

27 July 2012

CIRCULAR BR/Sara/AZ-tlk/035-12
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To : UTMC / IUTA / CUTA

ATTN : CHIEF EXECUTIVE OFFICER / AUTHORISED REPRESENTATIVES

DEAR SIR/MADAM

Registration of Private Retirement Scheme (PRS) Provider, Institutional Private Retirement Scheme (PRS) Adviser or Corporate Private Retirement Scheme (PRS) Adviser and Private Retirement Scheme (PRS) Consultant

Guidelines on Registration of Private Retirement Scheme Distributors and Consultants ("Guidelines") has been approved by Securities Commission (SC) and came into effect on 29 June 2012. The Guidelines is now available at FIMM's website.

Pursuant to the Guidelines, any person who wishes to market or distribute as PRS Provider / Institutional PRS Adviser / Corporate PRS Adviser, is required to register with FIMM. To register, kindly visit the link to download the Application Kit <http://www.fimm.com.my/PRS.htm> and submit to prapplication@fimm.com.my.

If you have obtained approval from SC for new PRS / fund, notification must be submitted to FIMM at least three (3) business days before the launch date of the PRS / fund. Documents to be submitted consist of:

- a) A copy of approval letter from the SC for new PRS/fund (including core funds); and
- b) Two (2) sets of product highlight sheet and disclosure document
- c) Key data section of the disclosure document duly registered with the SC.

In the event that the printed product highlight sheet and disclosure document are not available at the point of notification, PRS Provider may provide a copy of the key data section of the disclosure document duly registered with the SC. The printed product highlight sheet and disclosure document must subsequently reach FIMM within a week after the launch of the PRS/fund.

Application for registration as PRS Consultant must be made through respective PRS Provider / Institutional PRS Adviser / Corporate PRS Adviser. Please download the Application Kit for the relevant forms. The following persons are exempted from sitting for the PRS Examination:

(a) Unit Trust Consultants (UTC) who:-

- i) have, as at 31 July 2012, at least three (3) years experience as UTC;
- ii) do not have any adverse record;
- iii) have, by 30 June 2013, attended the Private Retirement Scheme familiarization program conducted by trainers who are certified by the Securities Industry Development Corporation / SC and registered with FIMM; and
- iv) have proof of their attendance to the Private Retirement Scheme familiarization program for the purpose of registration with FIMM, which is valid until 31 July 2014.

In the event that the syllabus of the qualifications under item (2)(c) of Appendix A under the Guidelines are not enhanced to include private retirement scheme by 30 June 2014, FIMM may require holders of such qualifications to sit for the PRS Examinations.

For further information on the familiarization program, kindly contact Ms Koh Hwee Ngim of the Investment & Strategic Planning Department 03-20932600 Ext: 102.

Please submit your application for registration as PRS Consultant to prereg@fimm.com.my.

Briefing for the above registration is arranged as follows:

Venue : Dewan Berjaya, Bukit Kiara, Kuala Lumpur
Date : 8 August 2012
Time : 10:00 am – 12.30 pm

Kindly appoint two (2) representatives to attend to the briefing. Kindly confirm your attendance by completing and return the attached Reply Slip by Fax / email to us by 3 August 2012.

In the event that we do not receive your reply by 3 August 2012, it shall be deemed as non attendance.

If you need any clarification, please contact our Business Registration Department at 03-20932600.

Thank you.

Yours faithfully

Federation of Investment Managers Malaysia



AHMAD ZAKIE HJ AHMAD SHARIFF
Chief Executive Officer

REPLY SLIP**BRIEFING ON REGISTRATION AS PRIVATE RETIREMENT SCHEME (PRS)
CONSULTANT**

To: **Ahmad Zakie bin Hj.Ahmad Shariff**
Chief Executive Officer
Federation of Investment Managers Malaysia
19-07-3, 7th Floor, PNB Damansara,
No. 19 Lorong Dungun,
Damansara Heights, 50490 Kuala Lumpur.
Tel : 603 2093 2600 Fax : 603 2093 2700

Kindly FAX the completed slip to FIMM by 3 August 2012 (Friday)

(Please tick accordingly and let us know even if your company is not able to participate)

Yes! Kindly register the following for the Training:

No	Name	Designation & Department
1		
2		

No, we are not able to participate in the Training. Thank you for your invitation.

From:

Name

Name of Company

Designation

Date

Signature