

26 November 2013

CIRCULAR BR/AL/AKK/TLK-sc/056-13
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To : MEMBERS / IUTA / CUTA

ATTN : CHIEF EXECUTIVE OFFICER / AUTHORISED REPRESENTATIVES

DEAR SIR/MADAM

**CONSENT FOR PUBLISHING INFORMATION OF UNIT TRUST CONSULTANT
("UTC")**

To provide the public an avenue to verify whether UTC are authorised to market and distribute Unit Trust Funds, FIMM has published the following information on the website:

- (i) UTC's name;
- (ii) UTC's FIMM registration number;
- (iii) Name of the company the UTC represents;
- (iv) Status of the UTC's registration;
- (v) Effective date of the UTC's registration

In addition, FIMM provides relevant information pertaining to the UTC (which includes personal data and registration status) to the relevant authorities and/or association for the purpose of monitoring the activities of the UTC and handling misconduct to protect the investors.

As you may be aware, the Personal Data Protection Act 2010 (PDPA) came into effect on 15th November 2013. Since the consultants are under your employment or have contractual relationship with you, we seek your cooperation to obtain the requisite consents as required under the PDPA from the UTC representing your company that would allow FIMM to publish the UTC's information on our website as well as to furnish relevant information to the authorities and/or associations for the abovementioned purpose.

Please reply in writing to confirm that you have obtained the required consents from the UTC no later than **Friday, 13th December 2013**.

If you need any clarification, please contact Ms Tan Lee Kiaw (ext. 323) or Ms. Sheryl Chia (ext 322) at 03-2093 2600

Thank you.

Yours faithfully
Federation of Investment Managers Malaysia



ABDUL KADIR KASSIM
Chairman