

22 June 2010

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TO : ALL UTMC, IUTA AND CUTA

ATTN : CHIEF EXECUTIVE OFFICER

Notification and Lodgement of Documents for the Marketing and Distribution of Wholesale Funds

Please be advised on the following:

- (a) UTMC or Asset Management Companies (AMC) are to notify FIMM's Investment & Strategic Planning Department on any new wholesale funds to be launched before the fund launching date. Should the UTMC or AMC fail to notify FIMM, then the IUTA/CUTA will be responsible to notify FIMM and/or coordinate the process of notification to FIMM.
- (b) All IUTA/CUTA must lodge with FIMM, the Third Schedule, accompanied by a cover letter for all wholesale funds of UTMC or AMC which they intend to market and distribute. A Statutory Declaration certifying that the IUTA/CUTA has entered into a distribution agreement with the UTMC or AMC must also be submitted if the IUTA/CUTA is distributing the funds of the UTMC or AMC for the first time. Submission by the IUTA/CUTA for lodgement of relevant documents must reach FIMM's Business Registration Department at least five (5) business days prior to the effective date of marketing and distribution of the wholesale funds.

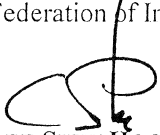
The existing procedures of notification of new retail funds by UTMC and lodgement of documents by IUTA/CUTA before the marketing and distribution of the retail funds remain unchanged, i.e. also at least five (5) business days prior to the effective date of marketing and distribution.

FIMM shall not be held responsible for any inconveniences arising from the delay and incomplete lodgement by the IUTA/CUTA. The IUTA/CUTA shall not commence to market and distribute the retail or wholesale funds until receipt of FIMM's confirmation.

If you have any enquiries, please contact Business Registration Department at 03-2093 2600.

Thank you.

Yours faithfully
Federation of Investment Managers Malaysia


LEE SIEW HOONG
Executive Director

c.c. En. Mohd Aminullah Basir
TIM Dept, SC

Pn. Salmah Bee Mohd Mydin
Licensing Dept, SC