

DATE : 22 APRIL 2014
CIRCULAR : CC/AL/MN-hk/014-14
TO : UTMIC / IUTA / CUTA
ATTN : CHIEF EXECUTIVE OFFICER

Dear Sir/Madam,

INVITATION TO PARTICIPATE - RETAIL INVESTOR SURVEY 2014 BY THE SECURITIES COMMISSION

The Securities Commission Malaysia (SC) will be conducting an online Retail Investor Survey to understand the profile and investing preferences of retail investors across all major capital market products, including unit trusts. As such, the Federation of Investment Managers Malaysia (FIMM) will be participating in this survey by posting the hyperlink and banner on FIMM's Website. On this note, FIMM and the SC would like to invite our members and registered persons to jointly support the survey by posting the survey banner on your website.

While participation is voluntary, your involvement is recommended.

The Retail Investors' Profile Survey 2014 targets general public and retail investors. Among the objectives of the survey are to:-

- Understand the profile and investor preferences of retail investors within the bond, equity and derivatives market as well as unit trust industry;
- Ascertain the demographic profile of potential and existing retail investors in the Malaysia capital market;
- Examine the financial behaviour of both potential and existing retail investors towards savings and investment management; and
- Assess the level of confidence and trust among retail investors pertaining products and services offered by the Malaysian capital market and financial services firms.

Interested members and registered persons may contact the following names from the Securities Commission for further details.

Name	Contact Number	E-Mail
Farah Hanim	03-62048687 (ext 8318)	FarahH@seccom.com.my
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The overall findings of the survey will be shared amongst participating organisations through a briefing which will be conducted by the SC.

Thank you.

Sincerely,
FEDERATION OF INVESTMENT MANAGERS MALAYSIA



MOHAMED NIZA B ABU BAKAR
Chief Executive Officer