

27 August 2013

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TO : MEMBERS & REGISTERED PERSONS

ATTN : CHIEF EXECUTIVE OFFICER

Dear Sir/Madam,

## FIMM's 2013 ANNUAL CONVENTION

### 3 OCTOBER 2013, SIME DARBY CONVENTION CENTRE

We are pleased to inform you that **FIMM's Annual Convention** will be held as follows:

A) **THEME**

**“Catalyst for Sustainable Growth”**

B) **DATE, TIME AND VENUE**

**Date : Thursday, 3 October 2013**

**Time : 9.00 am – 5.00 pm (Registration starts at 7.30 am and closes at 9.30 am sharp)**

**Venue : Ballroom 1 & 2, Level 1  
Sime Darby Convention Centre  
No 1A, Jalan Bukit Kiara 1  
60000 Kuala Lumpur**

**Parking : Free**

**Dress Code : Business Attire**

C) **PROGRAMME**

This is a **full-day convention** specially organised for members of FIMM and Consultants on an invitation basis (subject to valid registration with FIMM). The convention features discussions / presentations on various significant issues and industry-related topics by prominent industry experts.

For further details, please refer to **Appendix 1 (Programme)**.

**D) CONVENTION FEE AND ATTENDANCE**

**Each consultant will be charged RM100.00 for registration. Registration must be accompanied with payment to secure your seats.**

**Only limited seats are available for our convention. Registrations are based on first-come-first serve basis. Registrations are via company only. No walk ins will be allowed.**

- **8 CPD points** is allocated for attendance. Sign in and sign out verification is required for acquisition of CPD points. Failure to sign in is considered non-attendance.
- There are no refunds. Companies must inform FIMM in writing if there are any replacements for delegates. **Deadline for replacements no later than Monday, 23<sup>rd</sup> September 2013.**

**E) SPECIAL INVITATION TO CHIEF EXECUTIVE OFFICERS**

It is complimentary for all CEO's to attend the convention and the networking luncheon.

In this regard, kindly confirm your attendance by completing the enclosed **Reply Slip-CEOs (Appendix 2)**. Please return the completed slip to FIMM not latest by **Tuesday, 17<sup>th</sup> September 2013.**

**F) REGISTRATION TERMS AND CONDITIONS**

Kindly indicate the number of registered Consultants that your company will be sending to the convention by completing the appropriate **Reply Slip-Consultants (Appendix 3)**.


Please provide your respective Consultants attendance list where applicable, by completing the above mentioned **Consultant Registration List (Appendix 4)** and returning the same to FIMM latest by **Tuesday, 17<sup>th</sup> September 2013.**

For further information, please contact Pn. Zurin / Ms. Harkiran at 03 – 2093 2600 or e-mail [zurin@fimm.com.my](mailto:zurin@fimm.com.my) or [harkiran@fimm.com.my](mailto:harkiran@fimm.com.my).

Thank you and see you at the convention.

Yours faithfully

**FEDERATION OF INVESTMENT MANAGERS MALAYSIA**



**AHMAD ZAKIE HJ. AHMAD SHARIFF**  
Chief Executive Officer

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# 2013 FIMM Annual Convention

