



CIRCULAR

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To:	UTMC, IUTA, CUTA, PRS PROVIDER, IPRA & CPRA		
Attn:	Authorised Representatives/Chief Executive Officers/Compliance Officers		

POST IMPLEMENTATION REVIEW - FIMM'S CONSOLIDATED RULES (REVISED ON 10 OCTOBER 2023)

1. The FIMM's Consolidated Rules (FCR) was revised and came into effect on 10 October 2023. As the revised FCR has been in force for six (6) months, it is timely for FIMM to carry out a Post-Implementation Review (PIR) to assess the following:
 - i) the recent revisions are accomplishing its intended purposes as the following:
 - (a) ensuring compliance and accountability of Distributors and Consultants in marketing and distribution of unit trust schemes and private retirement schemes; and
 - (b) enabling effective oversight of industry practices and standards to fulfil its role as a self-regulatory organization;
 - ii) the revised rules has caused or is causing unintended consequence to the Distributors and/or Consultants; and
 - iii) any other rules of the FCR that require further amendment.
2. The PIR will provide FIMM with information that will be useful for the purpose of reviewing the FCR.

3. We also welcome suggestion(s)/feedback on any provision of the FCR. Consequently, please provide your suggestions/ feedback through the form annexed to this circular on or before **31 May 2024**.
4. For more information on this circular, please do not hesitate to contact Hilmann Yusof at 03-7890 4242 ext 353 or Hana Ghazali at 03-7890 4242 ext 216 or email your queries to legalcomp@fimm.com.my

Thank you.



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