

CIRCULAR

Date:	1 December 2023	Ref. No.:	ISRV/AL/SM-AS-SI/353-23 Total No. of Pages: 02
To:	UTMC, IUTA, CUTA, PRS Provider, IPRA and CPRA (Distributors)		
Attn:	Authorised Representative/ Chief Executive Officer/ Compliance Officer		

REQUIREMENT TO CONDUCT ANNUAL COMPLIANCE REVIEW (ACR) AS STIPULATED UNDER RULE 3.4.6 OF FIMM'S CONSOLIDATED RULES (FCR)

The above matter refers.

All Distributors are required to assess its state of compliance in marketing Unit Trust and Private Retirement Schemes throughout its registration with FIMM by completing the applicable ACR as stipulated under rule 3.4.6 of FCR (revised on 10 October 2023). The submission deadlines are specified in the said rule. Hence, please strictly adhere to the applicable submission deadlines accordingly.

Any request for an extension of time to submit the completed ACR must be submitted to FIMM in writing, together with valid justification at least 14 business days before the specified due date, i.e. 31 March.

As mentioned during the Regulatory Industry Briefing on 10 October 2023 and a separate user testing conducted on 23 October 2023, the submission of ACR will be via a system commencing 4 December 2023. Please refer to the *Frequently Asked Questions of the automated ACR submission dated 1 December 2023* available at FIMM Integrated System (**FIS**), as your reference in completing the ACR submission.

Should you require further information, please contact FIMM's Supervision Department at 03-7890 4242 or e-mail us at aacr@fimm.com.my

Thank you.

Yours faithfully,



Sahlawati Mustafa

General Manager

Regulatory Services Division