

CIRCULAR

Date: 10 September 2020 Ref No.: ISRV/Distributors/KLR-SM-AS-ha/190-20 Total No. of Pages: 2

To: UTMC, IUTA, CUTA, PRS Provider, IPRA and CPRA

You are Invited to:

FIMM's INDUSTRY BRIEFING ON REGULATORY ACTIVITIES AND INITIATIVES

Target Participants:

- 1. Chief Executive Officer/ Authorised Representative*
- 2. Head of Compliance/ Compliance Officer*

Sign-on: Limited to 2 log-in per Distributor

Venue: Virtual (Link will be provided via email)

Fee: Free of charge

Scan to Register

28 | 9
SEPT | A.M.



RSVP by 18 September 2020

FIMM
Federation of Investment Managers Malaysia

Regulate. Protect. Educate.

DETAILS ON FIMM'S INDUSTRY BRIEFING

1. The objectives of this Industry Briefing are to share the following:

- a) Regulatory updates and initiatives relating to:
 - i. Observations from Registration and Supervisory activities; and
 - ii. Complaints trends and actions.
- b) The revised ACR checklist for 2020.

2. Details of the programme:

Time	Programme
9.00 am	Registration / Virtual log-in
9.15 am	Welcoming remarks by CEO of FIMM
9.30 am	FIMM briefing on regulatory updates and initiatives relating: <ul style="list-style-type: none">• Observations from Registration and Supervisory activities; and• Complaints trends and actions
10.15 am	Break
10.30 am	Briefing on the revised ACR checklist for 2020
11:45 am	Q&A session
12.00 pm	End of session

3. Kindly provide details of the representative(s) who will be attending the briefing by completing the form available via either this link [<click here>](#) or by scanning the QR code provided in the above brochure.
4. Should you have any query pertaining to the Industry Briefing, please do not hesitate to contact Ms Heidi Azliana Alias or Mr Bryan Kwok at 03-2093 2600. Alternatively, you may email them at heidi@fimm.com.my/ bryan@fimm.com.my

Thank you.

Yours faithfully,



Sahlawati Mustafa
General Manager, Regulatory Services.