

5 June 2015

CIRCULAR : PDS/2015Seminars/NO-KOH-jes/268-15
(Total no. of pages: 13)

TO : ALL MEMBERS AND REGISTERED PERSONS

ATTN : CHIEF EXECUTIVE OFFICER / AUTHORISED REPRESENTATIVE

Dear Sir / Madam,

2015 FIMM SEMINARS: SECOND SERIES

General

We are pleased to announce the second series of 2015 FIMM Seminars, as part of the industry's effort towards enhancing Unit Trust Consultants' (UTC) capacity and competency in marketing and distributing unit trusts, in line with the Continuing Professional Development (CPD) initiatives.

In addition, we are pleased to invite UTCs to register for the inaugural FIMM multi-topic seminars that we introduce in Penang and Johor Bahru for Northern region and Southern region respectively (Regional Multi-Topic Seminars). While Regional Multi-Topic Seminars will feature useful topics that are technical in nature as well as soft-skill related subjects that are carefully chosen based on industry feedback, the Regional Multi-Topic Seminars will also offer UTCs the networking opportunity with peers and other professionals in the region.

Details of the seminar schedule and speaker profile are enclosed as Appendix 1 and Appendix 2 respectively. We would like to take this opportunity to invite all Members and Registered Persons to enrol your UTCs for these seminars.

Awarding of CPD/ CPE Points

All participants who have attended any of the seminars and fulfilled the attendance requirements will be awarded eight (8) CPD points by FIMM.

You may note that four (4) of the seminars are also accredited by Securities Industry Development Corporation (SIDC) for CPE purposes. Hence, holders of Capital Markets Services Representative's Licence (CMSRL) and Employees of Registered Persons (ERP) who attended the seminar will be accorded 10 CPE points, in addition to FIMM CPD points.

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Details on the attendance requirements as well as other seminar policies and procedures can be found in the attached Appendix 3.

Seminar Fee

Kindly be informed that the seminar fee is RM84.80 per participant for UTCs or RM265.00 per participant for public. Fees quoted are inclusive of 6% GST. PRS Consultants (PRCs) who are interested to attend the seminars are also eligible for the preferential fee of RM84.80. The seminar package includes two tea breaks, one lunch and certificate of attendance.

Registration for Seminars

UTCs and PRCs who are interested to attend any of the FIMM Seminar must submit their registration via Members and Registered Persons. No direct registration from UTCs and PRCs will be accepted.

Members and Registered Persons may register your UTCs and PRCs for the seminar(s) by completing the Registration Form (Appendix 4) and return it to FIMM. FIMM will deal with interested individuals from public for registration.

Kindly submit the registration form before the closing date of each seminar. Registration is based on **first-come, first-served basis**. Given that the seats are limited for each seminar, you are strongly encouraged to submit registration soonest to avoid disappointment.

Distribution of Seminars and Registration Details to Consultants

Kindly be reminded that all Members and Registered Persons must ensure that all UTCs and PRCs attached to your company are aware of the FIMM Seminars as well as other information that are pertinent to timely and successful registration for the seminars.

The schedule for the second series of 2015 FIMM Seminars as well as other relevant information are available in FIMM Website. Subsequent series of FIMM Seminars will be announced to the industry in due course.

We look forward to your continued support and participation in our seminars.

Thank you.

Yours faithfully,

FEDERATION OF INVESTMENT MANAGERS MALAYSIA



NAZARUDDIN OTHMAN
Chief Executive Officer

22 June 2015

CIRCULAR : PDS/2015Seminars/NO-KOH-hzn/308-15
(Total no. of pages: 11)

TO : ALL MEMBERS AND REGISTERED PERSONS

ATTN : CHIEF EXECUTIVE OFFICER / AUTHORISED REPRESENTATIVE

Dear Sir / Madam,

2015 FIMM SEMINARS: THIRD SERIES

General

We are pleased to announce the third series of 2015 FIMM Seminars, as part of the industry's effort towards enhancing Unit Trust Consultants' (UTC) capacity and competency in marketing and distributing unit trusts, in line with the Continuing Professional Development (CPD) initiatives.

Under this third series, there are four (4) seminars to be held each in Kuala Lumpur, Kuantan, Melaka and Penang. The topics as well as speakers for the seminars are selected based on assessments conducted and feedback received from previous events.

Details of the seminar schedule and speaker profile are enclosed as Appendix 1 and Appendix 2 respectively. We would like to invite all Members and Registered Persons to enrol your UTCs for these seminars.

Awarding of CPD/ CPE Points

All participants who have attended any of the seminars and fulfilled the attendance requirements will be awarded eight (8) CPD points by FIMM.

You may note that all the four (4) seminars are also accredited by Securities Industry Development Corporation (SIDC) for CPE purposes. Hence, holders of Capital Markets Services Representative's Licence (CMSRL) and Employees of Registered Persons (ERP) who have attended the seminar will be accorded 10 CPE points, in addition to FIMM CPD points.

Details on the attendance requirements as well as other seminar policies and procedures can be found in the attached Appendix 3.

Seminar Fee

Kindly be informed that the seminar fee is RM84.80 per participant for UTCs or RM265.00 per participant for public. Fees quoted are inclusive of 6% GST. PRS Consultants (PRCs) who are interested to attend the seminars are also eligible for the preferential fee of RM84.80. The seminar package includes two tea breaks, one lunch and certificate of attendance.

Registration for Seminars

UTCs and PRCs who are interested to attend any of the FIMM Seminar must submit their registration via Members and Registered Persons. No direct registration from UTCs and PRCs will be accepted. Direct registration is only accorded to interested individuals from the public.

Members and Registered Persons may register your UTCs and PRCs for the seminar(s) by completing the Registration Form (Appendix 4) and return it to FIMM.

Kindly submit the registration form before the closing date of each seminar. Registration is based on **first-come, first-served basis**. Given that the seats are limited for each seminar, you are strongly encouraged to submit registration soonest to avoid disappointment.

Distribution of Seminars and Registration Details to Consultants

Kindly be reminded that all Members and Registered Persons must ensure that all UTCs and PRCs attached to your company are aware of the FIMM Seminars as well as other information that are pertinent to timely and successful registration for the seminars.

The schedule for the third series of 2015 FIMM Seminars as well as other relevant information are available in FIMM Website.

We look forward to your continued support and participation in our seminars.

Thank you.

Yours faithfully,
FEDERATION OF INVESTMENT MANAGERS MALAYSIA


NAZARUDDIN OTHMAN
Chief Executive Officer