

17 December 2012

**CIRCULAR BR/Sara/AZ-tlk/056-12**  
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**To : UTMC / IUTA / CUTA**

**ATTN : CHIEF EXECUTIVE OFFICER / AUTHORISED REPRESENTATIVES**

**DEAR SIR/MADAM**

### **Loss of Certificate**

It is one of the prerequisites for any individual intending to be registered as a unit trust consultant (“UTC”) with FIMM to possess at least Sijil Pelajaran Malaysia (“SPM”) or its equivalent. As part of internal eligibility assessment, companies are responsible to request and retain a copy of the relevant certificates of its consultants in their record.

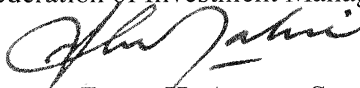
Currently, FIMM is accepting Statutory Declaration for loss certificate which could lead to abuse. Effective from 1 January 2013, Statutory Declaration for loss certificate is no longer acceptable by FIMM. Please obtain a replacement certificate from the relevant institutions:

- a) SPM certificate - – Lembaga Peperiksaan Malaysia
- b) Diploma / Degree / Master / PhD certificate – respective college or university;
- c) Certified Financial Planner (CFP) – Financial Planning Association of Malaysia (FPAM);
- d) Islamic Financial Planner (IFP) - Financial Planning Association of Malaysia (FPAM);
- e) Chartered Financial Consultant (ChFC) – Malaysian Association of Chartered Financial Consultant (MACHFC); and
- f) Registered Financial Planner (RFP) – Malaysian Financial Planning Council (MFPC).

If you need any clarification, please contact our Business Registration Department at 03-20932600.

Thank you.

Yours faithfully  
Federation of Investment Managers Malaysia



**AHMAD ZAKIE HJ AHMAD SHARIFF**  
Chief Executive Officer