

25th July 2012

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TO : ORDINARY MEMBERS & REGISTERED PERSONS

ATTN : CHIEF EXECUTIVE OFFICER

Dear Sir/Madam,

**ANNUAL CONVENTION OF UNIT TRUST CONSULTANTS
(ACUTC) 2012**

9 OCTOBER 2012, SIME DARBY CONVENTION CENTRE

We are pleased to inform you that **FIMM's Annual Convention of Unit Trust Consultants 2012** will be held as follows:

A) THEME

“Growing Confidently with Change”

B) DATE, TIME AND VENUE

Date : 9 October 2012, Tuesday

Time : 9.00 a.m. – 5.00 p.m. (Registration starts at 7.30 am and closes at 10.00 am sharp)

**Venue : Ballroom 1 & 2, Level 1
Sime Darby Convention Centre
No 1A, Jalan Bukit Kiara 1
60000 Kuala Lumpur**

Parking : F.O.C.

C) PROGRAMME

This is a **full-day convention** specially organised for members of FIMM and UTCs on an invitation basis (subject to valid registration with FIMM). The convention features discussions / presentations on various significant issues and industry-related topics by prominent industry experts.

For further details, please refer to **Appendix 1 (Programme)**.

D) CONVENTION FEE AND ATTENDANCE

Each UTC will be charged RM100.00 for registration. Registration must be accompanied with payment to secure your seats.

Only limited seats are available for our convention. Registrations are based on first-come-first serve basis. Registrations are via company only. No walk ins will be allowed.

- **8 CPD points** are allocated for attendance. Failure to sign in is considered non-attendance.
- No refunds will be made. Companies must inform FIMM in writing of the replacement delegate. **Deadline for replacement is on the 28th September 2012.**

E) SPECIAL INVITATION TO CHIEF EXECUTIVE OFFICERS

It is complimentary for all CEO's to attend the convention and the networking luncheon.

In this regard, kindly confirm your attendance by completing the enclosed **Reply Slip-CEOs (Appendix 2)**. We would appreciate it if you could return the completed slip to FIMM latest by **30th August 2012, Thursday**.

F) REGISTRATION TERMS AND CONDITIONS

Kindly indicate the number of registered UTCs your company will be sending to the convention by completing the appropriate **Reply Slip-UTCs (Appendix 3)**.

Please provide your respective UTC attendance list where applicable, by completing the above mentioned **UTC Registration List (Appendix 4)** and returning the same to FIMM latest by **30th August 2012, Thursday**.

Registrations are via company and no individual registrations will be entertained. No walk ins are allowed.

For further information, please contact Pn. Zurin at 03 – 2093 2600 or e-mail zurin@fimm.com.my or harkiran@fimm.com.my.

Thank you for your support.

Yours faithfully

FEDERATION OF INVESTMENT MANAGERS MALAYSIA



AHMAD ZAKIE H. AHMAD SHARIFF
Chief Executive Officer

2012 UTC ANNUAL CONVENTION

“GROWING CONFIDENTLY WITH CHANGE”

9TH OCTOBER 2012,

SIME DARBY CONVENTION CENTRE

KUALA LUMPUR

7.30am - 9.00am	Registration	
9.00am - 9.15am	Welcome Address	En. Abdul Kadir Kassim , Chairman, FIMM
9.15am - 9.45am	Keynote Address: The Malaysian Capital Market Outlook and Beyond -	YBhg. Datuk Ranjit Ajit Singh , Chairman, Securities Commission Malaysia
9.45am - 10.45am	<p>Panel Session 1:</p> <p>ACCELERATING THE GROWTH OF THE PRIVATE PENSION INDUSTRY: THE INTRODUCTION OF PRS AND ITS IMPACT ON THE UNIT TRUST MANAGEMENT INDUSTRY</p> <p><u>Key highlights:</u> The introduction of PRS framework was part of the overall recommendations made by the SC to the government to accelerate the development of the private pension industry. PRS seeks to enhance choices available for all Malaysians, whether employed or self –employed, to supplement their retirement savings within a well –structured and regulated environment. The session will provide an overview of PRS as well as the impact of PRS on the various stakeholders and players</p> <ul style="list-style-type: none"> • Investors: PRS will promote greater choices for long term retirement savings and complement EPF. Growth of the PRS industry will create positive spin off effects in enhanced economic activity and growth as long term savings are channeled through capital market. Do we need more retirement-related products to help us save due to the longevity risk, living expenses and healthcare costs? • What are the motivations to sign up for these schemes? Especially more attractive financial incentives are needed for lower-income and middle-income workers • UTCs: Providing informed decisions to investors on PRS that we need in order for us to make an informed decision to invest in these retirement schemes? • Regulatory Bodies: The enactment of the PRS legal framework with relevant amendments to CMSA in October 2011, as well as incentivizing participation in PRS is critical to influencing behavior and the growing demand in the PRS industry • Will be an exciting time for the PRS regulatory body and providers to educate the public about the PRS framework, including fund governance, monitoring and reporting as well as performance disclosure and benchmarking. • How do we provide sufficient education and information that ensures well-informed potential contributors to make better decisions and choices? • How can we learn from other countries that have implemented the PRS? <p><u>Panelists:</u></p> <ul style="list-style-type: none"> • Ms Amardeep Kaur Inderjit Singh, Securities Commission of Malaysia/ Chief Executive Officer, PRS Administrator (<i>invited</i>) 	

