

28<sup>th</sup> June 2016

**CIRCULAR : PDS/2016Seminars/NO-KOH-SNZ/199-16**  
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**TO : ALL MEMBERS AND REGISTERED PERSONS**

**ATTN : CHIEF EXECUTIVE OFFICER / AUTHORISED REPRESENTATIVE**

Dear Sir / Madam,

## **2016 FIMM SEMINARS – FIRST SERIES**

### **General**

As part of the industry's continuing professional development initiatives towards enhancing Unit Trust Consultants' (UTCs) capacity and competency in marketing and distributing unit trusts, we are pleased to announce the first series of 2016 FIMM Seminars.

Under the first series, FIMM has scheduled a total of eight (8) seminars throughout Peninsular Malaysia and East Malaysia, including 2 multi session seminars in Northern and Southern regions. The topics as well as speakers for the seminars are selected based on assessments conducted and feedback received from previous events.

Details of the seminar schedule and speaker profile are enclosed as Appendix 1 and Appendix 2 respectively. We would like to take this opportunity to invite all Members and Registered Persons to enrol your UTCs for these seminars.

### **Awarding of CPD/ CPE Points**

All participants who have attended any of the seminars and fulfilled the attendance requirements will be awarded eight (8) CPD points by FIMM.

You may note that there are four (4) seminars which are also accredited by Securities Industry Development Corporation (SIDC) for Continuing Professional Education (CPE) purposes. Hence, holders of Capital Markets Services Representative's Licence (CMSRL) and Employees of Registered Persons (ERP) who have attended the seminar will be accorded 10 CPE points, in addition to FIMM CPD points.

Details on the attendance requirements as well as other seminar policies and procedures can be found in the attached Appendix 3.

### **Seminar Fee**

Kindly be informed that the seminar fee is RM84.80 per participant for UTCs or RM265.00 per participant for public. Fees quoted are inclusive of 6% GST. PRS Consultants (PRCs) who are interested to attend the seminars are also eligible for the preferential fee of RM84.80. The seminar package includes two tea breaks, one lunch and certificate of attendance.

### **Registration for Seminars**

UTCs and PRCs who are interested to attend any of the FIMM Seminar must submit their registration via Members and Registered Persons. No direct registration from UTCs and PRCs will be accepted.

Members and Registered Persons may register your UTCs and PRCs for the seminar(s) by completing the Registration Form (Appendix 4) and return it to FIMM.

Kindly submit the registration form before the closing date of each seminar. Registration is based on **first-come, first-served basis**. Given that the seats are limited for each seminar, you are strongly encouraged to submit registration soonest to avoid disappointment.

### **Distribution of Seminars and Registration Details to Consultants**

Kindly be reminded that all Members and Registered Persons must ensure that all UTCs and PRCs attached to your company are aware of the FIMM Seminars as well as other information that are pertinent to timely and successful registration for the seminars.

The schedules for 2016 FIMM Seminars as well as other relevant information are available in FIMM Website. Subsequent series of FIMM Seminars will be announced to the industry in due course.

We look forward to your continued support and participation in our seminars.

Thank you.

Yours faithfully,

**FEDERATION OF INVESTMENT MANAGERS MALAYSIA**



**NAZARUDDIN OTHMAN**  
Chief Executive Officer