

## CIRCULAR

Date:	30 November 2022	Ref. No.:	ISRV//AL/SM-AS-It/022-22 Total No. of Pages: 02
To:	UTMC, IUTA, CUTA, PRS Provider, IPRA and CPRA (Distributors)		
Attn:	Authorised Representative/ Chief Executive Officer/ Compliance Officer		

### **REQUIREMENT TO CONDUCT ANNUAL COMPLIANCE REVIEW (ACR) AS STIPULATED UNDER RULE 3.4.6 OF FIMM'S CONSOLIDATED RULES (FCR)**

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The above matter refers.

Pursuant to Rule 3.4.6 of FCR, Distributors are required to conduct ACR to ensure continuous compliance with the requirements relating to marketing and distribution of unit trust scheme and private retirement scheme.

Distributors can download the softcopy of the updated ACR Checklists as at 30 November 2022 from FIMM Integrated System (**FIS**). The Checklists are password protected, which password will be emailed to your respective Authorised Representative/Chief Executive Officer/ Compliance Officer by 1 December 2022.

Additionally, you may refer to the Frequently Asked Questions (**FAQ**) of the ACR Checklists dated 30 November 2020 available at FIS, as your reference in conducting the ACR.

Please be informed of the following prescribed review period and deadline to complete the ACR Checklists:

ACR Submission Year	Period of Coverage	Commencement of Review Date	Submission Deadline
2022	12 months review period from 1 January to 31 December of <i>ACR Submission Year</i>	1 January of the following <i>ACR Submission Year</i>	By <b>31 March 2023</b>

The ACR Checklist must be completed and signed prior to submitting to FIMM, in the following manner:

- (a) In softcopy using Excel format, including completed signing page, and email to [isrv@fimm.com.my](mailto:isrv@fimm.com.my); and
- (b) Hardcopy of the completed signing page with a cover letter.

Should you require further information, please contact FIMM's Supervision Department at 03-7890 4242 or e-mail us at [isrv@fimm.com.my](mailto:isrv@fimm.com.my)

Thank you.

Yours faithfully,



**Sahlawati Mustafa**

General Manager

Regulatory Services Division