



18 April 2016

CIRCULAR : BR/PRS/FH-tlk/103-16
(TOTAL NO. OF PAGE(S): 3)

TO : UTMC, IUTA, CUTA, PRS PROVIDERS, IPRA AND CPRA
("DISTRIBUTORS")

ATTN : CHIEF EXECUTIVE OFFICER / AUTHORIZED REPRESENTATIVE

Dear Sir/Madam,

INDUSTRY BRIEFING TO DISTRIBUTORS OF UNIT TRUST SCHEMES ("UTS") AND PRIVATE RETIREMENT SCHEMES ("PRS")

- i) FIMM's Consolidated Rules; and**
- ii) Registration Manual for Distributors and Consultants**

The Federation of Investment Managers Malaysia ("FIMM") will be conducting an industry briefing to the Distributors of UTS and PRS in relation to the issuance and implementation of the following:

- (a) FIMM's Consolidated Rules:**
 - The rules set out the requirements to be complied with by Distributors and Consultants of UTS and PRS; and
- (b) Registration Manual for Distributors and Consultants:**
 - The manual sets out the procedures relating to the registration of Distributors and Consultants for UTS and PRS.

Please be informed that the FIMM's Consolidated Rules and Registration Manual for Distributors and Consultants will take effect from 1 June 2016.

In this regard, we would like to invite representatives from your institution to attend the industry briefing to be conducted by FIMM.

The details of the briefing are as follows:

Date : 17 May 2016 (Tuesday)

Time : 9:30 am to 12:00 noon

Venue : Conference Hall 2, Securities Commission Malaysia

No. of Participants : 2 representatives from each institution

Targeted Participants : Compliance Officer / Person Responsible for Compliance and Head/Senior Representative from Department in-charge for registration of consultants

Attendance : Compulsory

Please confirm your attendance by returning to us the completed reply slip not later than **3 May 2016 (Tuesday)** to afza@fimm.com.my and bahirah@fimm.com.my.

Thank you.

Sincerely,
Federation of Investment Managers Malaysia



Farid Bin Hassan
General Manager
Regulatory Services

REPLY SLIP

INDUSTRY BRIEFING TO DISTRIBUTORS OF UTS AND PRS

- i) FIMM's Consolidated Rules; and
- ii) Registration Manual for Distributors and Consultants

To: Federation of Investment Managers Malaysia
c/o Business Registration Department
19-06-1, 6th Floor, Wisma Tune
No. 19 Lorong Dungun
Damansara Heights, 50490 Kuala Lumpur.
Tel : 603 2093 2600 Fax : 603 2093 2700

Kindly **E-MAIL** this completed reply slip to FIMM (afza@fimm.com.my and bahirah@fimm.com.my) by **3 May 2016 (Tuesday)**

Would you like to attend the above briefing?
(Please tick accordingly and let us know even if your company is not able to participate)

Yes! Kindly register the following for the Training:

1) Name: _____

Designation: _____

E-mail: _____

Tel: _____

2) Name: _____

Designation: _____

E-mail: _____

Tel: _____

No, we are not able to participate in the Training.

From:

Name

Name of Company

Designation

Tel No.:

Fax No.:

E-mail:

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Date

Signature