

CIRCULAR

Date:	4 March 2024	Ref No.:	LRA/ALL/SM-AB/004-24
To:	UTMC, IUTA, CUTA, PRS PROVIDER, IPRA AND CPRA (“DISTRIBUTORS”)		
Attn:	Authorised Representatives / Chief Executive Officers / Compliance Officers		

EDITORIAL AMENDMENTS TO FIMM’s CODE OF ETHICS (4th EDITION) DATED 10 OCTOBER 2023

1. Notification on the issuance of the revised FIMM’s Code of Ethics (4th Edition) (Revised Code) was circulated under Circular Ref No: LRA/ALL/SM-AB-HY/016-23 dated 6 October 2023. Furtherance to this, FIMM has made some editorial amendments to the following paragraphs 1.6, 1.7 and 1.8 of the Revised Code as reflected in **Appendix 1** below. The updated version is available on FIMM’s website.
2. Distributors are hereby advised to take note of the amendments accordingly.

Any queries in relation to the amendments to the Revised Code may be submitted via email to info@fimm.com.my

Thank you.
Yours sincerely,



SAHLAWATI MUSTAFA
General Manager
Regulatory Services Division

APPENDIX 1

List of Editorial Amendments			
No.	Relevant Paragraph	Current Revised Code	Editorial Amendments
1.	Paragraph 1.6	The Code came into force on 13 January 2020 and supersedes the Code of Ethics and Rules of Professional Conduct (2nd Edition) dated 22 January 2013 (“Repealed Code”).	The Code came into force on 10 October 2023 and supersedes the Code of Ethics (3rd Edition) dated 13 January 2020 and the Code of Ethics and Rules of Professional Conduct (2nd Edition) dated 22 January 2013 (“Repealed Codes”).
2.	Paragraph 1.7	All acts howsoever called, made or done before the commencement of this Code shall be dealt with under the provisions of the Repealed Code. All action, directions and notifications by FIMM that have been made, given or done under the Repealed Code will continue to remain in full force and effect in relation to whom they apply.	All acts howsoever called, made or done before the commencement of this Code shall be dealt with under the provisions of the Repealed Codes. All action, directions and notifications by FIMM that have been made, given or done under the Repealed Codes will continue to remain in full force and effect in relation to whom they apply.
3.	Paragraph 1.8	This Code does not affect— (a) the accrued rights of FIMM under the Repealed Code; (b) the right of FIMM to take any action for breaches under the Repealed Code discovered after this Code came into effect; (c) the right of FIMM to continue with any action initiated under the Repealed Code after this Code came into effect; and (d) any conditions, undertakings, decisions, waivers, act or thing imposed on or given by or done by Distributors and Consultants under the Repealed Code.	This Code does not affect— (a) the accrued rights of FIMM under the Repealed Codes; (b) the right of FIMM to take any action for breaches under the Repealed Codes discovered after this Code came into effect; (c) the right of FIMM to continue with any action initiated under the Repealed Codes after this Code came into effect; and (d) any conditions, undertakings, decisions, waivers, act or thing imposed on or given by or done by Distributors and Consultants under the Repealed Codes.