## “CATALYST FOR SUSTAINABLE GROWTH”

3<sup>rd</sup> October, 2013,  
Sime Darby Convention Centre  
Kuala Lumpur

8.00am - 9.30am	Registration	
9.15am - 9.30am	<b>WELCOME ADDRESS</b>	<b>En. Abdul Kadir Kassim</b> , Chairman, FIMM
9.30am – 10.00am	Opening Keynote : <b>THE MALAYSIAN CAPITAL MARKET – Outlook, Trends and Directives</b>	<b>YBhg. Datuk Ranjit Ajit Singh</b> , Chairman, Securities Commission Malaysia (SC)
<b>SESSION THEME : ETHICS &amp; GOVERNANCE</b>		
10.00am - 11.00am	<b>SESSION ONE : STEERING TOWARDS MARKET INTEGRITY AND INVESTORS EMPOWERMENT</b>  <u>Speakers:</u> <ul style="list-style-type: none"> <li>▪ <b>Ms. Gillian Ng</b>, Director of Governance , The ICLIF Leadership and Governance Centre</li> <li>▪ <b>Mr. Ho Seng Yee</b>, Executive Director, OSK-UOB Investment Management Berhad</li> <li>▪ <b>Ms Vasantha N Puniamorthy</b>, Chairman Rules Committee, Board Member, FIMM</li> </ul> <u>Moderated by:</u> <ul style="list-style-type: none"> <li>▪ <b>Mr. Paul Khoo</b>, Chief Executive Officer, Standard Financial Planner</li> </ul>	
11.00am- 11.30am	Morning Break	
<b>SESSION THEME : INDUSTRY OUTLOOK</b>		
11.30am- 12.45pm (1 hr 15 mins)	<b>SESSION TWO : OUTLOOK ON MARKET OPPORTUNITIES AND CHALLENGES</b>  The main challenge faced by the industry is the need to address the question on how growth momentum can be maintained as well as to promote unit trust fund as a staple in building long-term wealth. This session will address broad key themes by representatives from the industry that will address pertinent issues that are faced by the industry: <ol style="list-style-type: none"> <li>a) The year under review - Opportunities and current market challenges. How to stay bullish on the global emerging markets.</li> <li>b) Islamic Investing is resilient: Proven and tested.</li> <li>c) Transforming Market Competiveness – Product innovation and diversification.</li> <li>d) Education and Professionalism - Providing financial stability in unit trusts thru financial literacy. Why do you think that investors should keep their faith/trust in professional managers?</li> </ol> <u>Speakers:</u> <ul style="list-style-type: none"> <li>▪ <b>Ms Lee Sook Yee</b>, Chief Investment Officer, Kenanga Investors Bhd (<i>tba</i>)</li> <li>▪ <b>Datuk Noripah Kamso</b>, Advisor, Group Islamic Banking Division, CIMB</li> <li>▪ <b>Mr. Tan Yik Kuan (Dennis)</b>, Managing Director, iFast Capital Sdn Bhd</li> </ul> <u>Moderated by:</u> <b>Mr. Dinesh Virik</b> , Executive Director, Perkasa Normandy	

Media Partners




Exhibitor:



FEDERATION OF INVESTMENT MANAGERS MALAYSIA (272577-P)

(formerly known as Federation of Malaysian Unit Trust Managers)

19-07-3, 7th Floor PNB Damansara, No.19 Lorong Dungun, 50490 Kuala Lumpur

• T 603 2093 2600 • F 603 2093 2700 • www.fimm.com.my

# 2013 **FIMM** Annual Convention

## “CATALYST FOR SUSTAINABLE GROWTH”

3<sup>rd</sup> October, 2013,  
Sime Darby Convention Centre  
Kuala Lumpur

12.45pm- 2.00pm	Lunch ( Foyer)
<b>SESSION THEME : PRIVATE RETIREMENT SCHEME (PRS)</b>	
2.00 pm - 3.00 pm	<p><b>SESSION THREE: HOW TO EXPAND YOUR RETAIL INVESTMENT CLIENTS WITH PRS</b></p> <ul style="list-style-type: none"> <li>▪ 9 Value Propositions of PRS</li> <li>▪ Client acquisition with PRS</li> <li>▪ Cross-selling and re-selling with PRS</li> <li>▪ PRS providing monthly income opportunity</li> <li>▪ Lifetime client relationship management with PRS</li> </ul> <p><u>Speaker:</u> <b>Dato' Steve Ong Chong Gain</b>, Chief Executive Officer , Private Pension Administrator Malaysia</p>
3.00 – 4.00pm	<p><b>SESSION THREE PART 2 : PRS ROUNDTABLE</b></p> <p><b>Hear from PRS Providers and Subject Matter Experts on the pertinent issues that are shaping and driving the industry apart from its challenges and opportunities.</b></p> <ul style="list-style-type: none"> <li>▪ What are the risks that the provider and the investor should be concerned about?</li> <li>▪ How will PRS boost market liquidity?</li> <li>▪ What can your company do in the context of educating and increasing awareness of PRS?</li> <li>▪ Who plays what role in advising and assisting members in making investment decisions and understanding the system?</li> </ul> <p><u>Speakers:</u></p> <ul style="list-style-type: none"> <li>▪ <b>Mr. Edward Ooi Tee Hee</b>, Chief Executive Officer, Manulife Asset Management Services Bhd</li> <li>▪ <b>Mr. Steve Lim</b>, Chief Product Officer, Hwang Investment Management Bhd</li> <li>▪ <b>Mr. Anthony Siau Ming Chong</b>, Principal Officer (Acting), RHB Investment Management Sdn Bhd</li> </ul> <p><u>Moderated by :</u></p> <ul style="list-style-type: none"> <li>▪ <b>Mr. Javern Lim</b>, Group Managing Director, VKA Wealth Planners Sdn Bhd</li> </ul>
4.15pm	<b>Closing Remarks and End of Convention</b>

