

**RECOMMENDATION FOR DEREGISTRATION OF  
FORMER UNIT TRUST CONSULTANT (UTC)**

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| <p><b>1. Particulars of Former UTC</b></p> <p>a. Name:</p> <p>b. FIMM's Registration No.:</p> <p>c. Identification No.:</p> <p>d. Effective date of termination:</p>     |
| <p><b>2. Chronology of events</b></p>  |
| <p><b>3. Our reason(s) why we are of the view that it would be detrimental to the unit trust industry and public if the former UTC is not deregistered from FIMM</b></p> |
| <p><b>4. Supporting documents:</b></p>   |
| <p><b>5. Report prepared by:</b></p> <p>Name:</p> <p>Signature</p> <p>Designation:</p> <p>Date:</p>  |

\* To be prepared in the UTMC/IUTA/CUTA's letterhead