**DECLARATION**

(Registration as Unit Trust Scheme (UTS) Distributor)

We, [*name of applicant*], hereby state our intention to register with the Federation of Investment Managers Malaysia (“FIMM”) as a [UTMC / *Institutional UTS Adviser / Corporate UTS Adviser*]. For this purpose, we hereby:

1. declare and confirm that all information and documents provided to FIMM pertaining to and for purposes of our application and registration with FIMM are true and accurate;
2. declare and confirm that we are fit and proper as prescribed under Chapter 4 of FIMM’s Consolidate Rules;
3. declare and confirm that we fulfil the eligible requirements prescribed under the FIMM’s Consolidated Rules;
4. undertake and agree to be bound by and comply with the FIMM Rules, relevant rules and guidelines of the Securities Commission Malaysia and other applicable laws at all times;
5. undertake to ensure that our officers, employees and UTS consultants be bound by and comply with the FIMM Rules, relevant rules and guidelines of the Securities Commission Malaysia and other applicable laws at all times;
6. timely and fully pay all fees and charges imposed by FIMM and do all things necessary for our continuing registration with FIMM;
7. confirm and undertake to ensure that, pursuant to the Personal Data Protection Act 2010, we have the consent of our UTS consultants, officers and employees for the disclosure to and use by FIMM of their personal data;
8. undertake to provide all information and documents requested by FIMM for purposes of our application and registration, including the registration of our UTS consultants; and
9. undertake to provide our full cooperation and assistance to FIMM in all matters pertaining to our application and registration, including in relation to our UTS consultants.

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Signature of authorised representative

 [*Affix applicant’s Common Seal*]

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Name of authorised representative

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 Director \*\*Director/Secretary

Dated this \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ day of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, \_\_\_\_\_\_\_\_\_\_\_\_\_

 (month) (year)

\*\*As applicable