

17 December 2012

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To : UTMC / IUTA / CUTA

ATTN : CHIEF EXECUTIVE OFFICER / AUTHORISED REPRESENTATIVES

DEAR SIR/MADAM

Revised Statutory Declaration For Those Intending to Register as Unit Trust Consultants (“UTC”)

Please find enclosed the revised “Statutory Declaration for Registration of Unit Trust Consultants”.

We would like to bring to your attention on paragraphs 4 and 12 which have been amended as highlighted in bold for ease of reference.

Please be advised that with immediate effect, the revised Statutory Declaration must be completed by persons intending to register as UTC with the FIMM. Kindly ensure that this information is disseminated to your UTC.

If you need any clarification, please contact our Business Registration Department at 03-20932600.

Thank you.

Yours faithfully
Federation of Investment Managers Malaysia



AHMAD ZAKIE HJ AHMAD SHARIFF
Chief Executive Officer

**STATUTORY DECLARATION FOR
REGISTRATION OF UNIT TRUST CONSULTANTS**

I, _____ NRIC No. _____

of _____

do solemnly and sincerely affirm and declare as follows:

1 I am an agent / staff of unit trust management company / corporate representative of institutional unit trust adviser / corporate representative of corporate unit trust adviser of *

2 I am not tied to any other unit trust management company, institutional unit trust adviser or corporate unit trust adviser.

3 I am 21 years old or above.

4 **I possess,**

Sijil Pelajaran Malaysia (SPM)/an academic qualification equivalent to SPM*, with credit in Bahasa Malaysia/English/Mandarin* and credit in Mathematics;

OR

academic qualification(s) higher than SPM.

5 I have not been convicted by any court or other competent authority in Malaysia or overseas for any fraudulent or dishonest acts.

6 I have never been convicted of any criminal offences in Malaysia or overseas.

7 I have never been convicted of any offence under the securities laws in Malaysia or overseas.

8 I have not been convicted of an offence involving fraud or other dishonesty, or been convicted for any offence in which involved a finding that I have acted fraudulently or dishonestly in Malaysia or overseas.

9 I have not contravened any provision of any written law that has been enacted for protecting members of the public against financial loss due to dishonesty, incompetence or malpractice in Malaysia or overseas.

10 I have never been censured or reprimanded by, or denied / disqualified from membership of a professional or trade body or a regulatory license, registration of similar approval has been refused or revoked in Malaysia or overseas.

11 I am not an undischarged bankrupt nor have I failed to meet any judgment debts in Malaysia or overseas.

12 I understand and agree that any inaccurate declaration or non-disclosure herein will result in de-registration.

13 I understand and agree that the FIMM reserves the right to publish my name and my status following any action taken by the FIMM thereafter due to my misconduct or non-compliance with any of the guidelines, codes and by-laws issued by the FIMM or the de-registration mentioned in

paragraph 11 above in the website of the FIMM, print, electronic media and wherever else deemed necessary by the FIMM.

I made this solemn declaration conscientiously believing the same to be true, and by virtue of the provisions of the Statutory Declarations Act 1960.

Subscribed and solemnly affirmed and declared
by the above-named

_____ at

in the state of _____

_____ day of _____, _____
(month) (year)

Applicant's signature

Before me,

Commissioner for Oaths

* *Please strike off whichever is not applicable*