

3 September 2013

**CIRCULAR: BR/AL/AZS/TLK-JW/043-13**  
**(TOTAL NO. OF PAGES: 5)**  
**INCLUDING THIS PAGE**

**TO : PRS PROVIDERS / INSTITUTIONAL PRS ADVISERS / CORPORATE PRS ADVISERS**

**ATTN : CHIEF EXECUTIVE OFFICER / AUTHORISED REPRESENTATIVES**

**PAYMENT OF:**

- a) ANNUAL MEMBERSHIP SUBSCRIPTION FEE ("AMSF") OR ANNUAL FEE OF PRS PROVIDERS, INSTITUTIONAL PRS ADVISERS OR CORPORATE PRS ADVISERS FOR THE YEAR 2014**
- b) ANNUAL FEE FOR ANNIVERSARY REGISTRATION OF PRIVATE RETIREMENT SCHEME ("PRS") CONSULTANT FOR THE YEAR 2014**

Your attention is brought to the above payments, which are due and Appendix 1 attached.

As the PRS Consultants annual registration fees is calculated based on the total number of PRS Consultants registered with FIMM and included as part of the AMSF or annual fee, a list of PRS Consultants, who have been terminated or have resigned, must be submitted to FIMM on or before **31 December 2013**. PRS Consultants who have not paid the annual fee will each be charge a re-registration fee of RM73.00, should they decide to re-register as a PRS Consultant on a later date.

To allow us to calculate the AMSF payment, please provide us details of the Asset Under Management ("AUM") or total gross sales of each private retirement scheme in the respective groupings and the aggregate total in Appendix 2 and 3 attached. Kindly complete and send to FIMM by **20 January 2014**.

For details of calculation of AMSF or Annual Fee, kindly refer to FIMM's website at <http://www.fimm.com.my>.

Pursuant to our circular dated 31 May 2013 (reference no.: BR/PRS/AZS/HG-1k/027-13), please ensure that your PRS Consultants submit to you the statutory declaration for continuous eligibility ("SD") which is attested not more than 12 months from the submission date. Please ensure all the SD are properly maintained for record and audit purposes and it is not required to submit to FIMM.

Conf'd

Kindly take note that any application for deferment and/or extension of time will not be entertained. Hence, please observe the deadlines as stated in Appendix 1.

Should you need further clarification, please contact the Business Registration Department at 03-20932600.

Thank you.

Yours faithfully,  
Federation of Investment Managers Malaysia



**AHMAD ZAKIE HJ AHMAD SHARIFF**  
Chief Executive Officer

Encl.

**Schedule for submission of AMSF or annual fee of PRS Providers, Institutional PRS Advisers or Corporate PRS Advisers for the year 2014 and annual fee for anniversary registration of PRS Consultants for the year 2014**

Date	Description
01/10/2013	<ul style="list-style-type: none"> <li>• PRS Distributors to submit list of termination / resignation of PRS Consultants via email (prereg@fimm.com.my). Subsequently, the physical listing together with a cover letter shall be submitted to FIMM.</li> <li>• Approval will be granted after FIMM received the cover letter together with the listing.</li> </ul>
02/12/2013	<ul style="list-style-type: none"> <li>• Fund groups and fund types for respective Private Retirement Schemes will be made available to PRS Distributors.</li> </ul>
24/12/2013 – 03/01/2014	<ul style="list-style-type: none"> <li>• Temporary stop for submission of application for re-registration and exemption of PRS Consultants.</li> </ul>
<b><u>31/12/2013</u></b> <b><u>(12.00pm)</u></b>	<ul style="list-style-type: none"> <li>• Closing date for submission of Termination/Resignation of PRS Consultants.</li> </ul>
02/01/2014	<ul style="list-style-type: none"> <li>• FIMM will calculate the annual fee for active PRS Consultants.</li> <li>• PRS Distributors to submit the AUM/Total Gross Sales for each of the Private Retirement Scheme.</li> <li>• Corporate PRS Advisers are not required to key in AUM/Total Gross Sales.</li> </ul>
<b><u>20/01/2014</u></b> <b><u>(11.59pm)</u></b>	<ul style="list-style-type: none"> <li>• Closing date for submission of the AUM / Total Gross Sales for each of the Private Retirement Scheme.</li> <li>• AUM / Total Gross Sales reply slip received by FIMM.</li> </ul>
22/01/2014	<ul style="list-style-type: none"> <li>• AMSF or Annual Fee Payment invoice will be sent out to PRS Provider / Institutional PRS Adviser as and when AUM/Total Gross Sales for Private Retirement Schemes have been provided.</li> <li>• Annual Fee Payment invoice will be sent out to Corporate PRS Advisers.</li> </ul>
28/02/2014	<ul style="list-style-type: none"> <li>• PRS Providers / Institutional PRS Advisers / Corporate PRS Advisers who failed to make payment, will be <b>BLOCKED</b> to register candidate(s).</li> </ul>



**COMPANY NAME:**

Annual AUM Report as at 31 December 2013

Assets:

Fund Name	AUM (in RM)										Supporting Documents * (Tick where appropriate) Held Report of the Fund System NAV Report	Remarks
	AMSE Group A					AMSE Group B						
	Fixed Income Bond	Money Market	Capital Gains Bond/Structured	Others	Equity	Insurance	Equity	Others	Equity	Insurance		
TOTAL AMSE GROUP												
TOTAL AUM												

\* Kindly submit to FIMM the Intranet Report of the Fund or System NAV Report

We hereby confirm that our Company's submission of the Annual Asset Under Management (AUM) Report for Private Retirement Scheme as of 31 December 2013 are true and correct as above

Verified by: Compliance Officer

Signature:  
Name:  
Date:  
Contact No:

Confirmed by: Chief Executive Officer

Signature:  
Name:  
Date:

For FIMM used only

Processed by: IR Department

Signature:  
Name:  
Date:

Checked by: IS Department

Signature:  
Name:  
Date:

Checked by: Finance Department

Signature:  
Name:  
Date: