

6 September 2011

**CIRCULAR : CPD/AL/LSH-lck/037-11  
(13 PAGES)**

**TO : ORDINARY MEMBERS & REGISTERED PERSONS**

**ATTN : CHIEF EXECUTIVE OFFICER**

## **CONTINUING PROFESSIONAL DEVELOPMENT (CPD)**

FIMM issued a circular CPD/AL/LSH-lck/429-10 dated 20 August 2010 revealing its plan to work with the Securities Industry Development Corporation (SIDC) on developing a CPD framework for the unit trust industry. This initiated a temporary deferment of the CPD programme, however FIMM still strongly encouraged all its Members and Registered Persons to continue with their ongoing CPD activities.

The project with SIDC is currently under assessment, however to ensure continued enhancement of skills and knowledge, the CPD programme launched initially for the year 2010 will take effect immediately. Other than the stipulated changes below, the CPD programme remains as per attached in Appendix 1.

- **Paragraphs 4.3.1 and 4.3.2:** UTCs must obtain a total of 24 CPD points for the year 2011 and 2012, and 16 points annually thereafter. UTCs are allowed to utilise any CPD points obtained in 2010 for meeting the 24 points required for the two years (2011 & 2012)
- **Paragraph 4.10.1:** A significant increase in CPD points being awarded for completing financial planning courses conducted by the Financial Planning Association of Malaysia (FPAM)
- **Paragraph 4.10.6:** The introduction of a CPD activity point ceiling to ensure a balanced mix of activities are undertaken by UTCs

FIMM aims to enhance the skills and knowledge of the UTCs via the implementation of the CPD programme on an industry-wide basis.

For further clarification, please contact the Continuing Professional Development (CPD) & Investor Education Department at 03. 20932600.

Together we will create an "Informed Investing Community".

Yours faithfully

**FEDERATION OF INVESTMENT MANAGERS MALAYSIA**



**LEE SIEW HOONG**

Chief Executive Officer