Media Partner:



FEDERATION OF INVESTMENT MANAGERS MALAYSIA

• Address: 19-07-3, 7th Floor, PNB Damansara, 19 Lorong Dungun, Damansara Heights, 50490 Kuala Lumpur, Malaysia.

• Tel: (603) 2093 2600 • Fax: (603) 2093 270 • Website: www.fimm.com.my

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	<ul style="list-style-type: none"> • Mr Campbell Tupling, Chief Executive Officer, CIMB- Principal Asset Management Bhd • Mr Teng Chee Wai, Chief Executive Officer, Hwang Investment Management Bhd • Mr Edward Ooi Tee Hee, Chief Executive Officer, Manulife Unit Trusts Berhad <p><u>Moderated by:</u> Ms. Carol Yip, Chief Executive Officer, Abacus Advisory Sdn Bhd</p>
10.45am-11.15am	Morning Break
11.15am-11.45am	<p>Session Two:</p> <p>ADDRESSING THE CURRENT CHANGES IN THE REGULATORY FRAMEWORK TO POSITION MALAYSIA AS AN INTERNATIONAL ISLAMIC HUB</p> <p><u>Presented by:</u> Encik Zainal Izlan Zainal Abidin, Executive Director , Islamic Capital Market, Securities Commission (<i>invited</i>)</p>
11.45am-12.45pm	<p>Panel Session 2: IDENTIFYING AND REAPING THE OPPORTUNITIES IN THE ISLAMIC UNIT TRUST LANDSCAPE IN MALAYSIA</p> <p>Given the growing significance of the Islamic Capital Market both domestically and globally, 2011 saw significant progress, ranging from product development and market expansion, to capacity building and further strengthening and sustaining Malaysia’s leadership position in this segment of the capital market.</p> <p><u>Key highlights:</u></p> <ul style="list-style-type: none"> • There were a number of Islamic funds launched around the world after the global financial crisis? Was this due to rising demand from investors? • Are Islamic funds more resilient when markets are volatile? Why so? Is there any example of an Islamic funds’ return and risk performance against a conventional peer fund? • Are there more non-Muslim investors investing in Islamic funds? • How is the pace of innovation for Islamic funds? Is it slower as compared to the conventional funds? Is innovation a challenge faced by the industry? • Is it a better alternative than the conventional system? • Regulatory updates in the Islamic Capital Market space – revised Islamic securities guidelines – to enhance regulatory framework

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	<p><u>Panelists:</u></p> <ul style="list-style-type: none"> • Puan Sharifatul Hanizah Said Ali, Managing Director, RHB Investment Management Sdn Bhd (<i>invited</i>) • Mr Suhazi Reza, Senior Manager, Global Sales and Marketing CIMB-Principal Islamic Asset Management (2012 winner of the WIFFMC Islamic Investment Institution & Best Asset Management House for 2012 in Asia) • Datin Maznah Mahbob, Chief Executive Officer, AmInvestment Management Sdn Bhd • En Abdul Jalil Rasheed, Chief Executive Officer, Aberdeen Islamic Asset Management
<p>12.45pm- 2.00pm</p>	<p>Lunch (Foyer)</p>
<p>2.00pm – 3.00 pm (1 hr)</p>	<p>Panel Session 3: IN PURSUIT OF EXCELLENCE – ETHICS AND CODE OF CONDUCT FOR THE UNIT TRUST CONSULTANT</p> <p>We must learn from the lessons of past financial crises that growth is only sustainable if it is underpinned by a proper system of accountabilities and governance. Therefore, the hallmark of the market that we aspire to build is one that will be distinguished by the quality of its governance. During current market turbulence whilst striving to grow in a sustainable pace, it only fitting that UTC should remember to uphold their ethics and code of conduct to maintain the integrity of their professional and the trust of their clients.</p> <p><u>Key highlights:</u></p> <ul style="list-style-type: none"> • Understanding the Code of Ethics and Standards of Professional Conduct for the Unit Trust Industry (Code) and Guidelines issued by FIMM and SC – periodic review by raising the ethical standards of the industry players will protect the public interest • What are the current changes in the regulatory landscape in the parameter of ethical conduct and code of practice for UTCs • In pursuit of excellence discusses how greater awareness and understanding in dealing with the issue of a potential conflict of interest is pertinent and methods to successfully resolve it which is crucial especially in sales • Public image of professionalism is widely relied upon in day-to-day selling activities • Building UT market intermediaries capabilities - proper briefings and disclosure from consultants to adopt a sense of accountability <p><u>Panelists</u></p> <ul style="list-style-type: none"> • Mr Wong Boon Choy, Chief Executive Officer MAAKL Mutual Berhad • Ms Vasantha Puniamoorthy, Board of Director , FIMM (Member of the Rules and

