**STATUTORY DECLARATION**

Please tick (√) whichever is applicable on the boxes provided

**Unit Trust Scheme (UTS) Consultant****Private Retirement Scheme (PRS) Consultant**

*New Registration / Exemption New Registration / Exemption*

*Former UTS Consultant / Vary Registration Former PRS Consultant / Vary Registration*

[name of applicant]

I, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ , bearing NRIC/passport no. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  
  
of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

[latest correspondence address i.e. place of residence]

[name of distributor]

act as a \*UTS consultant and/or a \*PRS consultant for \_\_\_\_\_\_\_\_\_\_ (the principal) only; do solemnly and sincerely declare that:

1. I fulfill the eligibility requirements prescribed under the rules of the Federation of Investment Managers Malaysia (FIMM).

2. I am fit and proper as prescribed under Chapter 4 of FIMM’s Consolidated Rules.

3. I have fulfilled the requirements of the continuing professional development (CPD) programme of FIMM, and obtained the requisite CPD points. **[*Only applicable to former consultant or variation of registration).***

4. I have given my consent to the principal for my personal data to be disclosed to and used by FIMM for regulatory purposes, and undertake to keep my personal data updated by notifying the principal of any changes.

5. I understand and agree that FIMM may:

(a) require me to disclose and provide information and documents relating to any matter under the rules of FIMM;

(b) require my attendance at any meeting, proceeding or hearing of FIMM;

(c) disclose and provide information, documents and records concerning me, including any action taken and sanction imposed against me, to the Securities Commission Malaysia (SC) and other authorities or bodies for regulatory purposes;

(d) disclose, in the manner FIMM considers appropriate, my name and registration details to the public;

(e) publish, in the manner FIMM considers appropriate, any information regarding the suspension or revocation of my registration, actions taken and sanctions imposed against me, if any; and

(f) revoke my registration if this declaration is found to be, wholly or partly, false or misleading.

6. I undertake and agree to:

(a) at all times, be bound by and comply with FIMM Rules, securities laws and other applicable laws;

(b) timely and fully pay all fees and charges imposed by FIMM and do all things necessary for my registration with FIMM;

(c) provide all information and documents requested by FIMM for purposes of my registration;

(d) provide full cooperation and assistance to FIMM in all matters pertaining to my registration; and

(e) immediately notify the principal **­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­**and/or FIMM in the event that I fail to satisfy any of the fit and proper criteria prescribed by FIMM.

AND I make this solemn declaration conscientiously believing the same to be true, and by virtue of the provisions of the Statutory Declarations Act 1960.

Subscribed and solemnly declared

by the above named

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_at

\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

in in the state of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

this \_\_\_\_\_day of \_\_\_\_\_\_\_, \_\_\_\_\_\_\_

Applicant’s signature

(month) (year)

Before me,

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

*\* \* Please strike off whichever not applicable* Commissioner for Oaths