

## CIRCULAR

Date: 25 October 2021 Ref No.: ISRV/Distributors/KLR-SM-AS-ha/064-21 Total No. of Pages: 2

To: UTMC, IUTA, CUTA, PRS Provider, IPRA and CPRA



You are Invited to:

# Regulatory Briefing (2021)

**Target Participants:**

1. Chief Executive Officer/ Authorised Representative
2. Head of Compliance/ Compliance Officer

**Event Date & Time:** 11 November 2021 at 9:00am

**Venue:** Virtual webinar platform

**Fee:** Free of charge

Scan to Register



Registration Link:

<http://form.evenesis.com/FIMMindustrybriefing>

RSVP by 5 November 2021



Regulate. Protect. Educate.

## DETAILS ON FIMM'S REGULATORY INDUSTRY BRIEFING

1. The objectives of this Industry Briefing are to share the following:
  - (a) Regulatory updates and initiatives relating to:
    - i. Observations from Registration and Supervisory activities; and
    - ii. Complaint trends and enforcement related matters.
  - (b) System enhancements/ initiatives introduced in 2021.
2. Details of the programme:

Time	Programme
9.00 am	Registration / Virtual log-in
9.30 am	Welcoming remarks by CEO of FIMM
9.45 am	<ul style="list-style-type: none"><li>• Supervisory Observations and Expectations; and</li><li>• Complaint Trends and Enforcement Related Matters</li></ul>
10.30 am	Break
10.45 am	<ul style="list-style-type: none"><li>• Observations from Registration Activities; and</li><li>• Embracing Technology/ Initiatives Introduced in 2021</li></ul>
11.30 am	Q&A session
12.00 pm	End of session

3. Kindly provide details of the representative(s) who will be attending the briefing by completing the information available via either this link < [click here](#) > or by scanning the QR code provided in the above brochure. There is no limit on the number of attendees to this briefing session.
4. Should you have any query pertaining to the Regulatory Industry Briefing, please do not hesitate to email us at [isrv@fimm.com.my](mailto:isrv@fimm.com.my), [enforcement@fimm.com.my](mailto:enforcement@fimm.com.my), or [registration@fimm.com.my](mailto:registration@fimm.com.my).

Thank you.

Yours faithfully,



Sahlawati Mustafa  
**General Manager, Regulatory Services.**