

4 November 2014

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To : PRS PROVIDERS / INSTITUTIONAL PRS ADVISERS / CORPORATE PRS ADVISERS

ATTN. : CHIEF EXECUTIVE OFFICER / AUTHORISED REPRESENTATIVES

Dear Sir/Madam,

Private Retirement Scheme (PRS) Examination Schedule – December 2014

PRS Examination will be conducted as below:

Examination Date	Closing Date	Location	Session Time	Capacity
6 Dec 2014 (Saturday)	18 Nov 2014	Universiti Utara Malaysia, Kuala Lumpur	(10.00 – 11.30am (1.5 hours)	200
		Universiti Sains Malaysia (USM), Penang		200
		Sabah (To be advised)		200
13 Dec 2014 (Saturday)	24 Nov 2014	University of Malaya (UM), Kuala Lumpur	(10.00 – 11.30am (1.5 hours)	200
		Universiti Teknologi Malaysia (UTM) Skudai, Johor		200
		Sarawak (To be advised)		200

You are advised to refer to the attached Notes to Candidates for the PRS Examination.

The PRS Examination fee is as follows:

No.	Type of Fee	Amount
1	PRS Examination Fee	RM170 (first time and re-sit)

Please take note that the PRS Study Manual fee is included in the PRS Examination fee. If you wish to purchase additional copies, the price for the PRS Study Manual is RM10.

If you need any clarification, please contact our Business Registration Department at 03-20932600.

Thank you.

Yours faithfully,

Federation of Investment Managers Malaysia

TAN LEE KIAW

Assistant Manager, Business Registration



PRIVATE REGULATORY SCHEME EXAMINATION (PRS EXAMINATION)

Notes to Candidates

Candidates are advised to take note of the following requirements as well as policy and procedures for the PRS Examination.

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| 1. General Information on PRS Examination | <ul style="list-style-type: none">• Number of questions: 60 (multiple-choice)• Duration: 60 minutes• Passing mark: 70%• Language: English only. In case Malaya and Chinese will be made available at later stage. |
| 2. Candidate Examination No. and Admission Ticket | <ul style="list-style-type: none">• Upon successfully registered for a PRS Examination session, candidates are required to obtain their respective Candidate Examination No. from the FRC Member (FMP), Institutional FRS Advisor (IFA), or Corporate FRS Advisor (CFA) in which they are enrolled. (hereafter collectively referred to as "relevant authority"). Admission Tickets will be issued to candidates prior to the examination.• Candidate Examination No. is required to take the PRS Examination. Please make sure that you have the number ready prior to the examination. |
| 3. Location and Time | <ul style="list-style-type: none">• For a map and direction to the respective centre, kindly visit www.frc.gov.my and click "PRC Examination".• Allow yourself plenty of time to get to the PRS Examination Centre. FRC recommends that you visit your PRS Examination centre prior to examination day to familiarise with traffic patterns, parking availability, and public transportation options or coordinates.• Candidates should arrive at the PRS Examination Centre at least 10 minutes before the start of the examination papers. Candidates arriving at the PRS Examination centre later than 15 minutes after the examination has started will not be admitted. |
| 4. Examination Site Coordinator (ESC) | <ul style="list-style-type: none">• ESC will be assigned to the examination sites to assist candidates and convey the instructions in due PRS Examination requirements, policies and procedures. Should you require any advice on the PRS Examination requirements, policies and procedures, please approach ESC for help. Questions concerning the conduct of the examination will not be entertained.• Candidates should listen carefully to and understand the instructions and directions given by ESC. |
| 5. Admission and Attendance | <ul style="list-style-type: none">• Candidates must present their original Admission Tickets together with original identity documents i.e. Identity Card or Passport (for verification) before admission into the examination rooms. The ESC will deny admission to anyone who does not present a valid Admission Ticket and identity documents.• The following information will be verified by ESC before admitting into the examination room:<ol style="list-style-type: none">(a) Candidate's Full Name;(b) IC No. or Passport No. and(c) Candidate Examination No. (will be confirmed from relevant company as mentioned in Note 1)• Candidates with more than 20% not matching or corresponding to those printed on their identity documents will be barred from entering the examination room. Such candidate will be denied admission. No refund will be given.• All candidates must sign on the Attendance Slip for their attendance registration purpose. Failing which, candidate will be considered as absent. |
| 6. Things Allowed to Bring into Examination Room | <ul style="list-style-type: none">• Candidates are only allowed to bring with them Identity Card or Passport, non-programmable calculators and pen(s). Other personal belongings such as handbags, hand phones (fully switched off), books and papers are to be left at a designated place as instructed by the ESC.• Never FRSOM: If the candidate leaves the ESC will assume responsibility or liability for any loss that is suffered of your personal property. |

- 3. Examination Results**
- * The results will be made available to you via the relevant company that you selected and within one month from the examination date.
- 4. Misconduct**
- * Candidates found to misbehave during the examination (for example, cheating, other candidates, giving or receiving help; writing on or reading the examination question during a time not allocated to the PES or attempting to obtain answers from him, writing, drawing and/or copied paper from the examination centre; being part of an act of impersonation or other form of作弊); failing to follow the FIMM's instructions; or not complying with FIMM's examinations, policies or procedures, etc., may be dismissed from the examination room. In addition, you may be subject to other form of penalties of misconduct, including sealing of examination result and suspension, or revocation of your rights to sit in the PES Examination.
- 5. Reservation of Right during Emergency**
- * FIMM may decide to change the date, time, or conditions of the continuation of the examination; or cancel the administration of the examination, or choose to void the candidates' results, either entirely across the board or at a particular examination centre or centre if FIMM, in its sole and absolute discretion, determines that any such action is needed to protect the integrity of the PES Examination, or because administration of the examination or subsequent processing of the results is hindered by any factor that could not be reasonably prevented by the FIMM, including acts of God or other natural disasters and a military authority. FIMM is not responsible or liable for any later or other consequences arising from the aforesaid events which are beyond its control.
 - * Should there be any changes or cancellations of examination sessions or results due to the aforesaid event, the relevant company will be advised and will have an option to reschedule PES Examination session at no additional costs. Such application must be submitted to FIMM within one month from the examination date.
- 6. Policy Changes**
- * FIMM may change the requirements, policy, and procedures governing the PES Examination from time to time. Changes, if any, will be incorporated in these notes and the revised notes will be circulated to all relevant companies.
 - * Candidates are advised to check with respective relevant company to ensure that the latest version of the "Notes to Candidates" is made available.
- 7. Appeal**
- * Requests for appeal, if any, must be submitted to the relevant company and received by FIMM within 14 days from the date of the examination result. The appeal request should be accompanied with a payment of RM30.00 as processing fee. Please contact the relevant company for further details and procedures.
 - * Requests for appeal received by FIMM later than 14 days will not be considered.