellation or non-attendance but replacement from the same company is allowed.

Registration is on first-come, first-served basis.

Disclaimer

FIMM reserves the right to cancel or reschedule any programs to another / other date(s) without prior notice and will not liable for any claims, action costs, charges, expenses, damages, losses and liabilities due to such cancellation / rescheduling of the programs.

Participants who are holders of Capital Markets Services Representative's License (CMSRL) or Employee of Registered Persons (ERPs) that required SIDC's Continuing Professional Education (CPE) points from the selected seminar courses offered by FIMM are advised to include their licence number in this Registration Form. Failing to do so FIMM will not be responsible for any unsuccessful collection of the SIDC's CPE points from FIMM Seminars.

Notice

Federation of Investment Managers Malaysia (FIMM) respects the privacy of individuals. Pursuant to the Personal Data Protection Act 2010, you are advised to read our personal data notice available at www.fimm.com.my before submitting this form. By providing us your personal data, you hereby consent to the processing of your personal data in accordance with and for the purposes as set out our personal data notice.

Confirmation of Registration (For FIMM's use)	
To:	Attn:
<input type="checkbox"/> We hereby confirm the registration of the above participant(s) for the seminar.	
From: Professional Development & Services Department Federation of Investment Managers Malaysia	Date: