

CIRCULAR

Date:	22 July 2019	Ref No.:	ISRV/Distributors/KLR-SM-ha/177-19 Total No. of Pages: 04
To:	UTMC, IUTA, CUTA, PRS Provider, IPRA and CPRA		
Attn:	Chief Executive Officer / Compliance Officers		

FIMM INDUSTRY BRIEFING

1. Introduction

We wish to invite all Distributors to participate in the FIMM Industry Briefing on 7 August 2019.

The objective of this FIMM Industry Briefing is to share the following:

- a) Evolving Regulatory Landscape for Marketing and Distribution in ASEAN;
and
- b) Regulatory activities and initiatives:
 - i. Registration: Issues and Key Findings
 - ii. Continuing Professional Development: Updates
 - iii. Complaint Handling, Trend Analysis and Annual Compliance Review (2016 – 2018); and
 - iv. 2018 Mystery Shopping Exercise: Key Observations

Cont'd...

2. FIMM Industry Briefing

Details of the event are as follows:

Date : 7 August 2019 (Wednesday)
Venue : Berjaya Times Square, Kuala Lumpur
Function Room, Level 14
Time : 8.30 am – 2.00 pm
Attendance Limit : Maximum two (2) representatives from each Distributor
Target Participants : a) Chief Executive Officer/ Authorised Representative
b) Head of Compliance/ Compliance Officer
Program : Please refer to the attached **Programme**.
Fee : Free of charge

Kindly confirm the attendance of your representative(s) by completing the attached Reply Slip and emailing to isrv@fimm.com.my, not later than noon **26 July 2019**.

Should you have any query pertaining to the FIMM Industry Briefing, please do not hesitate to contact Ms Ng Siao Chi or Ms Heidi Azliana Alias at 03-2093 2600. Alternatively, you may email to siaochi@fimm.com.my/heidi@fimm.com.my.

Thank you.

Yours faithfully,

FEDERATION OF INVESTMENT MANAGERS MALAYSIA



Kaleon Leong
Chief Executive Officer

Encls.

FIMM INDUSTRY BRIEFING

7 August 2019 | 8.30 am – 2.00 pm | Berjaya Times Square, Kuala Lumpur

Time	Programme
8.30 am	Registration
9.00 am	Welcoming remarks
9.15 am	FIMM briefing on regulatory activities and initiatives: <ul style="list-style-type: none">• Registration: Issues and Key Findings• Continuing Professional Development: Updates• Complaint Handling, Trend Analysis and Annual Compliance Review (2016 – 2018)• 2018 Mystery Shopping Exercise: Key Observations
11.00 am	Tea/Coffee break
11.30 am	Evolving Regulatory Landscape for Marketing and Distribution in ASEAN
1.00 pm	Lunch
2.00 pm	End of session

Reply Slip

FIMM INDUSTRY BRIEFING

7 August 2019 | 8.30 am – 2.00 pm | Berjaya Times Square, Kuala Lumpur

(Ref: **ISRV/Distributors/KLR-SM-ha/177-19**)

To: Federation of Investment Managers Malaysia
c/o Supervision Department (ISRV)
19-06-01, 6th Floor, Wisma Tune
No 19 Lorong Dungun Damansara Heights
50490 Kuala Lumpur
Tel: 03-2093 2600
Fax: 03-2093 2700

Kindly complete this Reply Slip and **E-MAIL** to isrv@fimm.com.my by noon, **26 July 2019 (Friday)**

Would you like to attend the above session?

(Please **tick** accordingly and let us know even if your company is not able to participate)

- Yes!** Kindly register the following personnel for the session. For further information about our registration to the event, kindly contact the undersigned or the flowing person (*please strike-off, where applicable*):

Name of Company:
Contact Person:
Tel.:
E-mail:

Details of attendees:

1. Name:		
Designation:		
Tel.:		E-mail:

2. Name:		
Designation:		
Tel.:		E-mail:

- No**, we are not able to attend the session. Thank you for your invitation.

From:

Name:		
Designation:		
Company:		
Tel.:		E-mail:
Date:		Signature: