

Date:	30 November 2020	Ref. No.:	ISRV/AL/SM-AS-It/049-20 Total No. of Pages: 02
To:	UTMC, IUTA, CUTA, PRS Provider, IPRA and CPRA (Distributors)		
Attn:	Authorised Representative/ Chief Executive Officer/ Compliance Officer		

**REQUIREMENT TO CONDUCT ANNUAL COMPLIANCE REVIEW (ACR) AS STIPULATED UNDER RULE 3.4.6 OF FIMM'S CONSOLIDATED RULES (FCR)**

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The above matter refers.

Pursuant to Rule 3.4.6 of FCR, Distributors are required to conduct ACR to ensure continuous compliance with the requirements relating to marketing and distribution of unit trust scheme and private retirement scheme.

The revised ACR Checklists have taken into considerations industry feedback following the FIMM's Industry Briefing held on 28 September 2020.

The softcopy of the revised ACR Checklists can be downloaded from FIMM Integrated System (FIS). The Checklists are password protected and the password will be emailed to your respective Authorised Representative/Chief Executive Officer by 1 December 2020.

Additionally, the Frequently Asked Questions are available at FIS, as your reference in conducting the ACR.

Please be informed that with effect from ACR Submission Year 2020 onwards, the prescribed review period and deadline to complete the ACR Checklists have been revised, as follows:

ACR Submission Year	Period of Coverage	Commencement of Review Date	Submission Deadline
2020	14 months review period from 1 November 2019 to 31 December 2020	1 January 2021	Before 31 March 2021
2021 onwards	12 months review period from 1 January to 31 December of <i>ACR Submission Year</i>	1 January of the following <i>ACR Submission Year</i>	Before 31 March of the following <i>ACR Submission Year</i> , e.g. if the <i>ACR submission Year</i> is 2021, the submission deadline is 31 March 2022

The ACR Checklist must be completed and signed prior to submitting to FIMM, in the following manner:

- a) In softcopy using Excel format, including completed signing page, and email to [isrv@fimm.com.my](mailto:isrv@fimm.com.my); and
- b) Hardcopy of the completed signing page with a cover letter.

Should you require further information, please contact FIMM's Supervision Department at 03-2093 2600 /016-231 1823 or e-mail us at [isrv@fimm.com.my](mailto:isrv@fimm.com.my)

Thank you.

Yours sincerely,



**Puan Sahlawati Mustafa**  
General Manager,  
Regulatory Services Division