



14th August 2014

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TO : ALL MEMBERS AND REGISTERED PERSONS
(UTMC/IUTA/CUTA)

ATTN : CHIEF EXECUTIVE OFFICER

Dear Sir / Madam,

2014 FIMM SEMINARS

General

We are pleased to announce the new schedule for 2014 FIMM Seminars, as part of the industry's efforts toward enhancing Unit Trust Consultants' (UTC) capacity and competency in marketing and distributing unit trusts, in line with the Continuing Professional Development (CPD) initiatives.

We received positive feedback from the seminar sessions this year that we decided to extend the series to Johor Bahru and Penang. Our aim is to offer UTCs who are based in these locations an avenue to fulfil their CPD requirements.

Details of the seminar schedule and speaker profile are enclosed as Appendices 1 and 2. We would like to take this opportunity to invite all Members and Registered Persons to enrol for this event.

Awarding of CPD Points

All participants who will be attending these seminars and fulfilled the attendance requirements will be awarded eight (8) CPD points. Details on the attendance requirements as well as other seminar policies and procedures is attached as Appendix 3.

Seminar Fee and Package

Kindly be informed that the seminar fee is RM60 per participant. The seminar package includes two tea breaks, one lunch and a certificate of attendance. This fee is partly subsidised by FIMM, whereby the actual cost for organising such seminars is significantly higher than the fee charged to the participant.

Registration for Seminars

UTCs who are interested to attend any of the 2014 FIMM Seminars must submit their registration via their respective UTMC/IUTA/CUTA. No direct registration from UTC will be accepted.

Members may register your UTC for the seminar(s) by completing the Registration Form (Appendix 4) and return it to FIMM together with the payment. Registration can only be confirmed upon receipt of valid payment.

Please note that the closing date for each seminar is **one (1) week** before the seminar date. Registration is based on **first-come-first-serve basis**. Given that the seats are limited for each seminar, you are strongly encouraged to assist your UTC to submit their registration early to avoid disappointment.

Distribution of Seminars and Registration details to UTC

Please ensure that all UTC who have successfully registered for the 2014 FIMM Seminar are aware at the details of the seminar which are pertinent towards the success of the event.

A copy of the 2014 FIMM Seminar schedule, as well as others relevant information are available in FIMM Website.

We look forward your continued support and participation for our seminars.

Thank you.

Yours faithfully,
FEDERATION OF INVESTMENT MANAGERS MALAYSIA



MOHAMED NIZA B ABU BAKAR
Chief Executive Officer

Schedule for 2014 FIMM Seminars

No.	Venue	Date/Time/Day	Speaker	Topic
1	Grand Paragon Hotel Johor Bahru	23 th September 2014 9.00a.m. – 5.00p.m. Tuesday	Benny Lee	Understanding Market Behaviour to Maximise Returns
2	Sunway Hotel Seberang Jaya, Penang	25 th September 2014 9.00a.m. – 5.00p.m. Thursday	Benny Lee	Understanding Market Behaviour to Maximise Returns

Notes:

1. *FIMM reserves the right to change any venue, date, speaker or topic where necessary.*
2. *Registration closes one week (7 days) and payment must be received by five (5) working days prior to the event.*

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SPEAKERS' PROFILE

<p style="text-align: center;"><u>Mr. Benny Lee</u></p> <p>Mr. Benny Lee is a private trader, trainer, highly sought-after speaker and practitioner of technical analysis for slightly more than 12 years. He has conducted trading and technical analysis workshops, seminars and coaching for private and institutional investors in Malaysia, Singapore, Hong Kong, Thailand, Vietnam and Pakistan. He is also a CPE (Continuous Professional Education) recognized trainer for the Securities Commission of Malaysia.</p> <p>He is the founder of i2Matrix Sdn Bhd, company that provides investor education and trading services. He is also the Chief Market Strategist for Jupiter Securities Sdn. Bhd. Mr. Lee has also spoken in many international conferences such as GlobOil India, Rubber, Gold and Options conferences in Thailand (AFET), the Asean Rubber Conferences, Palm Oil Conference in Jakarta, POTS by MPOC (Malaysian Palm Oil Council) in India, Egypt, Romania, Kuala Lumpur, and many others. He has also presented</p>	<p>in Exchange-organized events in Malaysia, Thailand, Singapore, Vietnam and Pakistan. He has presented to a wide range of participants from a group of 10 people to a large audience of 500 people.</p> <p>Mr. Benny writes articles on technical analysis for The Edge Business Daily, Busy Weekly (Weekly Chinese-language financial newspaper), and Palm Oil Fortune (MPOC publication) on a regular basis. He is a regular speaker for MPOB and MPOC's events. He appears on BFM89.9 (Malaysia) for his views and commentaries on the financial markets every Monday morning and also appeared as guest on Channel News Asia (Singapore) and Pakistan Sun Business News.</p> <p>He holds a BA (Hons) Business Management and obtained the world's best paper in Financial Management from the Association of Business Executives, U.K.</p>
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2014 FIMM Seminars Policies and Procedures