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KUALA LUMPUR**

	<p>Disciplinary Committee)</p> <ul style="list-style-type: none"> • Mr Danny Wong, Chief Executive Officer, Areca Capital Sdn Bhd • Ms Sujatha Shekar Naik, General Manager and Head of Investor Affairs and Complaints, Securities Commission Malaysia (<i>invited</i>) <p><u>Moderated by:</u> Ms Hafizah Abdul Ghani, General Manager of Regulatory Affairs, FIMM</p>
3.00pm-3.30pm	Afternoon Break
3.30pm - 4.45pm	Session 4: THE TRANSFORMATIONAL LEADER: LEADING THROUGH CHANGE
4.45pm-5.00pm	<p>Closing Address: FUTURE DIRECTIVES OF THE UNIT TRUST MANAGEMENT INDUSTRY - UNDERSTANDING YOUR ROLE IN THE GROWTH OF THE UNIT TRUST INDUSTRY</p> <p><u>Presented by:</u> En. Ahmad Zakie Bin Hj. Ahmad Shariff , Chief Executive Officer, FIMM</p>
5.00pm	End of Convention

**FIMM reserves the right to change the venue, date, speaker or topic if deemed necessary*

**Please check the www.fimm.com.my for any updates pertaining to the agenda*

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REPLY SLIP - CEOs

ANNUAL CONVENTION OF UNIT TRUST CONSULTANTS :

“GROWING CONFIDENTLY WITH CHANGE”

9 OCTOBER 2012

BALLROOM 1 & 2, LEVEL 1, SIME DARBY CONVENTION CENTRE, JALAN BUKIT KIARA

Attn: Pn. Zurin & Ms. Harkiran
Events & Investors Promotion
Federation of Investment Managers Malaysia
Tel: 03-2093 2600 Fax: 03-2093 2700

**PLEASE COMPLETE AND FAX THIS REPLY SLIP TO FIMM LATEST BY
30TH AUGUST 2012 (THURSDAY)**

(Please let us have your reply even if you are not able to attend the convention)

- Yes! I am able to attend the Convention
- Yes! I am able to attend the VIP Luncheon
- No! I am unable to attend the VIP Luncheon

	Name	Designation
1.		

- No, I am unable to participate in the Convention. Thank you for your invitation.

From :

Name of company :	
Contact Person :	Designation :
Tel :	Fax :
Email :	
Date :	Signature :

REPLY SLIP - UTCs

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BALLROOM 1 & 2, LEVEL 1, SIME DARBY CONVENTION CENTRE, JALAN BUKIT KIARA

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Events & Investors Promotion
Federation of Investment Managers Malaysia
Tel: 03-2093 2600 Fax: 03-2093 2700

**PLEASE COMPLETE AND FAX THIS REPLY SLIP TO FIMM LATEST BY
30TH AUGUST 2012 (THURSDAY)**

(Please let us have your reply even if you are not able to send any participants to the convention)

- Yes! We would like to submit our invited list of _____ UTCs to the convention. Attached please find the completed UTC Registration List (Appendix 5) for your further action.
- No, we are unable to participate in the Convention. Thank you for your invitation.

From :

Name of company :	
Contact Person :	Designation :
Tel :	Fax :
Email :	
Date :	Signature :

UTC REGISTRATION LIST

No.	Name as per NRIC (Please complete the list with BLOCK letters)	FIMM No.
--	AISHAH BT RAHMAN (example)	001-0-12345
1.		
2.		
3.		
4.		
5.		
6.		
7.		
8.		
9.		
10.		

(Please use duplicate of this list if the space provided is not adequate.)

Notes:

Fee: RM100.00 each. Payment must be submitted with registration.

Payment Mode: Please tick in the box accordingly. Cheque Bank Draft

Enclosed is cheque/bank draft no. for the sum of RM..... made payable to “**Federation of Investment Managers Malaysia**”.

Registrations & **Events & Investors Promotion**
Enquiries: Contact Persons: Pn. Zurin or Ms. Kiran at 03-2093 2600

Cancellation: There is no refund for cancellation or non-attendance but substitutes from the same company are allowed. Admittance will not be permitted unless payment is received.

Registration is on first-come-first-serve basis. All registrations must be accompanied with payment.

FIMM reserves the right to make changes to the venue, date, speaker, and cancellation of the convention if warranted by circumstances beyond the organiser’s control.

Confirmation of Registration (For FIMM’s Use)	
To :	Attn :
<input type="checkbox"/> We hereby confirm the registration of the above participants for the convention.	
From : Federation of Investment Managers Malaysia	Date :