*\*FIMM reserves the right to change the venue, date, speaker or topic if deemed necessary*

**REPLY SLIP - CEOs**

**FIMM'S ANNUAL CONVENTION :**

**"CATALYST FOR SUSTAINABLE GROWTH"**

**3 OCTOBER 2013**

**BALLROOM 1 & 2, LEVEL 1, SIME DARBY CONVENTION CENTRE, JALAN BUKIT KIARA**

Attn: Pn. Zurin & Ms. Harkiran  
Corporate Communication  
Federation of Investment Managers Malaysia  
Tel: 03-2093 2600 Fax: 03-2093 2700

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**PLEASE COMPLETE AND FAX THIS REPLY SLIP TO FIMM LATEST BY  
TUESDAY, 17<sup>TH</sup> SEPTEMBER 2013**

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(Please let us have your reply even if you are not able to attend the convention)

- Yes! I am able to attend the Convention
- Yes! I am able to attend the VIP Luncheon
- No! I am unable to attend the VIP Luncheon

Name		Designation	
1.			

- No, I am unable to participate in the Convention. Thank you for your invitation.

From :

Name of company :	
Contact Person :	Designation :
Tel :	Fax :
Email :	
Date :	Signature :

**REPLY SLIP - CONSULTANTS**

FIMM'S ANNUAL CONVENTION :

"CATALYST FOR SUSTAINABLE GROWTH"

3 OCTOBER 2013

BALLROOM 1 &amp; 2, LEVEL 1, SIME DARBY CONVENTION CENTRE, JALAN BUKIT KIARA

Attn: Pn. Zurin & Ms. Harkiran  
 Corporate Communication Dept.  
 Federation of Investment Managers Malaysia  
 Tel: 03-2093 2600 Fax: 03-2093 2700

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PLEASE COMPLETE AND FAX THIS REPLY SLIP TO FIMM LATEST BY  
**TUESDAY, 17<sup>TH</sup> SEPTEMBER 2013**

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(Please let us have your reply even if you are not able to send any participants to the convention)

- Yes! We would like to submit our invited list of \_\_\_\_\_ Consultants to the convention. Attached please find the completed Registration List (Appendix 5) for your further action.
- No, we are unable to participate in the Convention. Thank you for your invitation.

**From :**

Name of company :	
Contact Person :	Designation :
Tel :	Fax :
Email :	
Date :	Signature :

## CONSULTANTS REGISTRATION LIST

No.	Name as per NRIC (Please complete the list with <b>BLOCK</b> letters)	FIMM No.
--	AISHAH BT RAHMAN (example)	001-0-12345
1.		
2.		
3.		
4.		
5.		
6.		
7.		
8.		
9.		
10.		

*(Please use duplicate of this list if the space provided is not adequate.)*

**Notes:**

**Fee: RM100.00 each. Payment must be submitted with registration.**

Payment Mode: Please tick in the box accordingly.     Cheque     Bank Draft

Enclosed is cheque/bank draft no. .... for the sum of RM..... made payable to "Federation of Investment Managers Malaysia".

Registrations & Enquiries:    **Corporate Communication Department**  
Contact Persons: Pn. Zurin or Ms. Kiran at 03-2093 2600

Cancellation:    There is no refund for cancellation or non-attendance but substitutes from the same company are allowed. Admittance will not be permitted unless payment is received.

**Registration is on first-come-first-serve basis. All registrations must be accompanied with payment.**

FIMM reserves the right to make changes to the venue, date, speaker, and cancellation of the convention if warranted by circumstances beyond the organiser's control.

Confirmation of Registration (For FIMM's Use)	
To :	Attn :
<input type="checkbox"/> We hereby confirm the registration of the above participants for the convention.	
From : Federation of Investment Managers Malaysia	Date :