Pre-registration for Seminars

1. All UTMC/IUTA/CUTA (collectively referred to as “Principals”) will be informed by FIMM via circular on the upcoming seminar schedule for the year.
2. All Principals must ensure that all UTC attached to your company are aware of the FIMM Seminars as well as other information that are pertinent to timely and successful registration for the seminars.
3. The seminar schedule will be posted on the FIMM Website. UTC must check the website periodically for latest updates.
4. UTC who are interest to attend any of the FIMM Seminars must submit their registration via Principals. No direct registration for UTC will be accepted.
5. Principals may register your UTC for the seminar(s) by complete the Registration Form and return it to FIMM together with valid payment.
6. Registration is on first-come-first-serve basis.
7. By providing FIMM the applicants’ personal data, Principals are hereby consented to the processing of individual personal data in accordance with and for the purposes as set out in FIMM personal data notice. Applicants are advised to read FIMM personal data notice available at www.fimm.com.my before submitting the registration form.
8. Principals must inform FIMM not less than seven (7) days in advance should there be any replacements of their registered UTCs for FIMM Seminars.
9. Registration closes one week (7 days) and payment must be received latest by five (5) working days prior to the event.
10. Walk-ins without prior registration with FIMM will not be entertained.

Payment Terms

1. The FIMM Seminar Fee is RM60 per participant for each seminar.
2. FIMM will only liaise with the Principals in relation of payments. Hence UTCs are to make payment via their Principals.
3. Payment must be in cheque or bank draft and made payable to “Federation of Investment Managers Malaysia”. Payment by **cash** is **not allowed**.
4. Payment via cheque / bank draft must be issued as **one cheque / bank draft per seminar date**.
5. Confirmation of registration is subject to receipt of valid payment. FIMM reserves the right to reject registration due to late payments.
6. There is no refund for non-attendance but replacement from the same company is allowed for the same seminar. Replacement is not allowed to be carried forward to another seminar.
7. In the event of cancellation by FIMM, payment will be refunded to all members and registered person.

On-site Attendance Registration and Recording for Seminars

1. Registration and attendance records
 - Registration will start at 8.00 am and closes at 9.30 am. Late participants will be barred for entering the seminar and will be recorded as absent.
 - UTC must sign in and sign out to complete their attendance records.
 - UTC without complete attendance records will be deemed absent.

2. CPD Points
 - 8 CPD points are allocated to UTC who has **complete attendance record**. For avoidance of doubt, partial attendance record with either signing in or signing out will not be entitled for any CPD points.

3. Other administrative (housekeeping) arrangements
 - All seminars will start at 9.00 am sharp.
 - Parking rates – subjected on the venue parking rates, unless advised by FIMM's officer.
 - Feedback forms must be filled up and returned to FIMM's officer at the end of the seminar.
 - Signing-out counter will be opened from 4.30p.m. to 5.15p.m. UTCs must sign out at the end of the seminar, failing which UTCs will be deemed absent.

Post Event

1. FIMM will consolidate and inform Principals on the attendance of UTC registered under your company.
2. Principals must then update the CPD points accumulated by their UTCs based on the attendance records provided by FIMM.
3. Principals are responsible for keeping record of their UTC's CPD points.

FIMM SEMINARS REGISTRATION FORM

Please fill in the details accurately. Incomplete form will not be processed. Thank you.

Company Details

Company Name			
Contact Person			
Designation			
Email Address			
Contact No.	ext	Fax No.	

Participants Details

Seminar Topic :				
Date of Seminar :			Venue :	
No.	Full Name (as per NRIC)	FIMM No.	CMSRL No. <i>(If applicable)</i>	ERP No. <i>(If applicable)</i>
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

Fee : RM60.00 per pax. All payments must be received by FIMM not later than five (5) working days from the seminar date.

Payment Mode : Cheque (*Company cheque only*) Bank Draft
(Please tick the box accordingly) : Payment via cheque / bank draft must be issued as **one cheque / bank draft per seminar date.**

Enclosed is cheque/bank draft no. for the sum of RM..... made payable to **Federation of Investment Managers Malaysia.**

Registration & Enquiries : Professional Development & Services (PDS) Department
 Ms Jesline Toh (jesline.toh@fimm.com.my)
 Cik Sahazlina Aidawati Che Samaon (sahazlina@fimm.com.my)

Tel: 03-2093 2600 | Fax: 03-2093 2700

Cancellation

: There is no refund for cancellation or non-attendance but replacement from the same company are allowed.

Registration is on first-come-first-serve basis. Registrations can only be confirmed upon receipt of valid payment by FIMM.**Disclaimer**

FIMM reserves the right to cancel or reschedule any programmes to another/other date(s) without prior notice and will not liable for any claims, action costs, charges, expenses, damages, losses and liabilities due to such cancellation/rescheduling of the programmes.

Participants who are Capital Markets Services Representative's License (CMSRL) holders and/or Employee of Registered Persons (ERPs) which required SIDC's Continuing Professional Education (CPE) points from the selected seminar courses offered by FIMM are to be advised to include their membership number in this Registration Form. Failing to do so, FIMM will not responsible for any unsuccessful collection of the SIDC's CPE points from FIMM Seminars.

Notice

Federation of Investment Managers Malaysia (FIMM) respects the privacy of individuals. Pursuant to the Personal Data Protection Act 2010, you are advised to read our personal data notice available at www.fimm.com.my before submitting this form. By providing us your personal data, you hereby consent to the processing of your personal data in accordance with and for the purposes as set out our personal data notice.

Confirmation of Registration (For FIMM's use)	
To:	Attn:
<input type="checkbox"/> We hereby confirm the registration of the above participants for the seminar.	
From: Jesline Toh / Sahazlina Aidawati Che Samaon Professional Development & Services Department Federation of Investment Managers Malaysia	Date: